

Stable Transitivity of Certain Noncompact Extensions of Hyperbolic Systems

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Abstract. Let $f : X \rightarrow X$ be the restriction to a hyperbolic basic set of a smooth diffeomorphism. We find several criteria for transitivity of noncompact connected Lie group extensions. As a consequence, we find transitive extensions for any finite-dimensional connected Lie group extension. If, in addition, the group is perfect and has an open set of elements that generate a compact subgroup, we find open sets of *stably* transitive extensions. In particular, we find stably transitive $SL(2, \mathbb{R})$ -extensions. More generally, we find stably transitive $Sp(2n, \mathbb{R})$ -extensions for all $n \geq 1$. For the Euclidean groups $SE(n)$ with $n \geq 4$ even, we obtain a new proof of a result of Melbourne and Nicol stating that there is an open and dense set of extensions that are transitive.

For groups of the form $K \times \mathbb{R}^n$ where K is compact, a separation condition is necessary for transitivity. Provided X is a hyperbolic attractor, we show that an open and dense set of extensions satisfying the separation condition are transitive. This generalizes a result of Nițică and Pollicott for \mathbb{R}^n -extensions.

1 Introduction

This paper is part of a program to classify the obstructions to (stable) topological transitivity in various classes of partially hyperbolic transformations. We concentrate on noncompact group-extensions of hyperbolic systems. Consider a transformation $f : X \rightarrow X$, a Lie group G , and a mapping $\beta : X \rightarrow G$ called a *cocycle*. These determine a skew product, or G -extension,

$$f_\beta : X \times G \rightarrow X \times G, \quad f_\beta(x, h) = (fx, \beta(x)h).$$

It is assumed throughout that X is a hyperbolic basic set and that G is a finite-dimensional connected Lie group. The G -extension f_β is called *stably transitive* if β lies in the interior (usually in the Hölder topology) of the subset of extensions that are topologically transitive. (Recall that a transformation $g : Y \rightarrow Y$ is *transitive* if it has a dense orbit.) The question we intend to address is whether noncompact group extensions of a hyperbolic basic set are typically *stably* topologically transitive.

If β takes values in a proper closed sub-semigroup S of G then obviously f_β is not transitive. An example is the group $G = SL(n, \mathbb{R})$ with sub-semigroup

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S consisting of matrices with non-negative entries. Since $\text{Int } S \neq \emptyset$, we can construct open sets of nontransitive $SL(n, \mathbb{R})$ -extensions. Our conjecture is that this situation is the only essential obstruction to transitivity.

Conjecture 1.1 *Assume that X is a hyperbolic basic set for $f : X \rightarrow X$ and G a finite-dimensional connected Lie group. Among the C^0 -small Hölder cocycles $\beta : X \rightarrow G$ that are not cohomologous to a cocycle with values in a maximal sub-semigroup of G with non-empty interior, there is a Hölder open and dense set for which the extension f_β is transitive.*

Recall the definition of cohomology:

Definition 1.2 Let G be a topological group. If $\beta_1, \beta_2 : X \rightarrow G$ are continuous functions, and $f : X \rightarrow X$ is a transformation, then β_1 and β_2 are called *cohomologous (over f)* if there exists a continuous function $u : X \rightarrow G$ such that $\beta_1 = (u \circ f)\beta_2 u^{-1}$.

In order to simplify the language, we let e denote both the identity element $e \in G$ and the constant cocycle $e : X \rightarrow G$ that takes the value e everywhere, and we introduce:

Definition 1.3 Let $r \geq 0$. We say that a cocycle $\beta : X \rightarrow G$ is *C^r -small* if it is C^r -close to the identity cocycle $e : X \rightarrow G$.

In some cases (for example, if G is nilpotent or $G = K \times \mathbb{R}^n$ is a semidirect product of a compact group K and \mathbb{R}^n), the Conjecture might hold even for cocycles that are not C^0 -small.

This paper attempts to give certain evidence in support of Conjecture 1.1. Many of our results are “Hölder-open and C^r -dense”, where Hölder-openness means “ C^s -open for any $s \in (0, 1)$, $s < r$ ”. Previously studied situations where the Conjecture is known to hold are the following:

- **G compact:** Note that in this case, semigroups coincide with subgroups and there are no proper subgroups with nonempty interior. It was proved by Brin [4] that if the fiber is a compact connected Lie group, then the transitive extensions of a transitive Anosov diffeomorphism contain a set that is open and dense in the C^2 -topology. As observed in [17], Brin’s result also holds in the Hölder topology. In fact, for any $r > 0$ the C^r cocycles that are transitive contain a Hölder-open and C^r -dense set, and this result generalizes to extensions of a hyperbolic attractor.

The latter result does not hold for extensions of general hyperbolic basic sets when $r < 1$ (in particular, the result is false if X is a subshift of finite type and G is a torus). However for compact group extensions of general hyperbolic basic sets, Field *et al.* [6] prove that the transitive extensions contain a set that is (i) Hölder open and dense (proving the Conjecture), and (ii) C^2 -open, C^r -dense for all $r \geq 2$. (See also [19, 8, 7].)

- **$G = SE(n)$, n even:** For all $n \geq 2$, there are again no sub-semigroups with non-empty interior (Corollary 6.9). For $n \geq 4$ even, Melbourne and Nicol [11] prove

that the set of stably transitive extensions of a hyperbolic basic set is Hölder-open and C^r -dense for all $r > 0$. This is obtained as an application of Nițică [13], by verifying ε -accessibility and density of recurrent points.

The argument for ε -accessibility in [11] breaks down for $n = 2$, but this can be recovered by assuming that the hyperbolic basic set is an attractor. So, for all $r > 0$, $SE(2)$ -extensions of hyperbolic attractors are transitive for a Hölder-open and C^r -dense set of cocycles. (See Proposition 6.1.)

Conjecture 1.1 remains open for $SE(n)$ -extensions with $n \geq 3$ odd, though some partial results are obtained in this paper.

- $G = \mathbb{R}^n$: Here, the maximal semigroups with non-empty interior are the half-spaces whose bounding hyperplane contains the origin. Hence, stable transitivity is certainly not a generic property of \mathbb{R}^n -extensions. However, there are no further obstructions. Nițică and Pollicott [15] prove that an \mathbb{R}^n -extension f_β over an infranil Anosov diffeomorphism is transitive (and hence stably transitive) if and only if β is not cohomologous to a cocycle with values in such a half-space. Moreover, the transitive Hölder \mathbb{R}^n -extensions are actually C^0 -stably transitive.

For general hyperbolic basic sets, transitive \mathbb{R}^n -extensions need not be stably transitive. However, let \mathcal{S} denote the set of cocycles that are not cohomologous to a cocycle with values in a half-space. For cocycles in \mathcal{S} , Field *et al.* [6] prove a result identical to that stated above for compact group extensions. Again this proves the Conjecture for \mathbb{R}^n -extensions.

Identical statements hold for general Abelian finite-dimensional Lie groups $G = \mathbb{R}^n \times T^d$, where T^d is a d -dimensional torus.

Write $f_\beta^k(x, g) = (f^k x, \beta(k, x)g)$. For $k \geq 0$ this gives

$$\beta(k, x) = \beta(f^{k-1}x)\beta(f^{k-2}x) \cdots \beta(fx)\beta(x).$$

The key notion in this paper is the following:

Definition 1.4 Let $f_\beta : X \times G \rightarrow X \times G$ be a skew-extension. Given $x \in X$, let

$$\mathcal{L}_\beta(x) = \{g \in G \mid \text{there exist } x_k \in X \text{ and } n_k > 0 \text{ such that } x_k \rightarrow x \text{ and } f_\beta^{n_k}(x_k, e) \rightarrow (x, g)\}.$$

That is, $\mathcal{L}_\beta(x)$ consists of the possible limits $\lim_{k \rightarrow \infty} \beta(n_k, x_k)$, subject to $x_k \rightarrow x$ and $f^{n_k}(x_k) \rightarrow x$. Note that we do not require that $n_k \rightarrow \infty$ or that $x_k \neq x$. Clearly $\mathcal{L}_\beta(x)$ is a closed subset of G .

