

## PRIME IDEALS OF FINITE HEIGHT IN POLYNOMIAL RINGS

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**ABSTRACT.** We investigate the structure of prime ideals of finite height in polynomial extension rings of a commutative unitary ring  $R$ . We consider the question of finite generation of such prime ideals. The valuative dimension of prime ideals of  $R$  plays an important role in our considerations. If  $X$  is an infinite set of indeterminates over  $R$ , we prove that every prime ideal of  $R[X]$  of finite height is finitely generated if and only if each  $P \in \text{Spec}(R)$  of finite valuative dimension is finitely generated and for each such  $P$  every finitely generated extension domain of  $R/P$  is finitely presented. We prove that an integrally closed domain  $D$  with the property that every prime ideal of finite height of  $D[X]$  is finitely generated is a Prüfer  $v$ -multiplication domain, and that if  $D$  also satisfies d.c.c. on prime ideals, then  $D$  is a Krull domain in which each height-one prime ideal is finitely generated.

### 1. INTRODUCTION

All rings considered in this paper are assumed to be commutative and to contain a unity element. Suppose  $X = \{x_i\}_{i=1}^{\infty}$  is a countably infinite set of indeterminates over a Noetherian ring  $R$  and  $T$  is a localization of  $R[X]$  with respect to a multiplicatively closed set of  $R[X]$ . (In particular, we are including the case where  $T = R[X]$ .) It is readily seen that a prime ideal of  $T$  is finitely generated if and only if it is of finite height (cf. [8, Theorem 4, page 2]). In relation to this result, it is shown in [9, Theorem 3.3] that an ideal  $\mathfrak{c}$  of  $T$  is finitely generated if and only if  $\mathfrak{c}$  has only finitely many associated prime ideals and each of the associated prime ideals of  $\mathfrak{c}$  is finitely generated. Moreover, if this occurs, then  $\mathfrak{c}$  has a finite primary decomposition.

Motivation for our work in the present paper comes from the following specific questions concerning a converse to the finite generation result.

**Question 1.1.** Suppose  $X = \{x_i\}_{i=1}^{\infty}$  is a countably infinite set of indeterminates over a ring  $R$ .

1. If every prime ideal of  $R[X]$  of finite height is finitely generated, does it follow that every prime ideal of  $R$  of finite height is finitely generated?
2. Assume that each prime ideal of  $R$  has finite height. If each prime ideal of  $R[X]$  of finite height is finitely generated, does it follow that  $R$  is Noetherian?

We do not know the answer, in general, to either part of Question 1.1. For ease of reference in considering (1.1), we use the following terminology; here FH stands for finite height.

**Definition.** Suppose  $X = \{x_i\}_{i=1}^{\infty}$  is a countably infinite set of indeterminates over a ring  $R$ . We say that  $R$  is an *FH-ring* if every prime ideal of  $R[X]$  of finite height is finitely generated.

The concept of valuative dimension is important in the consideration of Question 1.1. We recall that if  $D$  is an integral domain with quotient field  $K$ , then the *valuative dimension of  $D$* , denoted  $\dim_v D$ , is the positive integer  $h$  if there exists a valuation overring<sup>1</sup> of  $D$  of rank  $h$  and no valuation overring of  $D$  of rank greater than  $h$ . If there exist valuation overrings of  $D$  of rank greater than  $h$  for every positive integer  $h$ , then  $D$  is said to have valuative dimension  $\infty$ . The *valuative dimension* of a commutative ring  $R$  is defined to be the supremum of the valuative dimensions of domain homomorphic images of  $R$  [11, page 56]. For  $P \in \text{Spec}(R)$ , the *valuative dimension of  $P$*  is  $\dim_v R_P$ .

