

# Problems and Comments on Induction

## Chapter 5

### Section 5.1, Problems: 25, 32, 35, 51

**Comments.** We will take the following for granted: Let  $S$  be a non-empty subset of natural numbers. Then  $S$  contains a smallest element. This is called the *well-ordering principle*. The argument for showing this principle is clear. Let  $n$  be any element in  $S$ . Because  $S$  is non-empty, there must be such an  $n$ . If  $n$  is already the smallest element in  $S$ , we are done. Otherwise, there is a smaller element  $n_1$  in  $S$ . If  $n_1$  is the smallest element in  $S$ , we are done. Otherwise there is a smaller element  $n_2$  in  $S$ . Because we cannot have an infinite descending chain  $n > n_1 > n_2 > n_3 > \dots$  of natural numbers smaller than  $n$ , we must arrive this way at the smallest number in  $S$ .

From the well-ordering principle we can deduce the proof principle of *Mathematical Induction*. In order to prove a statement about natural numbers,  $P(n)$ , it is enough to prove  $P(0)$ , which is the **basis step**, together with the **inductive step**, which is the implication  $P(n) \rightarrow P(n+1)$ . Indeed, if we had some  $n$  for which  $P$  would not be true, then the set  $S = \{n \mid \neg P(n)\}$  would be non-empty. Thus  $S$  would have a least element,  $m$ . This  $m$  cannot be 1, because  $P$  is true for 1. Thus  $m$  must have a predecessor,  $m-1$ , which is a natural number. But  $P(m-1)$  is true. We have already chosen as number  $m$  the smallest number for which  $P$  is not true, and  $m-1$  is smaller than  $m$ . But then the inductive step:  $P(m-1) \rightarrow P(m)$  yields that  $P(m)$  must hold. But this is a contradiction,  $P$  does not hold for  $m$ .

Example 11, p. 247, is a beautiful and non-trivial example of mathematical induction.

There is a second version of induction. Assume that we can show the following:  $P(1)$  holds and  $P(n)$  holds, *in case that*  $P(k)$  holds for every  $k < n$ . Then  $P$  holds for all natural numbers  $n$ . Indeed, assume that we had a number  $n$  for which  $P$  does not hold. We take the smallest such number,  $n$ . It cannot be 1. But by the choice of  $m$ , we have  $P(k)$  for all  $k < n$ . But then  $P(n)$  holds, which is a contradiction.

This second principle of complete induction is often used in algebra. For example in order to show that every natural number is a product of primes. We define 1 as the empty product of primes. Then, if  $n$  is any natural number, it is either a prime, and we are done, or it is the product of two smaller numbers  $n_1$  and  $n_2$ . Assuming that every number smaller than  $n$  is a product of primes,  $n_1$  as well as  $n_2$  are products of primes. But then  $n = n_1 \cdot n_2$  is a product of primes.