In Section 3, we study the properties of $\mathcal{L}_\beta(x)$ when f is hyperbolic. In particular, $\mathcal{L}_\beta(x)$ is a semigroup of G . (See Lemma 3.1.) Under a center bunching condition on β , f_β is transitive provided that $\mathcal{L}_\beta(x) = G$ for some $x \in X$. (See Theorem 3.3.) As a consequence we obtain new results about the existence of transitive and stably transitive noncompact group extensions. We note that the bunching condition is automatically satisfied for nilpotent groups and semidirect products $K \ltimes \mathbb{R}^n$ where K is compact, as well as for sufficiently C^0 -small cocycles.

1.1 General finite-dimensional connected Lie groups

For arbitrary finite-dimensional connected Lie groups, there always exist transitive extensions.

Theorem 1.5 *Let G be a finite-dimensional connected Lie group, and X a hyperbolic basic set for $f : X \rightarrow X$. Then for any $r > 0$ there is a C^r cocycle $\beta : X \rightarrow G$ such that f_β is transitive. The cocycle β can be chosen to be arbitrarily C^r -small.*

1.2 Groups with compact elements

For a restricted class of groups we can exhibit stably transitive skew-products. Introduce the following property:

Definition 1.6 Call an element $g \in G$ *compact* if it generates a compact subgroup. Let $\mathcal{C} \subset G$ denote the set of compact elements.

Theorem 1.7 *Let G be a finite-dimensional connected Lie group and let X be a hyperbolic basic set for $f : X \rightarrow X$. Let $r > 0$.*

- (a) *If G is perfect and $\text{Int } \mathcal{C} \neq \emptyset$, then there is a nonempty Hölder-open set of C^r cocycles $\beta : X \rightarrow G$ for which f_β is transitive. This set contains cocycles that are arbitrarily C^r -small.*
- (b) *If G is a semidirect product of a compact connected Lie group and \mathbb{R}^n , G is perfect, and $\text{Int } \mathcal{C}$ is dense in G , then there is a Hölder-open and C^r -dense set of cocycles $\beta : X \rightarrow G$ for which f_β is transitive.*

Part (a) of this theorem applies immediately to the symplectic group $Sp(2n, \mathbb{R})$ (see Corollary 4.5). Part (b) applies to the Euclidean group $SE(n)$, $n \geq 4$ even (see Corollary 4.7) and so we recover by a different technique the result of [11].

1.3 The groups $G = K \times \mathbb{R}^n$, K compact

Let K be a compact connected Lie group and form the direct product $K \times \mathbb{R}^n$. As was the case for \mathbb{R}^n , there are maximal semigroups with nonempty interior of the form $K \times \{\text{half-space}\}$. We show that these are the only obstructions when X is a hyperbolic attractor.

Denote by \mathcal{S} the set of C^r cocycles $\beta : X \rightarrow K \times \mathbb{R}^n$ for which the \mathbb{R}^n -component of β is not cohomologous to a cocycle with values in a half-space.

Theorem 1.8 *Suppose that X is a hyperbolic attractor and G is of the form $K \times \mathbb{R}^n$ where K is a compact connected Lie group. Let $r > 0$. Then there is a Hölder-open and C^r -dense subset of cocycles in \mathcal{S} for which f_β is transitive.*

1.4 The groups $SE(n)$

We now consider Euclidean group extensions, so $G = SE(n) = SO(n) \times \mathbb{R}^n$, $n \geq 2$. (When $n = 1$, we have an \mathbb{R} -extension dealt with above.)

The simplest situation is $n \geq 4$ even. Melbourne and Nicol [11] proved that there is an open-dense set of transitive $SE(n)$ -extensions for such n and, as mentioned above, we recover their result as a consequence of Theorem 1.7.

The case $n = 2$ was mentioned above (see Proposition 6.1). However, the results in [11] have nothing to say about the case $n \geq 3$ odd. We can prove a result about stable transitivity in a special case:

Theorem 1.9 *Let $\sigma : \Sigma \rightarrow \Sigma$ be a transitive subshift of finite type. Let $n \geq 3$. Then the class of locally constant cocycles $\beta : \Sigma \rightarrow SE(n)$ contains a C^0 -open and Hölder dense subset for which σ_β is transitive.*

1.5 Semigroup problem

For many groups (see [22]), it is not hard to show that there is a large open set $U \subset G^p$ (p large enough) such that if $F \in U$ then the family F generates G as a group (that is, the group generated by F is dense in G). To obtain the condition $\mathcal{L}_\beta(x) = G$, we would like to prove that for a typical family $F \in G^p$ that generates G as a group, if F is not contained in a maximal semigroup with non-empty interior, then F generates G as a *semigroup* as well. We refer to this as the *Semigroup Problem*. This is true for $G = \mathbb{R}^n$ [15] and more generally for groups of the form $K \times \mathbb{R}^n$ where K is compact, see Theorem 5.10. The result is also true for $G = SE(n)$, see Theorem 6.8.

1.6 Structure of the paper

In §2 we introduce certain invariance properties for a metric on a group, and prove a few inequalities related to them and Hölder cocycles. In §3 we prove that the invariant $\mathcal{L}_\beta(x)$ is a semigroup and obtain a criterion for transitivity in terms of $\mathcal{L}_\beta(x)$. In §§4, 5 and 6 we prove the transitivity results for general Lie groups, $K \times \mathbb{R}^n$, and $SE(n)$. In §7 we list some open questions.

2 Inequalities

2.1 Hyperbolicity

Let M be a smooth manifold endowed with a Riemannian metric. Let $f : M \rightarrow M$ be a smooth diffeomorphism and $X \subset M$ a compact and f -invariant subset of M .

We say that $f : X \rightarrow X$ is *hyperbolic* if there exists a continuous Tf -invariant splitting $E^s \oplus E^u$ of the tangent bundle $T_X M$ and constants $C > 0, 0 < \lambda < 1$,

such that for all $n \geq 0$ and $x \in X$ we have:

$$\begin{aligned} \|(Df^n)_x v\| &\leq C\lambda^n \|v\|, \quad v \in E^s \\ \|(Df^{-n})_x v\| &\leq C\lambda^n \|v\|, \quad v \in E^u. \end{aligned} \tag{2.1}$$

We say that X is *maximal and isolated* if there exists an open neighborhood U of X such that every compact f -invariant set of U is contained in X .

The set X is a *basic set* for $f : M \rightarrow M$ if:

1. f is hyperbolic on X ;
2. X is maximal and isolated;
3. $f : X \rightarrow X$ is transitive.

We say that a basic set X is a *hyperbolic attractor* if there is a forward invariant open set $U \subset M$ such that $X = \bigcap_{n \geq 0} f^n(U)$.

2.2 Center bunching

Let G be a connected Lie group with Lie algebra LG . Let Ad denote the adjoint action of G on LG , and choose a norm $\|\cdot\|$ on LG . There is a metric d on G with the following properties (Pollicott and Walkden [20, p. 288]):

1. $d(\gamma_1\delta, \gamma_2\delta) = d(\gamma_1, \gamma_2)$;
2. $d(\delta\gamma_1, \delta\gamma_2) \leq \|\text{Ad}(\delta)\|d(\gamma_1, \gamma_2)$;

for any $\gamma_1, \gamma_2, \delta \in G$.

The estimates we need are related to the fact that the skew-extension can be viewed as a partially hyperbolic transformation (see, e.g., [16, 17, 20]). We are using the terminology of [20].

Definition 2.1 Given a cocycle $\beta : X \rightarrow G$, define $\mu \geq 1$ to be

$$\mu = \max \left\{ \limsup_{n \rightarrow \infty} \sup_{x \in X} \|\text{Ad}(\beta(n, x))\|^{1/n}, \limsup_{n \rightarrow \infty} \sup_{x \in X} \|\text{Ad}(\beta(n, x))^{-1}\|^{1/n} \right\}.$$

For $\alpha \in (0, 1)$, we say that a C^α cocycle β is *center bunched* if $\mu\lambda^\alpha < 1$.