In general, for  $D$  an integral domain and  $P \in \text{Spec}(D)$ ,  $\dim_v D/P$  is at most  $\dim_v D - \dim D_P$  [11, Prop. 2, page 57]. Since one also has  $\dim D \leq \dim_v D$  [11, Théorème 1, page 56],  $\dim_v D/P$  is at most  $\dim_v D - \text{ht } P$ . A summary of some basic properties of valuative dimension is given in [5, page 36]. An important property for us is:

**Observation 1.2.** If  $P \in \text{Spec}(R)$  has finite valuative dimension  $h$ , where  $h$  is also the height of  $P$  (so  $\dim R_P = \dim_v R_P$ ), then for  $X$  a set of indeterminates over  $R$ , the height of  $PR[X]$  in  $R[X]$  is also  $h$  (cf. [11, Théorème 3, page 62]).

**Discussion 1.3.** 1. In view of Cohen's theorem that a ring is Noetherian if every prime ideal of the ring is finitely generated [14, (3.4)], an affirmative

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<sup>1</sup>By an *overring* of an integral domain  $D$  with quotient field  $K$  we mean a subdomain of  $K$  that contains  $D$ .

answer to part (1) of (1.1) implies that the answer to part (2) of (1.1) is also affirmative.

2. Suppose  $P$  is a prime ideal of  $R$  and  $Y$  is a set of indeterminates over  $R$ . Then  $Q = PR[Y]$  is a prime ideal of  $S = R[Y]$ . Since  $S$  is a free  $R$ -module, it is readily seen that  $Q$  is finitely generated in  $S$  if and only if  $P$  is finitely generated in  $R$ . Moreover, if  $Y = \{y_1, \dots, y_n\}$  is a finite set and  $P$  has finite height, then  $Q$  also has finite height. Indeed, if  $P$  has height  $h$ , then the height of  $PR[y_1]$  is at least  $h$  and at most  $2h$  (cf. [6, (30.2)]). Therefore if the set  $Y$  is finite, then  $Q = PR[Y]$  has finite height if  $P$  has finite height and the question analogous to (1.1) for a finite set of indeterminates has an affirmative answer.
3. In the setting of (1.1), it is possible that there exists in  $R$  a prime ideal  $P$  having finite height such that  $Q = PR[X]$  has infinite height in  $R[X]$ . Indeed, if  $R$  is an integral domain, then  $Q = PR[X]$  has infinite height precisely if the domain  $R_P$  has infinite valuative dimension (cf. [6, page 360], [11, page 63]).

Suppose  $R$  is an FH-ring and  $Y$  is a set of indeterminates over  $R$ . Is every prime ideal of  $R[Y]$  of finite height also finitely generated? We show in (1.4) below that this question has an affirmative answer if  $Y$  is infinite. On the other hand, if  $Y$  is finite, we show in (1.5) that an affirmative answer to this question is equivalent to an affirmative answer to Question 1.1.

**Proposition 1.4.** *Suppose  $R$  is an FH-ring and  $Y$  is an arbitrary infinite set of indeterminates over  $R$ . Then each prime ideal of  $R[Y]$  of finite height is finitely generated.*

PROOF. Let  $P$  be a prime ideal of  $R[Y]$  of finite height  $h$  and let  $P_0 < P_1 < \dots < P_h = P$  be a chain of prime ideals of  $R[Y]$  of length  $h$  with terminal element  $P$ . Choose a polynomial  $f_i \in P_i - P_{i-1}$  for  $i = 1, 2, \dots, h$ . There exists a finite subset  $\{y_i\}_{i=1}^n$  of  $Y$  such that each  $f_j \in R[y_1, \dots, y_n]$ . It follows that  $P \cap R[y_1, \dots, y_n]$  has height at least  $h$ . Extend  $\{y_i\}_1^n$  to a countably infinite subset  $Y'$  of  $Y$ . Then  $P \cap R[Y']$  has height at least  $h$ ,  $P^* = (P \cap R[Y'])R[Y] \subseteq P$  has height at least  $h$ , and hence  $P = (P \cap R[Y'])R[Y]$ . It follows that  $P \cap R[Y']$  has height  $h$ . Since  $R$  is an FH-ring,  $P \cap R[Y']$  is finitely generated. Consequently,  $P$  is finitely generated.  $\square$

