

**HOMEWORK 4 – solutions**

Due 4pm Wednesday, September 18. You will be graded not only on the correctness of your answers but also on the clarity and completeness of your communication. Write in complete sentences.

1.  $V = \mathbb{C}^n$  is naturally a vector space over  $\mathbb{C}$ , in which case it has dimension  $n$ , but it can also be viewed as a vector space over  $\mathbb{R}$ . Show that as a vector space over  $\mathbb{R}$ , the dimension of  $V$  is equal to  $2n$ .
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**Solution.** [10 points] Let  $\{\mathbf{e}_1, \dots, \mathbf{e}_n\} \subset \mathbb{C}^n$  be the standard basis for  $\mathbb{C}^n$ . Then every element  $v \in \mathbb{C}^n$  can be written in a unique way as

$$\begin{aligned} v &= c_1 \mathbf{e}_1 + \cdots + c_n \mathbf{e}_n \\ &= (a_1 + ib_1) \mathbf{e}_1 + \cdots + (a_n + ib_n) \mathbf{e}_n, \end{aligned}$$

where  $c_i \in \mathbb{C}$  and  $a_i, b_i \in \mathbb{R}$ . In particular, every  $v \in \mathbb{C}^n$  can be written in a unique way as

$$v = a_1 \mathbf{e}_1 + b_1 (i\mathbf{e}_1) + a_2 \mathbf{e}_2 + b_2 (i\mathbf{e}_2) + \cdots + a_n \mathbf{e}_n + b_n (i\mathbf{e}_n),$$

which shows that  $\{\mathbf{e}_1, i\mathbf{e}_1, \dots, \mathbf{e}_n, i\mathbf{e}_n\}$  is a basis for  $\mathbb{C}^n$  over  $\mathbb{R}$ . (Note that this set is not linearly independent over  $\mathbb{C}$ .) This basis has  $2n$  elements, which shows that the dimension of  $V$  as a vector space over  $\mathbb{R}$  is  $2n$ .

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2. Let  $V$  be a vector space and let  $W_1, W_2 \subset V$  be finite-dimensional subspaces. Recall from a previous assignment that  $W_1 \cap W_2$  and  $W_1 + W_2$  are also subspaces of  $V$ . Prove that

$$\dim(W_1 + W_2) = \dim(W_1) + \dim(W_2) - \dim(W_1 \cap W_2).$$

*Hint: begin with a basis  $B$  for  $W_1 \cap W_2$ , and then extend  $B$  into a basis  $B_1$  for  $W_1$  and a basis  $B_2$  for  $W_2$ .*

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**Solution.** [20 points] An alternate proof using quotient spaces is given in Theorem 7 on page 10 of Lax's book.

Let  $B = \{w_1, \dots, w_k\}$  be a basis for  $W_1 \cap W_2$ , where  $k = \dim(W_1 \cap W_2)$ . By a result from the lecture,  $B$  can be extended to a basis

$B_1 = \{w_1, \dots, w_k, v_1, \dots, v_\ell\}$  for  $W_1$ , and  $\dim(W_1) = k + \ell$ . Similarly,  $B$  can be extended to a basis  $B_2\{w_1, \dots, w_k, u_1, \dots, u_m\}$  for  $W_2$ , and  $\dim(W_2) = k + m$ .

We claim that  $B_1 \cup B_2 = \{w_1, \dots, w_k, v_1, \dots, v_\ell, u_1, \dots, u_m\}$  is a basis for  $W_1 + W_2$ . Once this is proven we will see that

$$\begin{aligned} \dim(W_1) + \dim(W_2) - \dim(W_1 \cap W_2) \\ = (k + \ell) + (k + m) - k = k + \ell + m = \dim(W_1 + W_2). \end{aligned}$$