Remark Although center bunching is sufficient for some of the constructions in this paper, our main results require a *strong* center bunching condition of the form $\mu^8\lambda^\alpha < 1$.

If G is compact or nilpotent, then $\mu = 1$ so that Hölder cocycles are automatically (strongly) center bunched. The same is true for semidirect products $G = K \rtimes \mathbb{R}^n$ where K is compact.

The α -Hölder (semi)norm of $\beta : X \rightarrow G$ is defined by

$$\|\beta\|_\alpha = \sup_{x \neq y} \frac{d(\beta(x), \beta(y))}{\text{dist}_X(x, y)^\alpha}.$$

The main result of this section is the following:

Lemma 2.2 *Let (G, d) be a connected Lie group, X a hyperbolic basic set for $f : X \rightarrow X$, and $\beta : X \rightarrow G$ an α -Hölder cocycle. Assume the center bunching condition $\mu\lambda^\alpha < 1$. Then there is a constant $C = C(f, \beta) > 0$ with the following property.*

Given $\varepsilon > 0$ sufficiently small and any $n \geq 1$, assume that there are two trajectories $x_k = f^k x_0, y_k = f^k y_0$, such that $d(x_k, y_k) < \varepsilon$ for $0 \leq k \leq n - 1$. Then

$$d(\beta(n, x_0), \beta(n, y_0)) \leq C(\|\text{Ad}(\beta(n, x_0))\| + 1)\varepsilon^\alpha. \tag{2.2}$$

Proof. From the local product structure it follows (for ε sufficiently small) that the intersection $W_{\text{loc}}^s(x_k) \cap W_{\text{loc}}^u(y_k)$ consists of a single point for $0 \leq k \leq n - 1$. Denote $z_k = W_{\text{loc}}^s(x_k) \cap W_{\text{loc}}^u(y_k)$ and note that $z_k = f^k z_0$. There is a constant C_0 , independent of n, x_0 and y_0 , such that

$$\begin{aligned} d(x_k, z_k) &\leq C_0 \lambda^k d(x_0, z_0), & d(y_k, z_k) &\leq C_0 \lambda^{n-k} d(y_{n-1}, z_{n-1}), \\ d(x_0, z_0) &\leq C_0 d(x_0, y_0), & d(y_{n-1}, z_{n-1}) &\leq C_0 d(x_{n-1}, y_{n-1}). \end{aligned}$$

By center bunching, there exists $\delta > 0$ such that $(\mu + \delta)\lambda^\alpha < 1$. By definition of μ , there exists a constant $C_1 > 0$ such that $\|\text{Ad}(\beta(k, x))^\pm\| \leq C_1(\mu + \delta)^k$ for all $x \in X$ and $k \geq 1$.

Denote:

$$\begin{aligned} \omega_k &= \beta(x_k), & \Omega &= \beta(n, x_0) = \omega_{n-1}\omega_{n-2} \dots \omega_0, \\ \gamma_k &= \beta(y_k), & \Gamma &= \beta(n, y_0) = \gamma_{n-1}\gamma_{n-2} \dots \gamma_0, \\ \phi_k &= \beta(z_k), & \Phi &= \beta(n, z) = \phi_{n-1}\phi_{n-2} \dots \phi_0. \end{aligned}$$

We claim that there are constants $C', C'' > 0$ depending only on f and β such that

$$d(\Omega, \Phi) \leq C' \|\text{Ad}(\Omega)\| \|\beta\|_\alpha d(x_0, z_0)^\alpha \tag{2.3}$$

$$d(\Phi, \Gamma) \leq C'' \|\beta\|_\alpha d(y_{n-1}, z_{n-1})^\alpha. \tag{2.4}$$

It then follows from the triangle inequality that $d(\Omega, \Gamma) \leq C(\|\text{Ad}(\Omega)\| + 1)\varepsilon^\alpha$ as required with $C = \max\{C', C''\}C_0^\alpha \|\beta\|_\alpha$.

Proof of (2.3).

$$\begin{aligned}
 d(\Omega, \Phi) &= d(\omega_{n-1}\omega_{n-2}\dots\omega_0, \phi_{n-1}\phi_{n-2}\dots\phi_0) \\
 &\leq \sum_{k=0}^{n-1} d(\omega_{n-1}\dots\omega_{k+1}\omega_k\phi_{k-1}\dots\phi_0, \omega_{n-1}\dots\omega_{k+1}\phi_k\phi_{k-1}\dots\phi_0) \\
 &\leq \sum_{k=0}^{n-1} \|\text{Ad}(\omega_{n-1}\dots\omega_{k+1})\| d(\omega_k, \phi_k) = \sum_{k=0}^{n-1} \|\text{Ad}(\Omega\omega_0^{-1}\dots\omega_k^{-1})\| d(\omega_k, \phi_k) \\
 &\leq \|\text{Ad}(\Omega)\| \sum_{k=0}^{n-1} \|\text{Ad}(\beta(k+1, x_0))^{-1}\| d(\omega_k, \phi_k).
 \end{aligned}$$

Moreover, $\|\text{Ad}(\beta(k+1, x_0))^{-1}\| \leq C_1(\mu + \delta)^{k+1}$ and

$$d(\omega_k, \phi_k) \leq \|\beta\|_\alpha d(x_k, z_k)^\alpha \leq \|\beta\|_\alpha \{C_0\lambda^k d(x_0, y_0)\}^\alpha,$$

and so

$$\begin{aligned}
 d(\Omega, \Phi) &\leq \|\text{Ad}(\Omega)\| \|\beta\|_\alpha \lambda^{-\alpha} C_0^\alpha C_1 d(x_0, y_0)^\alpha \sum_{k=0}^{n-1} [(\mu + \delta)\lambda^\alpha]^{k+1} \\
 &\leq C' \|\text{Ad}(\Omega)\| \|\beta\|_\alpha d(x_0, y_0)^\alpha,
 \end{aligned}$$

where $C' = C_0^\alpha \lambda^{-\alpha} C_1 (1 - (\mu + \delta)\lambda^\alpha)^{-1}$.

Proof of (2.4). Similarly,

$$\begin{aligned}
 d(\Gamma, \Phi) &= d(\gamma_{n-1}\gamma_{n-2}\dots\gamma_0, \phi_{n-1}\phi_{n-2}\dots\phi_0) \\
 &\leq \sum_{k=1}^n d(\gamma_{n-1}\dots\gamma_{n-k+1}\gamma_{n-k}\phi_{n-k-1}\dots\phi_0, \gamma_{n-1}\dots\gamma_{n-k+1}\phi_{n-k}\phi_{n-k-1}\dots\phi_0) \\
 &\leq \sum_{k=1}^n \|\text{Ad}(\gamma_{n-1}\dots\gamma_{n-k+1})\| d(\gamma_{n-k}, \phi_{n-k}) \\
 &\leq \sum_{k=1}^n \|\text{Ad}(\beta(k-1, y_{n-k+1}))\| \|\beta\|_\alpha \{C_0\lambda^k d(y_{n-1}, z_{n-1})\}^\alpha \\
 &\leq C'' \|\beta\|_\alpha d(y_{n-1}, z_{n-1})^\alpha
 \end{aligned}$$

where $C'' = C_0^\alpha \lambda^\alpha C_1 (1 - (\mu + \delta)\lambda^\alpha)^{-1}$. □

3 Criteria for transitivity of skew-products

We introduced the closed subset $\mathcal{L}_\beta(x) \subset G$ in Definition 1.4. We now show that $\mathcal{L}_\beta(x)$ is a semigroup.

Lemma 3.1 *Let X be a hyperbolic basic set for $f : X \rightarrow X$, and $\beta : X \rightarrow G$ an α -Hölder cocycle, where G is a finite-dimensional connected Lie group. Assume the center bunching condition $\mu\lambda^\alpha < 1$. Then, for any $x \in X$, the set $\mathcal{L}_\beta(x)$ is a closed semigroup.*

Proof. Let $h_1, h_2 \in \mathcal{L}_\beta(x)$; we show that $h_2h_1 \in \mathcal{L}_\beta(x)$. It follows from the definition of $\mathcal{L}_\beta(x)$ that for any $\varepsilon > 0$ there are positive integers n_i and points $y_i \in X$, $i = 1, 2$ such that:

$$d(y_i, x) < \varepsilon, \quad d(f^{n_i}(y_i), x) < \varepsilon, \quad d(\beta(n_i, y_i), h_i) < \varepsilon. \tag{3.1}$$

We can arrange also that

$$\|\text{Ad}(\beta(n_i, y_i))\| \leq \|\text{Ad}(h_i)\| + 1, \tag{3.2}$$

for $i = 1, 2$.