**Observation 1.5.** Suppose  $x$  is an indeterminate over a ring  $R$ . As noted in part (2) of (1.3), a prime ideal  $P$  of  $R$  is finitely generated if and only if  $Q = PR[x]$

is finitely generated in  $R[x]$ , and  $Q$  has finite height if  $P$  has finite height. Thus if  $Y$  is a finite set of indeterminates over  $R$ , and if every prime ideal of  $R[Y]$  of finite height is finitely generated, then  $R$  also has this property. The converse, however, is not true. There exists an integral domain  $R$  having the property that there exists in  $R$  no nonzero prime ideal of finite height and which also has the property that there exists in  $R[x]$  a prime ideal  $Q$  of height one that is not finitely generated. To obtain such a domain  $R$  one can begin with a valuation domain  $V$  of infinite rank having no nonzero prime ideal of finite height and having the form  $V = F(t) + M$ , where  $M$  is the maximal ideal of  $V$ ,  $F$  is a field and  $F(t)$  is a simple transcendental extension field of  $F$ . Let  $R = F + M$  and let  $Q$  be the kernel of the canonical  $R$ -algebra homomorphism  $R[x] \rightarrow R[t]$  of the polynomial ring  $R[x]$  mapping  $x$  to  $t$ . Then  $Q$  is a prime ideal of  $R[x]$  of height one, for if  $K$  denotes the quotient field of  $R$ , then  $R[x]_Q$  is a localization of the polynomial ring  $K[x]$  and hence is a DVR. Moreover,  $Q$  is not finitely generated, for the content ideal of  $Q$  in  $R$  is  $M$  and  $M$  as an ideal of  $R$  is not finitely generated.

In this example, the prime ideal  $Q$  of  $R$  has valuative dimension one. Hence if  $x = x_1$ , and  $X = \{x_i\}_{i=1}^{\infty}$ , then  $QR[X]$  is a non-finitely generated prime ideal of  $R[X]$ , and by (1.2),  $QR[X]$  has height one. Therefore the converse of part (1) of (1.1) is not true; that is, there exists a ring  $R$  in which each prime ideal of finite height is finitely generated such that  $R[X]$  fails to have this property.

**Question 1.6.** Suppose  $R$  is an FH-ring and  $\mathfrak{c}$  is an ideal of  $R[X]$  having finitely many associated primes, each of which is finitely generated.

1. Does it follow that  $\mathfrak{c}$  is finitely generated?
2. Does it follow that  $\mathfrak{c}$  has a finite primary decomposition?

**Observation 1.7.** 1. If  $R$  is an FH-ring, then every height-zero prime of  $R$  is finitely generated. For if  $P$  is a height-zero prime of  $R$ , then  $PR[X]$  is a height-zero prime of  $R[X]$ . Thus  $PR[X]$  is finitely generated and so  $P$  is finitely generated. It follows that  $R$  has only finitely many height-zero primes [9, Theorem 1.6].

2. In view of (1.4) and [8, Theorem 4], every Noetherian ring, or polynomial ring over a Noetherian ring, is an FH-ring. As we note in (2.1) below, it is also true in general that a localization of an FH-ring is again an FH-ring.
3. The case of (1.1) where  $R$  is an integral domain is already quite interesting. We consider this case in §3.

## 2. STABILITY PROPERTIES OF FH-RINGS AND VALUATIVE DIMENSION

**Proposition 2.1.** *Suppose  $R$  is an FH-ring.*

1. *If  $U$  is a multiplicatively closed subset of  $R$ , then the localization  $U^{-1}R = R_U$  is again an FH-ring.*
2. *If  $Y$  is a set of indeterminates over  $R$ , then the polynomial ring  $R[Y]$  is an FH-ring.*

PROOF. Since  $R[X]_U$  is canonically isomorphic to  $R_U[X]$  and since a prime ideal  $Q$  of  $R[X]_U$  has finite height if and only if  $Q \cap R[X]$  has finite height in  $R[X]$ , the first assertion is clear. For (2), suppose  $X$  is a countably infinite set of indeterminates over  $R[Y]$ . By (1.4), every prime ideal of  $R[Y][X]$  of finite height is finitely generated. Therefore  $R[Y]$  is an FH-ring.  $\square$