So we need only check that  $B_1 \cup B_2$  is linearly independent and spans  $W_1 + W_2$ . To see that it is linearly independent, suppose  $a_i, b_i, c_i \in K$  are such that

$$a_1 w_1 + \dots + a_k w_k + b_1 v_1 + \dots + b_\ell v_\ell + c_1 u_1 + \dots + c_m u_m = \mathbf{0}.$$

Let  $w = \sum_i a_i w_i$ , and also consider  $v = \sum_i b_i v_i$  and  $u = \sum_i c_i u_i$ . Note that  $w \in W_1 \cap W_2$ ,  $v \in W_1$ , and  $u \in W_2$ . Moreover, we have  $w + v + u = \mathbf{0}$ . Thus  $u = -(w + v) \in W_1$ , and expanding this to  $u = \sum_i (-a_i) w_i + \sum_i (-b_i) v_i$ , we recall that since  $B_1$  is a basis for  $W_1$ , every element of  $W_1$  can be written in a unique way as a linear combination of elements of  $B_1$ . But by the above discussion,  $u \in W_1 \cap W_2$  and so  $u$  can be written as  $u = \sum_i a'_i w_i$ , which implies that  $b_i = 0$  for all  $i$ , and hence  $v = 0$ .

Now we have  $\sum_i a_i w_i + \sum_i c_i u_i = \mathbf{0}$ , and because  $B_2$  is a basis, we conclude that  $a_i = 0$  and  $c_i = 0$  for all  $i$ . Thus  $B_1 \cup B_2$  is linearly independent.

To show that  $B_1 \cup B_2$  spans  $W_1 + W_2$ , choose any element  $w \in W_1 + W_2$ , and write  $w = v + u$ , where  $v \in W_1$  and  $u \in W_2$ . Then since  $B_1$  is a basis for  $W_1$ , there are coefficients  $a_i, b_i$  such that  $v = \sum_i a_i w_i + \sum_i b_i v_i$ . Similarly there are scalars  $c_i, d_i$  such that  $u = \sum_i c_i w_i + \sum_i d_i u_i$ . We get

$$\begin{aligned} w = v + u &= \left( \sum_i a_i w_i + \sum_i b_i v_i \right) + \left( \sum_i c_i w_i + \sum_i d_i u_i \right) \\ &= \sum_i (a_i + c_i) w_i + \sum_i b_i v_i + \sum_i d_i u_i \in \text{span}(B_1 \cup B_2), \end{aligned}$$

which completes the proof.

3. Let  $W_1, W_2, X$  be subspaces of a vector space  $V$ . Is it necessarily true that  $(W_1 + W_2) \cap X = (W_1 \cap X) + (W_2 \cap X)$ ? If it is true, prove it; if it is not true, find a counterexample.

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**Solution.** [10 points] No, it is not necessarily true. For example, let  $V = \mathbb{R}^2$  and consider the subspaces  $W_1 = \{(x, 0) \mid x \in \mathbb{R}\}$ ,  $W_2 = \{(0, y) \mid y \in \mathbb{R}\}$ , and  $X = \{(a, a) \mid a \in \mathbb{R}\}$ . Then  $W_1 + W_2 = V$  and so  $(W_1 + W_2) \cap X = X$ , but  $W_1 \cap X = W_2 \cap X = \{\mathbf{0}\}$  and so the right hand side is the trivial subspace.

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4. Let  $n \geq 2$ , and recall that  $\mathbb{P}_n$  is the vector space of polynomials with real coefficients and degree at most  $n$ . Fix distinct real numbers  $t_1, t_2, t_3 \in \mathbb{R}$  and let  $X = \{f \in \mathbb{P}_n \mid f(t_1) = f(t_2) = f(t_3) = 0\}$ . Show that  $X$  is a subspace of  $\mathbb{P}_n$ , and write down a basis for  $\mathbb{P}_n/X$ .