By standard shadowing techniques (see [12, page 74]), there is a $K > 0$ depending only on f such that one can $(K\varepsilon)$ -shadow the pseudo-orbit $\{y_1, fy_1, \dots, f^{n_1}y_1 \approx y_2, fy_2, \dots, f^{n_2}y_2\}$ by an orbit of length $n_1 + n_2$ of a point $z \in X$.

Since

$$d(\gamma_2\gamma_1, \omega_2\omega_1) \leq \|\text{Ad}(\omega_2)\|d(\gamma_1, \omega_1) + d(\gamma_2, \omega_2),$$

it follows that

$$\begin{aligned} d(\beta(n_1 + n_2, z), h_2h_1) &= d(\beta(n_2, f^{n_1}z)\beta(n_1, z), h_2h_1) \\ &\leq \|\text{Ad}(h_2)\|d(\beta(n_1, z), h_1) + d(\beta(n_2, f^{n_1}z), h_2). \end{aligned} \tag{3.3}$$

Using Lemma 2.2 together with inequalities (3.1) and (3.2), we obtain

$$\begin{aligned} d(\beta(n_1, z), h_1) &\leq d(\beta(n_1, z), \beta(n_1, y_1)) + d(\beta(n_1, y_1), h_1) \\ &\leq C(\|\text{Ad}(\beta(n_1, y_1))\| + 1)(K\varepsilon)^\alpha + \varepsilon \\ &\leq C(\|\text{Ad}(h_1)\| + 2)(K\varepsilon)^\alpha + \varepsilon. \end{aligned}$$

A similar estimate holds for $d(\beta(n_2, f^{n_1}z), h_2)$. Substituting these estimates into (3.3), gives

$$d(\beta(n_1 + n_2, z), h_2h_1) \leq C'(h_1, h_2)\varepsilon^\alpha,$$

where $C'(h_1, h_2)$ is a constant independent of the lengths of the orbits. Taking $\varepsilon \rightarrow 0^+$, we conclude that $h_2h_1 \in \mathcal{L}_\beta(x)$. □

Remark Finite-dimensionality of G is used only to guarantee that $\text{Ad}(h) : LG \rightarrow LG$ is a bounded operator for $h \in G$. For G an infinite-dimensional connected Lie group, it remains true that if $h_i \in \mathcal{L}_\beta(x)$ with $\|\text{Ad}(h_i)\| < \infty$ for $i = 1, 2$, then $h_2h_1 \in \mathcal{L}_\beta(x)$.

The next result follows from the symbolic representation of basic sets for hyperbolic diffeomorphism due to [3].

Lemma 3.2 *Let X be a hyperbolic basic set for $f : X \rightarrow X$. Then there is a constant $K > 1$, such that for any $\varepsilon > 0$ and any $x, y \in X$ there exists a trajectory of f joining $B(x, \varepsilon)$ to $B(y, \varepsilon)$ in at most $2 \ln \varepsilon / \ln \lambda + K$ steps.*

Proof. There exists an ω -Hölder and onto map $\pi : \Sigma_A \rightarrow X$ where Σ_A is a subshift of finite type with metric d_θ , $0 < \theta < 1$ and $\omega = \ln \lambda / \ln \theta$ (as in for example [18, Theorem III.3, p. 228]). There exists a constant C_0 such that the π -image of any cylinder $C_{-m,m}$ has diameter less than $C_0(\theta^m)^\omega = C_0\lambda^m$. In particular, if $C_0\lambda^m < \varepsilon$, then the cylinder $C_{-m,m}$ determined by x has the π -image in $B(x, \varepsilon)$. For this, it suffices to take

$$m = \left\lceil \frac{\ln \varepsilon}{\ln \lambda} - \frac{\ln C_0}{\ln \lambda} + 1 \right\rceil. \tag{3.4}$$

From the transitivity of f it follows that there exists a constant K_0 such that any two symbols in Σ_A can be joined by a block of length less than K_0 . Consider now the blocks B_1 and B_2 of length $2m + 1$ corresponding to the cylinders determined by x and respectively y , and a block B_3 of length less than K_0 joining the last symbol of B_1 with the first symbol of B_2 . Then the block $B_1B_3B_2$ gives a trajectory in Σ_A of length less than $2m + K_0$ between an element in the cylinder determined by x and an element in the cylinder determined by y . Applying π we obtain a trajectory in X of length less than $2m + K_0$. If m is chosen as in formula (3.4), then the lemma follows with $K = K_0 - 2 \ln C_0 / \ln \lambda + 2$. \square

We can now state and prove our criteria for transitivity of noncompact extensions.

Theorem 3.3 *Let G be a connected Lie group. Assume that X is a hyperbolic basic set for $f : X \rightarrow X$, and $\beta : X \rightarrow G$ is a Hölder cocycle. Assume the strong center bunching condition $\mu^s \lambda^\alpha < 1$. If there exists $x_0 \in X$ such that $\mathcal{L}_\beta(x_0) = G$, then the skew-product f_β is transitive.*

Proof. We need to show that for any open sets $U, V \subset X \times G$ there is a positive integer N such that $f_\beta^N(U) \cap V \neq \emptyset$. Let $(y, g_1) \in U$ and $(z, g_2) \in V$. Let $h = g_2 g_1^{-1}$. Let $\varepsilon > 0$ be fixed, smaller than the hyperbolicity constant λ , and such that $B((y, g_1), \varepsilon) \subset U$ and $B((z, g_2), \varepsilon) \subset V$. Let ω_1 be an orbit of f from $B(y, \varepsilon)$ to $B(x_0, \varepsilon)$, and ω_2 an orbit of f from $B(x_0, \varepsilon)$ to $B(z, \varepsilon)$, chosen as in Lemma 3.2. The orbits ω_1, ω_2 have length at most n where $n \leq 2 \ln \varepsilon / \ln \lambda + K$.

Since $\mathcal{L}_\beta(x_0) = G$, there exists an orbit ω of f starting and ending in $B(x_0, \varepsilon)$ such that $d(\beta(\omega), \beta(\omega_2)^{-1} h \beta(\omega_1)^{-1}) < \varepsilon$. Altogether, $\omega_1 \omega \omega_2$ gives a pseudo-orbit for f_β starting in U and ending in V . By standard shadowing techniques (see [12, page 74]), one can find an orbit $\tilde{\omega}_1 \tilde{\omega} \tilde{\omega}_2$ of f which $K'\varepsilon$ -shadows the pseudo-orbit $\omega_1 \omega \omega_2$. The constant $K' > 0$ depends only on f . We obtain an orbit $(\tilde{\omega}_1 \tilde{\omega} \tilde{\omega}_2, \beta(\tilde{\omega}_1 \tilde{\omega} \tilde{\omega}_2))$ for f_β starting in U , and we must show that this orbit ends in V also.

Choose δ so that $(\mu + \delta)\lambda^{\alpha/8} < 1$. There exists C_1 such that $\|\text{Ad}(\beta(k, x))^{\pm 1}\| \leq C_1(\mu + \delta)^k$ for all $k \geq 1$ and $x \in X$. Since ω_1, ω_2 have length at most n ,

$$\|\text{Ad}(\beta(\omega_i))^{\pm 1}\| \leq C_1(\mu + \delta)^n, i = 1, 2. \tag{3.5}$$

For ε sufficiently small, we can ensure that

$$\|\text{Ad}(\beta(\omega))\| \leq 2C_1^2\|\text{Ad}(h)\|(\mu + \delta)^{2n}, \tag{3.6}$$

(since $d(\beta(\omega)\beta(\omega_1)h^{-1}\beta(\omega_2), e) < \varepsilon$,
so by continuity $\|\text{Ad}(\beta(\omega)\beta(\omega_1)h^{-1}\beta(\omega_2))\| < 2$).