**Notation 2.2.** We use  $R^{(n)}$  to denote the polynomial ring in  $n$  indeterminates over a ring  $R$ .

**Proposition 2.3.** *Suppose  $X$  is an infinite set of indeterminates over a ring  $R$  and  $P \in \text{Spec}(R)$ . Then the following are equivalent.*

1.  *$P[X]$  has finite height in  $R[X]$ .*
2.  *$PR_P[X]$  has finite height in  $R_P[X]$ .*
3.  *$R_P$  has finite valuative dimension.*

*Consequently, if  $R$  is an FH-ring having finite valuative dimension, then  $R$  is Noetherian.*

PROOF. The equivalence of (1) and (2) is clear. If  $R_P$  has finite valuative dimension  $h$ , then for  $n$  sufficiently large, the height of  $P(R_P)^{(n)}$  is the height of  $PR_P[X]$ , which is  $h$  (cf. [11, Théorème 3, page 62]). Thus (3) implies (2). On the other hand, if  $R_P$  has infinite valuative dimension, then the sequence  $\{\text{ht } P(R_P)^{(n)}\}_{n=1}^{\infty}$  is unbounded (cf. [11, Théorème 4, page 63]). Hence  $PR_P[X]$  has infinite height and (2) implies (3).  $\square$

**Proposition 2.4.** *Suppose  $R$  is a ring and  $P \in \text{Spec}(R)$  contains only finitely many height-zero primes  $P_1, \dots, P_k$  of  $R$ . Let  $X$  be an infinite set of indeterminates over  $R$ . The following are equivalent:*

1.  *$PR[X]$  has finite height.*
2.  *$PR[X]/P_iR[X]$  has finite height for each  $i$ ,  $1 \leq i \leq k$ .*
3. *The domain  $R_P/P_iR_P$  has finite valuative dimension for each  $i$ ,  $1 \leq i \leq k$ .*

PROOF. The equivalence of (1) and (2) follows from the fact that  $\{P_i[X]\}_1^k$  is the set of height-zero primes of  $R[X]$  contained in  $P[X]$ . In view of the fact that

$P[X]/P_i[X] \cong (P/P_i)[X]$  and  $(R/P_i)_{P/P_i} \cong R_P/P_iR_P$ , the equivalence of (2) and (3) follows from Proposition 2.3.  $\square$

**Theorem 2.5.** *A ring  $R$  is an FH-ring if and only if for each positive integer  $n$ , each prime ideal of  $R^{(n)}$  of finite valuative dimension is finitely generated.*

PROOF. Suppose  $R$  is an FH-ring and  $Q \in \text{Spec}(R^{(n)})$  is of finite valuative dimension. By (2.1),  $R^{(n)}$  is an FH-ring and by (1.7),  $R^{(n)}$  has only finitely many height-zero primes. Hence (2.4) implies that  $QR^{(n)}[X]$  has finite height, where  $X$  is an infinite set of indeterminates over  $R^{(n)}$ . Therefore  $QR^{(n)}[X]$ , and hence  $Q$ , is finitely generated.

Conversely, assume that each prime of  $R^{(n)}$  of finite valuative dimension is finitely generated. It follows that every height-zero prime of  $R$  is finitely generated. Hence by [9, Theorem 1.6],  $R$  has only finitely many height-zero primes. Let  $P$  be a prime ideal of  $R[X]$  of finite height  $h$ . There is a finite subset  $Y$  of  $X$  such that  $P \cap R[Y]$  has height at least  $h$ . We necessarily have  $(P \cap R[Y])R[X] = P$ , since the prime ideal  $(P \cap R[Y])R[X]$  is contained in  $P$  and has height at least  $h$ . By (2.4), it follows that  $P \cap R[Y]$  has finite valuative dimension. By hypothesis, this means that  $P \cap R[Y]$  is finitely generated, so that  $P = (P \cap R[Y])R[X]$  is also finitely generated. Consequently,  $R$  is an FH-ring.  $\square$