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**Solution.** [10 points] First we show that  $X$  is a subspace. Clearly  $X$  contains the zero polynomial and so it is non-empty. Suppose  $f, g \in X$  and  $c \in \mathbb{R}$ : then we have

$$(cf + g)(t_i) = cf(t_i) + g(t_i) = c \cdot 0 + 0 = 0$$

for  $i = 1, 2, 3$ , and so  $cf + g \in X$ . Thus  $X$  is a subspace.

Now we know from a result in lecture that  $\dim(\mathbb{P}_n/X) = \dim(\mathbb{P}_n) - \dim(X) = n + 1 - \dim(X)$ . So we need to determine  $\dim(X)$ . As on the previous assignment, we notice that  $X = \{g(x)(x - t_1)(x - t_2)(x - t_3) \mid g \in \mathbb{P}_{n-3}\}$ , and so  $\dim(X) = n - 2$ . We conclude that  $\dim(\mathbb{P}_n/X) = 3$ , and so  $\{[1]_X, [x]_X, [x^2]_X\}$  will be a basis for  $\mathbb{P}_n/X$  if we can show that it is linearly independent. To this end, suppose  $a, b, c \in \mathbb{R}$  are such that  $a[1]_X + b[x]_X + c[x^2]_X = [\mathbf{0}]_X$ . This is equivalent to the statement that  $a + bx + cx^2 \in X$ , but we recall that any non-zero quadratic polynomial vanishes on at most two points, and so in order to have  $f(x) = a + bx + cx^2 \in X$ , which requires  $f$  to vanish at three points, we must have  $a = b = c = 0$ . Thus the set is linearly independent, hence it is a basis.

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5. With  $n$ ,  $\mathbb{P}_n$ , and  $t_1, t_2, t_3$  as in the previous problem, define  $\ell_j(f) = f(t_j)$  for  $f \in \mathbb{P}_n$  and  $j = 1, 2, 3$ .

(a) Show that  $\ell_1, \ell_2, \ell_3$  are all linear functions on  $\mathbb{P}_n$ .

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**Solution.** [5 points] We check linearity by observing that for any  $f, g \in \mathbb{P}_n$  and  $c \in \mathbb{R}$ , we have

$$\ell_j(cf + g) = (cf + g)(t_j) = cf(t_j) + g(t_j) = c\ell_j(f) + \ell_j(g).$$

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(b) Show that  $\{\ell_1, \ell_2, \ell_3\} \subset \mathbb{P}'_n$  is linearly independent.

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**Solution.** [10 points] Suppose  $a, b, c \in \mathbb{R}$  are such that  $a\ell_1 + b\ell_2 + c\ell_3 = \mathbf{0}$ , the zero functional. Then

$$a\ell_1(f) + b\ell_2(f) + c\ell_3(f) = af(t_1) + bf(t_2) + cf(t_3) = 0 \text{ for all } f \in \mathbb{P}_n.$$

Consider  $f(t) = (t - t_1)(t - t_2)$ , and note that  $f(t_1) = f(t_2) = 0$ , while  $f(t_3) \neq 0$ . Thus the above equation implies that  $c = 0$ . Similarly, applying the above equation to  $f(t) = (t - t_1)(t - t_3)$  shows that  $b = 0$ , and  $f(t) = (t - t_2)(t - t_3)$  shows that  $a = 0$ . We conclude that  $a = b = c = 0$  whenever  $a\ell_1 + b\ell_2 + c\ell_3 = \mathbf{0}$ , and so  $\{\ell_1, \ell_2, \ell_3\}$  is linearly independent.

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(c) If  $n = 2$ , show that  $\{\ell_1, \ell_2, \ell_3\}$  is a basis for  $\mathbb{P}'_2$ .

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**Solution.** [5 points] We just saw that the set is linearly independent, and we know from Theorem 5.10 in the notes that  $\dim(\mathbb{P}'_2) = \dim(\mathbb{P}_2) = 3$ . Thus any linearly independent set with 3 elements is a basis.

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