By the triangle inequality, Lemma 2.2 and (3.5), (3.6),

$$\begin{aligned} & d(\beta(\omega_2)\beta(\omega)\beta(\omega_1), \beta(\tilde{\omega}_2)\beta(\tilde{\omega})\beta(\tilde{\omega}_1)) \\ & \leq d(\beta(\omega_2), \beta(\tilde{\omega}_2)) + \|\text{Ad}(\beta(\omega_2))\|d(\beta(\omega), \beta(\tilde{\omega})) \\ & \quad + \|\text{Ad}(\beta(\omega_2)\beta(\omega))\|d(\beta(\omega_1), \beta(\tilde{\omega}_1)) \\ & \leq C \left\{ (\|\text{Ad}(\beta(\omega_2))\| + 1) + \|\text{Ad}(\beta(\omega_2))\|(\|\text{Ad}(\beta(\omega))\| + 1) \right. \\ & \quad \left. + \|\text{Ad}(\beta(\omega_2))\|\|\text{Ad}(\beta(\omega))\|(\|\text{Ad}(\beta(\omega_1))\| + 1) \right\} (K'\varepsilon)^\alpha \\ & \leq \tilde{C}((\mu + \delta)^{4n} + 1)\varepsilon^\alpha. \end{aligned}$$

Recall that $n \leq 2 \ln \varepsilon / \ln \lambda + K$, so that

$$(\mu + \delta)^{4n} \varepsilon^\alpha \leq \hat{C} \varepsilon^{\alpha + 8 \ln(\mu + \delta) / \ln \lambda}$$

which has a positive exponent by the choice of δ . Hence $\tilde{C}((\mu + \delta)^{4n} + 1)\varepsilon^\alpha$ converges to 0 as ε approaches 0. □

4 Transitive extensions for general Lie groups

In this section we describe several applications of our transitivity criteria.

Throughout this section we assume that X is a hyperbolic basic set for $f : X \rightarrow X$. Without loss of generality we can also assume that f has fixed points (if not, take an iterate of it). Let G be a finite-dimensional connected Lie group. By $\beta : X \rightarrow G$ we denote a center bunched α -Hölder cocycle.

The proofs depend on a way to generate elements of $\mathcal{L}_\beta(x)$.

Lemma 4.1 *Let $x \in X$ be a fixed point for the transformation f and y a homoclinic point to x . If there is a subsequence $n_k \rightarrow \infty$ such that $\beta(n_k, x) \rightarrow e$, then $\omega_x(y) \in \mathcal{L}_\beta(x)$, where $\omega_x(y)$ is the holonomy of the homoclinic loop determined by y .*

Let us describe the meaning of $\omega_x(y)$. Consider the homoclinic path determined by the orbit of $y \in W^s(x) \cap W^u(x)$ (covered along $W^u(x)$ from x to y and then along $W^s(x)$ from y to x). Then, the lift to the unstable/stable foliations of f_β , with initial point (x, e) , of this homoclinic path ends at $(x, \omega_x(y))$.

Note that these holonomy values can be easily modified by changing β in an open set which contains only finitely many iterates of y . Moreover, the holonomy varies continuously with the cocycle β : if β is C^α -close to β , then $\tilde{\omega}_x(y)$ is close to $\omega_x(y)$. See more details in the proof.

Proof of Lemma 4.1: As in [17, Theorem A.3] and [16, Theorems 2.4 and A.1], under the standing hypothesis of this section, the stable leaf of f_β through (x, e) is the graph of the function

$$\gamma_x^s : W^s(x) \rightarrow G, \quad \gamma_x^s(t) = \lim_{n \rightarrow \infty} \beta(n, t)^{-1} \beta(n, x). \tag{4.1}$$

This function is α -Hölder, and varies continuously with the cocycle β in the following sense: if $\beta_k \rightarrow \beta$ in C^0 and β_k stay C^α -bounded, then, on $W_{loc}^s(x)$, $\gamma_{k,x}^s \rightarrow \gamma_x^s$ in C^0 .

Applying the above results to f^{-1} , we obtain that the unstable manifold is the graph of

$$\gamma_x^u : W^u(x) \rightarrow G, \quad \gamma_x^u(t) = \lim_{n \rightarrow -\infty} \beta(n, t)^{-1} \beta(n, x),$$

and the same continuous dependence holds.

Therefore, the holonomy around the homoclinic loop determined by $y \in W^s(x) \cap W^u(x)$ is

$$\begin{aligned} \omega_x(y) &= \lim_{n \rightarrow \infty} (\beta(n, y)^{-1} \beta(n, x))^{-1} \beta(-n, y)^{-1} \beta(-n, x) \\ &= \lim_{n \rightarrow \infty} \beta(n, x)^{-1} \beta(2n, f^{-n}y) \beta(-n, x). \end{aligned}$$

Hence, if $\beta(n_k, x) \rightarrow e$, then $\omega_x(y) \in \mathcal{L}_\beta(x)$ because

$$\lim_{k \rightarrow \infty} f_\beta^{2n_k}(f^{-n_k}y, e) = \lim_{k \rightarrow \infty} (f^{n_k}y, \beta(2n_k, f^{-n_k}y)) = (x, \omega_x(y)).$$

□

Proposition 4.2 *Let G be a connected finite-dimensional Lie group. There exists $k \geq 1$ ($k = 2 \dim G$ suffices) such that for any $\varepsilon > 0$, there exist g_1, \dots, g_k with $d(g_i, e) < \varepsilon$ such that the closed sub-semigroup generated by g_1, \dots, g_k is G .*

Proof. Choose ξ_1, \dots, ξ_n that generate LG . For each i , choose $a_i, b_i > 0$ with $a_i/b_i \notin \mathbb{Q}$ and set $g_i = \exp(a_i \xi_i)$, $h_i = \exp(-b_i \xi_i)$. Shrink a_i and b_i if necessary so that $d(g_i, e) < \varepsilon$ and $d(h_i, e) < \varepsilon$.

The closed sub-semigroup S_i generated by g_i and h_i is in fact a Lie group and is the closure of the one-parameter subgroup generated by ξ_i . Hence, if S is the closed sub-semigroup generated by $g_1, \dots, g_n, h_1, \dots, h_n$, then S is a Lie group with Lie algebra containing ξ_1, \dots, ξ_n . Hence $LS = LG$ and so $S = G$ as required. □

Proof of Theorem 1.5: Let k be given by Proposition 4.2. Pick a fixed point x of f (or of an iterate of f), and k homoclinic points to x , say y_1, \dots, y_k , such that they have mutually disjoint orbits.

By Proposition 4.2, we may choose a set of group generators $\{g_1, \dots, g_k\}$ of G that are as close to the identity as desired.

Let $\beta : X \rightarrow G$ be the trivial cocycle. One can obtain $\omega_x(y_i) = g_i$ by setting $\beta(y_i) = g_i$, while keeping $\beta \equiv e$ on the remaining points in the trajectories of y_i (also keeping $\beta(x) = e$).

Since we only have to perturb β at finitely many points, the resulting cocycle is arbitrarily C^r -close to the identity. By keeping the cocycle small, we ensure also that Theorem 3.3 and formula (4.1) hold.

Since $\beta(x) = e$, Lemma 4.1 implies that all these holonomies are in $\mathcal{L}_\beta(x)$, hence $\mathcal{L}_\beta(x) = G$. The conclusion follows from Theorem 3.3. \square

Proposition 4.3 *Let G be a connected finite-dimensional Lie group. Let \mathcal{C} denote the set of compact elements in G and suppose that $\text{Int } \mathcal{C} \neq \emptyset$. Then $e \in \overline{\text{Int } \mathcal{C}}$.*

Proof. First note that if $g \in G$ and $n \geq 1$, then $g \in \mathcal{C}$ if and only if $g^n \in \mathcal{C}$. Hence n th roots of elements in $\text{Int } \mathcal{C}$ lie in $\text{Int } \mathcal{C}$. Thus it suffices to verify that there are elements in $\text{Int } \mathcal{C}$ of infinite order. (Such elements generate tori and hence have n th roots arbitrarily close to e .)