**Proposition 2.6.** *Suppose  $R$  is a ring,  $n$  is a positive integer,  $Q \in \text{Spec}(R^{(n)})$ , and  $P = Q \cap R$ . Then  $Q$  has finite valuative dimension if and only if  $P$  has finite valuative dimension.*

PROOF. By passing from  $R$  to  $R_P$ , we may assume that  $R$  is quasilocal with maximal ideal  $P$ . If  $P$  has finite valuative dimension  $h$ , then  $R^{(n)}$  has valuative dimension  $h + n$  [11, Théorème 2, page 60]. Since  $Q \in \text{Spec}(R^{(n)})$ , it follows that  $Q$  has finite valuative dimension. On the other hand, if  $P$  has infinite valuative dimension, then  $PR^{(n)}$  has infinite valuative dimension. Since  $R_{PR^{(n)}}^{(n)}$  is a localization of  $R_Q^{(n)}$ , it follows that  $Q$  has infinite valuative dimension.  $\square$

**Observation 2.7.** Suppose  $S = R[\zeta_1, \dots, \zeta_n]$  is a finitely generated extension ring of  $R$ . If  $Q' \in \text{Spec}(S)$  has infinite valuative dimension, then  $P = Q' \cap R$  also has infinite valuative dimension. For  $S$  is an  $R$ -algebra homomorphic image of  $R^{(n)}$  and the preimage  $Q$  of  $Q'$  in  $R^{(n)}$  has infinite valuative dimension and  $Q \cap R = Q' \cap R = P$ . Hence by (2.6),  $P$  has infinite valuative dimension. However, as we observe in Observation 3.7 below, it can happen that there exists a prime ideal  $Q' \in \text{Spec}(S)$  of finite valuative dimension such that  $Q' \cap R = P$  has infinite valuative dimension.

- Discussion 2.8.**
1. Since every ring is a homomorphic image of a polynomial ring over  $\mathbf{Z}$  and since, as noted in part (2) of (1.7), a polynomial ring over a Noetherian ring is an FH-ring, the property of being an FH-ring is not in general preserved under homomorphic image.
  2. It is unclear whether for  $P$  a height-zero prime of an FH-ring  $R$  it follows that  $R/P$  is again an FH-ring. A problem here is that for  $Q \in \text{Spec}(R)$  with  $P < Q$  it may happen that  $QR[X]$  has infinite height, but  $QR[X]/PR[X]$  has finite height.
  3. It would be interesting to know if a finitely generated extension ring of an FH-ring is again an FH-ring.

### 3. FH-DOMAINS AND CONDITION $(\rho)$

**Discussion 3.1.** Let  $D$  be an integral domain with quotient field  $K$  and let  $x_1, \dots, x_n$  be indeterminates over  $K$ . Then  $K[x_1, \dots, x_n] = K^{(n)}$  is a localization of  $D[x_1, \dots, x_n] = D^{(n)}$ . Hence for  $P \in \text{Spec}(K^{(n)})$  we have  $(K^{(n)})_P = (D^{(n)})_{P \cap D^{(n)}}$ . Therefore  $P \cap D^{(n)}$  is of finite valuative dimension. In view of Theorem 2.5, for each positive integer  $n$ , an FH-domain  $D$  satisfies the following condition which we denote by  $(\rho_n)$ .

1. “ $(\rho_n)$ ” For each  $P \in \text{Spec}(K^{(n)})$ , the contraction  $P \cap D^{(n)}$  is finitely generated.

We say the integral domain  $D$  satisfies condition  $(\rho)$  if  $D$  satisfies  $(\rho_n)$  for each positive integer  $n$ .

**Observation 3.2.** An equivalent form of condition  $(\rho)$  on an integral domain  $D$  is that every finitely generated extension domain of  $D$  is finitely presented. It was proved by Nagata in [15] that a valuation domain has this property, and a result of Raynaud and Gruson in [16, (3.4.7), page 26] implies that a Prüfer domain also has this property.

Condition  $(\rho)$  modulo prime ideals of finite valuative dimension of a ring  $R$  relates nicely to  $R$  being an FH-ring as we observe in Theorem 3.3.