We use the following structure theorem for finite-dimensional connected Lie groups ([5]): There is a compact connected Lie group $K \subset G$ that is maximal in the sense that every compact element is conjugate to an element of K . The condition $\text{Int } \mathcal{C} \neq \emptyset$ implies that $\dim K \geq 1$. In particular, there is a dense set of elements in K of infinite order. Hence, if $g \in \mathcal{C}$, then g lies in a copy of K and can be perturbed to have infinite order. \square

The following lemma appears in [10, Lemma 3], for pairs of generators.

Lemma 4.4 (Kuranishi) *Let G be a connected perfect Lie group. If $\{f_1, f_2, \dots, f_k\} \subset G$ is a finite set that topologically generates G as a group, then there is a neighborhood V of e such that for any $f'_i \in Vf_i$, the set $\{f'_1, f'_2, \dots, f'_k\}$ topologically generates G as well.*

Proof of Theorem 1.7: First we prove statement (a). As in the proof of Theorem 1.5, we start with the trivial cocycle β and make C^r -small perturbations at finitely many points. Again, we pick a fixed point x and k homoclinic points y_1, \dots, y_k . The main difference is that we begin by perturbing $\beta(x)$ to lie in $\text{Int } \mathcal{C}$ (this is possible by Proposition 4.3). Since $\beta(x)$ is a compact element, we are still in a position to apply Lemma 4.1.

Choose k near identity elements g_1, \dots, g_k as in Proposition 4.2 but with the additional property that $g_i \in \text{Int } \mathcal{C}$. (The proof of Proposition 4.2 is easily modified using the fact that $\dim \mathcal{C} = \dim G$.) After the initial perturbation at x ,

the holonomies $\omega_x(y_i)$ are arbitrarily close to the identity, so we can make C^r -small perturbations at y_i so that $\omega_x(y_i) = g_i$. In this way, we obtain a transitive extension just as in the proof of Theorem 1.5.

It remains to verify that transitivity persists under Hölder perturbations of β . The properties $\beta(x) \in \text{Int } \mathcal{C}$ and $g_i = \omega_x(y_i) \in \text{Int } \mathcal{C}$ certainly persist, so the only question is whether the g_i continue to topologically generate G as a semigroup. Since g_i are compact elements, it is equivalent to show that they generate G as a group. But since G is perfect, it follows from Lemma 4.4 that generating G as a group is a stable property.

To prove statement (b), note that strong center bunching is now automatic. If moreover the set of compact elements in G is open and dense, then we can start with any cycle, and the proof proceeds as above. \square

Corollary 4.5 *Let X be a hyperbolic basic set for $f : X \rightarrow X$. Then any C^r -neighborhood of the identity cocycle $e : X \rightarrow Sp(2n, \mathbb{R})$ contains a Hölder-open set of cocycles β for which f_β is transitive.*

Proof. Recall that $Sp(2n, \mathbb{R})$ is the group of all matrices $M \in GL(2n, \mathbb{R})$ satisfying $M^T J M = J$ where $J = \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix}$. This is a semisimple group and hence is perfect. It is well known that $\text{Int } \mathcal{C}$ consists of those M for which all eigenvalues are simple, lie on the unit circle, and are not equal to ± 1 (e.g., [1, Example 3.5]). Now apply Theorem 1.7. \square

Lemma 4.6 *The group $SE(n)$, $n \geq 3$, is perfect.*

Proof. Recall that $SE(n) = SO(n) \ltimes \mathbb{R}^n$. Since $SO(n)$ is perfect for $n \geq 3$, $SO(n) \subset [SE(n), SE(n)]$.

Let $(k, v), (k, v') \in SE(n)$. Then:

$$(k, v)(k, v')(k, v)^{-1}(k, v')^{-1} = (e, (1 - k)(v - v')).$$

For any $v_0 \in \mathbb{R}^n$ we can choose $v, v' \in \mathbb{R}^n$ and $k \in SO(n)$ such that $(1 - k)(v - v') = v_0$, thus $\mathbb{R}^n \subset [SE(n), SE(n)]$. \square

The statement in the previous lemma is not true for $SE(2)$.

Corollary 4.7 *Let X be a hyperbolic basic set for $f : X \rightarrow X$. If $n \geq 4$ even, then in the set of C^r $SE(n)$ -extensions of f there is a Hölder-open and C^r -dense subset of stably transitive transformations.*

Proof. The interior of the set \mathcal{C} of compact elements is dense in $SE(n)$ for n even. By Lemma 4.6, $SE(n)$ is perfect for $n \geq 3$. Hence the result is a corollary of Theorem 1.7. \square

Remark The argument for $SE(n)$, $n \geq 4$ even, generalizes as follows. Suppose that $\Gamma \subset GL(n)$ is perfect, that $\text{Int } \mathcal{C} \neq \emptyset$, and that there is an open subset of $\text{Int } \mathcal{C}$

consisting of matrices with no eigenvalue equal to 1. Form the semidirect product $G = \Gamma \ltimes \mathbb{R}^n$ where the automorphism is given by restriction of the action of $GL(n)$ on \mathbb{R}^n . Then G is perfect and $\text{Int } \mathcal{C} \neq \emptyset$ for G . Hence Theorem 1.7 applies to G .

In particular, there exist stably transitive $Sp(2n, \mathbb{R}) \ltimes \mathbb{R}^{2n}$ -extensions for all $n \geq 1$.

5 $K \times \mathbb{R}^n$ -extensions

The main aim in this section is to prove Theorem 1.8 concerning $K \times \mathbb{R}^n$ -extensions.

We start by reviewing techniques of Brin [4] and Nițică [13]. For the moment, G is any semidirect product $K \ltimes \mathbb{R}^n$ where K is a compact connected Lie group.

Definition 5.1 Let X be a metric space, and $f : X \rightarrow X$ a continuous map. A point $x \in X$ is called *nonwandering* if for any neighborhood U of x there is a positive integer n such that $f^n(U)$ intersects U .

A proof of the following lemma follows from Appendix A in [17].

Lemma 5.2 *Let X be a hyperbolic basic set for $f : X \rightarrow X$, and $\beta : X \rightarrow G$ a Hölder cocycle. Then there exist a pair of f_β -invariant Hölder foliations of $X \times G$, called stable, respectively unstable.*

Definition 5.3 Let X be a hyperbolic basic set for $f : X \rightarrow X$, and $\beta : X \rightarrow G$ a Hölder cocycle. Denote by $W^s(x)$ and $W^u(x)$ the leaves of the stable, respectively unstable, foliations passing through $x \in X \times G$. The pair of stable and unstable foliations is called ε -accessible for any $\varepsilon > 0$ if for any pair of points $x, y \in X \times G$ and any $\varepsilon > 0$ there is a sequence of points $x_0 = x, x_1, \dots, x_n \in X \times G$ such that $x_i \in W^s(x_{i-1})$ or $x_i \in W^u(x_{i-1})$, and $d(x_n, y) < \varepsilon$.

The following lemma is proved in [13, Theorem 2.2].

Lemma 5.4 *Let X be a hyperbolic basic set for $f : X \rightarrow X$, and $\beta : X \rightarrow G$ a Hölder cocycle. If the skew-product f_β has a dense set of nonwandering points and the pair of stable and unstable foliations is ε -accessible for any $\varepsilon > 0$, then f_β is transitive.*

From [4] it is easy to derive the following lemma.

Lemma 5.5 *Let X be a hyperbolic attractor for $f : X \rightarrow X$. Then, the set of C^r cocycles $\beta : X \rightarrow G$ for which the stable and unstable foliations of f_β are accessible contains a Hölder-open and C^r -dense set.*

Remark We conjecture that the previous lemma holds under the weaker assumption that X is a hyperbolic basic set for $f : X \rightarrow X$. We will see in Corollary 6.4 that this is indeed the case if the fiber is $SE(n), n \geq 3$.