**Theorem 3.3.** *A ring  $R$  is an FH-ring if and only if each  $P \in \text{Spec}(R)$  of finite valuative dimension is finitely generated and for each such  $P$  the integral domain  $R/P$  satisfies condition  $(\rho)$ .*

**PROOF.** Assume that  $R$  is an FH-ring. By Theorem 2.5, each  $P \in \text{Spec}(R)$  of finite valuative dimension is finitely generated. To show  $R/P$  satisfies condition  $(\rho)$ , it suffices to show that if  $Q'$  is a prime ideal of the polynomial ring  $(R/P)^{(n)}$

such that  $Q' \cap (R/P) = (0)$ , then  $Q'$  is finitely generated. Let  $Q$  denote the preimage of  $Q'$  in  $R^{(n)}$ . Then  $Q \cap R = P$ . By (2.6),  $Q$  has finite valuative dimension. Since  $R$  is an FH-ring,  $Q$  is finitely generated by (2.5). Therefore  $Q'$  is finitely generated.

Assume conversely that each  $P \in \text{Spec}(R)$  of finite valuative dimension is finitely generated and  $R/P$  satisfies condition  $(\rho)$ . To show  $R$  is an FH-ring, by Theorem 2.5, it suffices to show for each positive integer  $n$  that each prime  $Q$  of  $R^{(n)}$  of finite valuative dimension is finitely generated. Proposition 2.6 implies that  $P = Q \cap R$  is of finite valuative dimension in  $R$ . Therefore  $P$  is finitely generated. Since  $R/P$  satisfies condition  $(\rho)$ , the image of  $Q$  in  $(R/P)^{(n)}$  is finitely generated. Therefore  $Q$  is finitely generated.  $\square$

A test case for part (2) of (1.1) asks whether a one-dimensional quasilocal FH-domain  $D$  is Noetherian. By (2.3), the answer is affirmative if  $\dim_v D$  is finite. On the other hand, Theorem 3.3 implies that a one-dimensional quasilocal domain having infinite valuative dimension and satisfying condition  $(\rho)$  is an FH-domain: hence the existence of such a domain would provide a negative answer to part (2) of (1.1).

Let  $D$  be an integral domain with quotient field  $K$ . We recall that  $D$  is said to be *quasi-coherent* if  $I^{-1} = D :_K I = \{a \in K : aI \subseteq D\}$  is finitely generated for each nonzero finitely generated ideal  $I$  of  $D$  [4].

**Proposition 3.4.** *If  $D$  satisfies condition  $(\rho)$ , then  $D$  is quasi-coherent.*

PROOF. Suppose  $I = (a_1, \dots, a_n)D$  is a nonzero finitely generated ideal. Let  $x_1, \dots, x_n$  be indeterminates over  $K$  and let  $f = a_1x_1 + \dots + a_nx_n$ . Then  $fK[x_1, \dots, x_n]$  is a height-one prime ideal of  $K[x_1, \dots, x_n] = K^{(n)}$ . Let  $P = fK^{(n)} \cap D^{(n)}$ . Since  $D$  satisfies condition  $(\rho)$ ,  $P$  is a finitely generated homogeneous ideal, where  $D^{(n)}$  is regarded as a graded ring with  $D$  of degree zero and each  $x_i$  of degree one. The degree-one piece of  $P$  is  $I^{-1}f$ , and  $P$  finitely generated as an ideal of  $D^{(n)}$  implies that  $I^{-1}f$  is finitely generated as a  $D$ -module. Therefore  $I^{-1}$  is finitely generated as a fractional ideal of  $D$ .  $\square$

From (3.3) and (3.4), we have the following corollary.

**Corollary 3.5.** *If  $R$  is an FH-ring, then for each  $P \in \text{Spec}(R)$  of finite valuative dimension, the domain  $R/P$  is quasi-coherent. In particular, since the ideal  $(0)$  of an integral domain is a prime ideal of finite valuative dimension, if  $D$  is an FH-domain, then  $D$  is quasi-coherent.*



**Question 3.6.** Suppose  $E = D[\zeta]$  is a simple integral extension of domains. Is there an implication in either (or both) directions between the condition that  $D$  is an FH-domain and the condition that  $E$  is an FH-domain?

**Observation 3.7.** In relation to Question 3.6, we remark that there can exist in  $E$  a maximal ideal  $M_2$  of finite valuative dimension such that  $M_2 \cap D = M$  has infinite valuative dimension. This is illustrated by [7, Example 5.8, page 161], where  $A$  is the field of algebraic numbers,  $A((x))$  is the quotient field of the formal power series ring  $A[[x]]$ ,  $V_1$  is a valuation domain of infinite rank on  $A((x))$  of the form  $V_1 = A + M_1$ , and  $V_2 = A[[x]] = A + M_2$ , where  $M_2 = xA[[x]]$ . Then with  $M = M_1 \cap M_2$ , and  $\zeta \in M_1$  such that  $\zeta$  is a unit in  $V_2$ , we define  $D = A + M$  and  $E = D[\zeta]$ .

It is easy to see that condition  $(\rho)$  lifts from  $D$  to  $E$ . More generally we have:

**Proposition 3.8.** *If  $n \geq 2$ , and if an integral domain  $D$  satisfies condition  $(\rho_n)$ , then a simple extension domain  $E = D[\zeta]$  of  $D$  satisfies condition  $(\rho_{n-1})$ . Thus if  $D$  satisfies condition  $(\rho)$ , then every finitely generated extension domain of  $D$  also satisfies condition  $(\rho)$ .*

PROOF. Suppose  $P' \in \text{Spec}(E^{(n-1)})$  is such that  $P' \cap E = (0)$ . Under the canonical  $D$ -algebra homomorphism of  $D^{(n)}$  onto  $D[\zeta]^{(n-1)}$  mapping  $x_n \rightarrow \zeta$ , the preimage of  $P'$  is a prime ideal  $P \in \text{Spec}(D^{(n)})$  such that  $P \cap D = (0)$ . Since  $D$  satisfies condition  $(\rho_n)$ ,  $P$  is finitely generated. Therefore  $P'$  is finitely generated and  $E = D[\zeta]$  satisfies condition  $(\rho_{n-1})$ . The second statement of (3.8) follows from the first statement.  $\square$

**Corollary 3.9.** *Suppose  $R$  is an FH-ring and  $P \in \text{Spec}(R)$  is of finite valuative dimension. Then every finitely generated extension domain of  $R/P$  is quasi-coherent. In particular, if  $D$  is an FH-domain, then every finitely generated extension domain of  $D$  is quasi-coherent.*

PROOF. Apply (3.5) and (3.8).  $\square$

#### 4. INTEGRALLY CLOSED FH-DOMAINS

We recall that an integral domain  $D$  is a *Prüfer  $v$ -multiplication ring*,<sup>2</sup> abbreviated PVMD, if the divisorial ideals of  $D$  of finite type form a group [12, page 667], [6, page 427], [13]. It is well known that an integrally closed quasi-coherent

<sup>2</sup>The term  $v$ -multiplication ring is used in [10], while Bourbaki [3, page 96] calls such domains pseudo-Prüfer.

domain is a PVMD. A simple direct proof for this is to observe that if  $I$  is a nonzero finitely generated ideal of a quasi-coherent domain  $D$ , then  $J = II^{-1}$  is a finitely generated integral ideal of  $D$  with the property that  $J^{-1} = J : J$ . Since  $J$  is finitely generated, the elements of  $J : J$  are integral over  $D$ . If  $D$  is also integrally closed, then  $J^{-1} = J : J = D$ , and it follows that  $D$  is a PVMD.