In the remainder of this section, we prove Theorem 1.8. Let π_2 be the canonical projection from $K \times \mathbb{R}^n$ onto \mathbb{R}^n . For $\beta : X \rightarrow K \times \mathbb{R}^n$ denote $\beta_2 = \pi_2 \circ \beta$.

5.1 An obstruction to transitivity

We first describe a necessary condition for transitivity that follows from the Livšic Positive Theorem of Bousch [2, §4].

Definition 5.6 ([15]) For $\beta_2 : X \rightarrow \mathbb{R}^n$, let

$$\mathcal{H}_{\beta_2} = \{\beta_2(k, x) \mid x \in X \text{ is a point with prime period } k\} \subset \mathbb{R}^n.$$

Lemma 5.7 *Let X be a hyperbolic basic set for $f : X \rightarrow X$, and $\beta : X \rightarrow K \times \mathbb{R}^n$ a Hölder cocycle. Then β is cohomologous to a cocycle whose \mathbb{R}^n -component takes values in a half-space if and only if \mathcal{H}_{β_2} is contained in the half-space,*

Proof. One direction is clear. Conversely, it follows from [15, Lemma 2(2)] that β_2 is cohomologous via a function $u : X \rightarrow \mathbb{R}^n$ to a cocycle $\gamma : X \rightarrow \mathbb{R}^n$ taking values in the half-space. Define $\hat{\gamma} = u\beta(u \circ T)^{-1}$. Then $\hat{\gamma}$ is cohomologous to β and the \mathbb{R}^n -component $\pi_2\hat{\gamma} = \gamma$ takes values in the half-space. □

Clearly, f_β cannot be transitive in the situation described in Lemma 5.7.

5.2 Transitivity of $K \times \mathbb{R}^n$ extensions

For the sake of completeness, we include the following well-known result.

Proposition 5.8 *Let G be a Lie group. Then any compact semigroup $S \subset G$ is actually a subgroup, hence it contains the identity element.*

Proof. Let $g \in S$. We show that $g^{-1} \in S$. Since S is compact, there is an increasing sequence $\{n_i\}$ such that $\{g^{n_i}\}$ converges. Then $g^{n_i - n_{i-1} - 1}$ lies in S and converges to g^{-1} . □

Lemma 5.9 *Let X be a hyperbolic basic set for $f : X \rightarrow X$, and $\beta : X \rightarrow K \times \mathbb{R}^n$ a continuous cocycle. Suppose that there exists $x \in X$ and $v \in \mathbb{R}^n$ such that (x, v) is a transitive point for f_{β_2} . Then every point in $X \times (K \times \mathbb{R}^n)$ is nonwandering for f_β .*

Proof. Let $y \in X$. Because K is compact, the transitivity of f_{β_2} implies that $\mathcal{L}_\beta(y)$ contains an element $(k, 0)$. By Proposition 5.8, $(e, 0) \in \mathcal{L}_\beta(x)$, that is, $(y, e, 0)$ is nonwandering. Due to the skew-product structure, this is equivalent to the whole fiber $\{y\} \times K \times \mathbb{R}^n$ being nonwandering. □

Proof of Theorem 1.8: By Lemma 5.5, there is an open and dense set of cocycles β possessing the accessibility property for the pair of stable and unstable foliations. Restricting to the open subset \mathcal{S} it follows from [6] that the \mathbb{R}^n -extension f_{β_2} is transitive for an open and dense set of cocycles $\beta \in \mathcal{S}$. By Lemma 5.9, the corresponding $K \times \mathbb{R}^n$ -extensions f_β consist of nonwandering points. The result follows from Lemma 5.4. □

5.3 The semigroup problem for $K \times \mathbb{R}^n$

Theorem 5.10 *Let $S \subset K \times \mathbb{R}^n$. Assume that the closure of the group generated by S is $K \times \mathbb{R}^n$, and that the projection of S onto \mathbb{R}^n does not lie in a half-space. Then the closure of the semigroup generated by S is $K \times \mathbb{R}^n$.*

Proof. Let $(k_0, v_0) \in S$ and let T denote the closure of the semigroup generated by S . We show that $(k_0, v_0)^{-1} \in T$.

Note that an element $(h, 0) \in K \times \mathbb{R}^n$ generates a compact subgroup by Proposition 5.8. Hence, if $(h, 0) \in T$, then $(h, 0)^{-1} \in T$.

Let $\pi_2 S$ be the projection of S on \mathbb{R}^n . By assumption, $\pi_2 S$ does not lie in a half-space. Moreover the closure of the group generated by $\pi_2 S$ is \mathbb{R}^n . It follows from [15, Lemma 5] that the closure of the semigroup generated by $\pi_2 S$ is \mathbb{R}^n as well. Since K is compact, $\pi_2 T = \mathbb{R}^n$.

In particular, there exists $k_1 \in K$ such that $(k_1, -v_0) \in T$. Let $h = k_0 k_1$. Then $(h, 0) = (k_0, v_0)(k_1, -v_0) \in T$ and so $(h, 0)^{-1} \in T$. Hence, $(k_0, v_0)^{-1} = (k_1, -v_0)(h, 0)^{-1} \in T$. □

6 $SE(n)$ -extensions

Recall that $SE(n) = SO(n) \ltimes \mathbb{R}^n$ is the group generated by rotations and translations in \mathbb{R}^n . The multiplication in $SE(n)$ is given by $(k_1, v_1)(k_2, v_2) = (k_1 k_2, k_1 v_2 + v_1)$.

6.1 Transitivity of $SE(2)$ -extensions

Proposition 6.1 *Let X be a hyperbolic attractor for $f : X \rightarrow X$ and let $r > 0$. Then there is a Hölder-open and C^r -dense set of cocycles $\beta : X \rightarrow SE(2)$ for which f_β is transitive.*

Proof. By Lemma 5.5, accessibility of the pair of stable and unstable foliations holds for an open and dense set of cocycles. By [11, Theorem 3.2], the recurrent points are dense for an open and dense set of cocycles. The result follows from Lemma 5.4. □

6.2 Generating sets for $SE(n)$

Lemma 6.2 *Let $n \geq 1$. The set of $(n + 1)$ -tuples that generate \mathbb{R}^n as a closed group is dense in $(\mathbb{R}^n)^{n+1}$.*

Proof. See Lemma 2.6 in [14]. □

Lemma 6.3 *Let $n \geq 3$. The set of $(n + 3)$ -tuples in $SE(n)$ that generate $SE(n)$ as a closed group is open and dense in $SE(n)^{n+3}$.*

Proof. Choose an arbitrary $(n + 3)$ -tuple $\{(k_i, v_i)\}_i \subset SE(n)$. It follows from [21] that we can find \bar{k}_1, \bar{k}_2 arbitrarily close to k_1, k_2 such that the closed group generated by \bar{k}_1, \bar{k}_2 is $SO(n)$. Then find \bar{k}_i arbitrarily close to $k_i, 3 \leq i \leq n + 3$, so that the inverses of the elements $\bar{k}_i, 3 \leq i \leq n + 3$, are in the group generated by \bar{k}_1, \bar{k}_2 . Hence, there are elements $v'_i \in \mathbb{R}^n, 3 \leq i \leq n + 3$, such that (\bar{k}_i^{-1}, v'_i) are in the group generated by $\{(\bar{k}_i, v_i)\}_{i=1}^{n+3}$. Therefore, the group generated by $\{(\bar{k}_i, v_i)\}_{i=1}^{n+3}$ contains $(\bar{k}_i, v_i)(\bar{k}_i^{-1}, v'_i) = (e, v_i + \bar{k}_i v'_i), 3 \leq i \leq n + 3$. From Lemma 6.2 it follows that we can find vectors \bar{v}_i arbitrarily close to $v_i, 3 \leq i \leq n + 3$, such that the $(n + 1)$ -tuple $\{\bar{v}_i + \bar{k}_i v'_i\}_{i=3}^{n+3}$ generates a subgroup dense in \mathbb{R}^n . If we denote $\bar{v}_1 = v_1$ and $\bar{v}_2 = v_2$, it follows that \mathbb{R}^n is in the closure of the group generated by the $(n + 3)$ -tuple $\{(\bar{k}_i, \bar{v}_i)\}_{i=1}^{n+3}$. Since $(\bar{k}_i, 0) = (\bar{k}_i, \bar{v}_i)(e, -\bar{k}_i^{-1}\bar{v}_i), 1 \leq i \leq 2$, and \bar{k}_1, \bar{k}_2 generate a dense subgroup of $SO(n)$, it follows that the closure of the group generated by the $(n + 3)$ -tuple $\{(\bar{k}_i, \bar{v}_i)\}_{i=1}^{n+3}$ is $SE(n)$, thus proving the density.