**Proposition 4.1.** *Suppose  $R$  is an FH-ring and  $P \in \text{Spec}(R)$  is of finite valuative dimension. Then every finitely generated integrally closed extension domain of  $R/P$  is a PVMD. In particular, if  $D$  is an integrally closed FH-domain, then  $D$  is a PVMD.*

PROOF. This is immediate from (3.9) and the fact that an integrally closed quasi-coherent domain is a PVMD.  $\square$

**Corollary 4.2.** *Suppose  $D$  is a one-dimensional FH-domain such that the integral closure  $D'$  of  $D$  is a finitely generated  $D$ -module. Then  $D$  is Noetherian. In particular, a one-dimensional integrally closed FH-domain is a Dedekind domain.*

PROOF. By (4.1),  $D'$  is a PVMD. Since a one-dimensional PVMD is Prüfer, it follows that  $D'$ , and hence  $D$ , has valuative dimension one. Therefore, by (2.5), each prime ideal of  $D$  is finitely generated, and  $D$  is Noetherian.  $\square$

In preparation for showing that certain integrally closed FH-domains are Krull domains, we note the following.

**Proposition 4.3.** *A nontrivial valuation domain  $V$  is an FH-domain if and only if  $V$  is either a rank-one discrete valuation domain (DVR), or  $\text{Spec}(V)$  contains no prime ideal of finite positive height.* <sup>3</sup>

PROOF. If  $V$  contains a prime ideal of finite positive height and  $V$  is not a DVR, then  $V$  contains a non-finitely generated prime ideal  $P$  of finite height. Then  $PV[X]$  is of finite height in  $V[X]$  and is not finitely generated. On the other hand, it is clear that if  $V$  is a DVR, then  $V$  is an FH-domain. If  $\text{Spec}(V)$  contains no prime ideal of finite positive height, then Theorem 3.3 implies that  $V$  is an FH-domain, for as noted in (3.2),  $V$  satisfies condition  $(\rho)$ .  $\square$

**Theorem 4.4.** *Suppose  $D$  is an integrally closed FH-domain that satisfies the descending chain condition (d.c.c.) on prime ideals. Then  $D$  is a Krull domain, and each prime ideal of  $D$  of height one is finitely generated.*

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<sup>3</sup>A nontrivial valuation domain  $V$  has no prime ideal of finite positive height if and only if the nonzero prime ideals of  $V$  intersect in  $(0)$ .

PROOF. By Proposition 4.1,  $D$  is a PVMD. Hence there exists a set  $\{P_\alpha\}_{\alpha \in A}$  of prime ideals of  $D$  such that  $D = \bigcap_\alpha D_{P_\alpha}$ , where each  $D_{P_\alpha}$  is a valuation domain. By (2.1), each  $D_{P_\alpha}$  is an FH-domain. Since  $D$ , and therefore  $D_{P_\alpha}$ , satisfies d.c.c. on prime ideals, either  $P_\alpha = (0)$  or  $D_{P_\alpha}$  is a DVR. Therefore  $P_\alpha$  has finite valuative dimension, so by (2.5) each  $P_\alpha$  is finitely generated. Suppose  $d \in D$  is a nonzero non-unit, and let  $P$  be a minimal prime of  $(d)$ . Then  $D_P$  is a PVMD whose maximal ideal  $PD_P$  is the radical of a principal ideal. It follows that  $D_P$  is a valuation domain, thus a DVR, and  $P$  is finitely generated. Therefore each minimal prime of  $(d)$  is finitely generated. Hence by [9, Theorem 1.6],  $(d)$  has only finitely many minimal primes. It follows that the representation  $D = \bigcap_\alpha D_{P_\alpha}$  is locally finite, and  $D$  is a Krull domain in which each height-one prime ideal is finitely generated.  $\square$

**Question 4.5.** Suppose  $(R, \mathfrak{m})$  is a 2-dimensional quasilocal integrally closed FH-domain. Must  $R$  be Noetherian?

With notation as in (4.5), we note that if  $P$  is a height-one prime of  $R$ , then  $P$  is finitely generated and has finite valuative dimension. Therefore  $R/P$  is a one-dimensional quasilocal domain that satisfies condition  $(\rho)$  and hence is quasi-coherent. If  $R/P$  is Noetherian, then  $\mathfrak{m}$  is finitely generated and  $R$  is Noetherian.

**Acknowledgment:** Partial support from the National Science Foundation of the work of the second author is gratefully acknowledged.

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Received June 20, 1997

Revised version received September 12, 1997

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