By Lemma 4.6, $SE(n)$ is perfect and so openness follows from Lemma 4.4. \square

Corollary 6.4 *Let X be a basic hyperbolic set for $f : X \rightarrow X$, and $n \geq 3, r > 0$. Then, those C^r cocycles $\beta : X \rightarrow SE(n)$ for which the stable and unstable foliations of f_β are ε -accessible for any $\varepsilon > 0$, form a Hölder-open and C^r -dense set.*

Proof. Using Lemma 6.3, the proof of the lemma is similar to the proof of ε -accessibility in [7, Theorem 3.1.1]. \square

6.3 The semigroup problem for $SE(n)$

Lemma 6.5 *Let $v, w \in \mathbb{R}^n$. If $\angle(v, w) > \cos^{-1}(-3/4)$, then*

$$|v + w| < \max\{|v|, |w|\} - \min\{|v|, |w|\}/4.$$

Proof. Assume that $|v| \geq |w|$. Then:

$$\begin{aligned} |v + w|^2 &= |v|^2 + |w|^2 + 2|v||w| \cos \angle(v, w) \leq |v|^2 + |w|^2 - 3|v||w|/2 \\ &= |v|^2 + |w|(|w| - 3|v|/2) \leq |v|^2 + |w|(|w| - |w| - |v|/2) \\ &= |v|^2 - |v||w|/2 \leq (|v| - |w|/4)^2. \end{aligned} \quad \square$$

Lemma 6.6 *Let G be a topological group and $S \subset G$. Assume that there is a compact subset $\mathcal{K} \subset G$ such that for any $g \in G$ there is a word w in the semigroup generated by S with $wg \in \mathcal{K}$. Then the closure of the semigroup generated by S in G is a group.*

Proof. We show that the inverse element of any element $g \in S$ belongs to the closure of the semigroup generated by S .

Let $g \in S$. By the assumption of the lemma there are $w_1, w_2, \dots, w_k, \dots$ words in the semigroup generated by S such that $w_k g w_{k-1} g \dots w_2 g w_1 g \in \mathcal{K}$ for any k . Since \mathcal{K} is compact, there is a subsequence $W_i = w_{k_i} g w_{k_i-1} g \dots w_2 g w_1 g$ that converges to an element g_0 in the closure of the semigroup generated by S . Consider now the sequence $W_{i+1} W_i^{-1} = w_{k_{i+1}} g \dots w_{k_i+2} g w_{k_i+1} g$ which is included in the

semigroup generated by S and converges to identity. It follows that the sequence $W_{i+1}W_i^{-1}g^{-1} = w_{k_{i+1}}g \dots w_{k_i+2}gw_{k_i+1}$ is included in the semigroup generated by S and converges to g^{-1} . \square

Lemma 6.7 *Let $S \subset SE(n)$, $n \geq 1$. Assume that the closure of the group generated by S is $SE(n)$, and the semigroup generated by S is unbounded. Then there are constants $L > 0, C > 0$ such that for any $(k, v) \in SE(n)$ with $|v| > L$, there exists (\hat{k}, \hat{v}) in the semigroup generated by S such that $|\hat{v} + \hat{k}v| < |v| - C$.*

Proof. Since the closure of the group generated by S is $SE(n)$, the projection of S on $SO(n)$ has to generate a dense group. Since $SO(n)$ is compact, it follows from Proposition 5.8 that the projection of S on $SO(n)$ generates a dense semigroup. Hence we can find a finite set $\{(k_i, v_i)\} \subset S$ such that for any $v, w \in \mathbb{R}^n$ there is (k_i, v_i) such that $\angle(k_i v, w) > \cos^{-1}(-9/10)$. Let $N = \max_i |v_i|$ for all i .

Choose now an element (\bar{k}, \bar{v}) of the semigroup generated by S such that $|\bar{v}| > M = 100N + 1$. Define $(\bar{k}_i, \bar{v}_i) = (\bar{k}, \bar{v})(k_i, v_i) = (\bar{k}k_i, \bar{v} + \bar{k}v_i)$, and let $C = 4 \min_i |\bar{v}_i|$. Note that $C > 0$ and $|\bar{v}_i - \bar{v}| \leq N$. Note also that for any $v, v' \in \mathbb{R}^n$ there is (\bar{k}_i, \bar{v}_i) such that $\angle(\bar{k}_i v, v') > \cos^{-1}(-9/10)$.

Assume now that $L = \max |\bar{v}_i| \leq |\bar{v}| + N$, and $(k, v) \in SE(n)$ with $|v| > L$. Choose \bar{k}_i pointing such that $\angle(\bar{k}_i v, \bar{v}) > \cos^{-1}(-9/10)$, and consequently $\angle(\bar{k}_i v, \bar{v}_i) > \cos^{-1}(-3/4)$. From Lemma 6.5 it follows now that $|\bar{k}_i v + \bar{v}_i| < |v| - |\bar{v}_i|/4 \leq |v| - C$. \square

Theorem 6.8 *Let $S \subset SE(n)$, $n \geq 1$. Assume that the closure of the group generated by S is $SE(n)$. Then the closure of the semigroup generated by S is $SE(n)$.*

Proof. It follows from Proposition 5.8 that the closure of the semigroup generated by S is unbounded. Hence we can apply Lemma 6.7. Define the compact set $\mathcal{K} = SO(n) \times D$ where $D \subset \mathbb{R}^n$ is the closed disk of radius L centered at 0 and L is the constant given in Lemma 6.7.

Let $g \in SE(n)$. We can apply Lemma 6.7 several times and find an element w in the semigroup generated by S such that $wg \in \mathcal{K}$. It follows now from Lemma 6.6 that the closure of the semigroup generated by S is $SE(n)$. \square

Corollary 6.9 *Assume $n \geq 3$. The set of $(n + 3)$ -tuples in $SE(n)$ that generate $SE(n)$ as a closed semigroup is open and dense in $SE(n)^{n+3}$.*

Proof. This follows from Lemma 6.3 and Theorem 6.8. \square

6.4 Locally constant $SE(n)$ -extensions over subshifts of finite type

Let $k \geq 2$, and let A be a $k \times k$ $0 - 1$ matrix. Define

$$\Sigma = \Sigma_A = \left\{ \omega = (\omega_n)_{-\infty}^{\infty} \in \{1, \dots, k\}^{\mathbb{Z}} \mid A(\omega_n, \omega_{n+1}) = 1 \text{ for all } n \in \mathbb{Z} \right\}.$$

The map $\sigma : \Sigma \rightarrow \Sigma$ given by $(\sigma\omega)_n = \omega_{n+1}$ is called a *subshift of finite type*.

Fix an integer $N \geq 0$ and symbols $\alpha_{-N}, \dots, \alpha_N$, and call the subset

$$C_{\alpha_{-N}, \dots, \alpha_N} = \{ \omega \in \Sigma \mid \omega_{n_i} = \alpha_i \text{ for } i = -N, \dots, N \}$$

a (*symmetric*) cylinder of rank N . For any positive integer N we define a partition of Σ given by the family of symmetric cylinders $C_{\alpha_1, \dots, \alpha_N}$ of rank N .

We consider cocycles which are constant over the elements of a finite partition \mathcal{P} of Σ given by cylinders. Such cocycles are called *locally constant*. Note that locally constant cocycles are Hölder.

Lemma 6.10 *Let $\sigma : \Sigma \rightarrow \Sigma$ be a transitive subshift of finite type and G a connected Lie group for which there exists $k \geq 2$ such that the set of k -tuples in G that generate G as a closed semigroup is open and dense in G^k . Then the class of locally constant cocycles $\beta : \Sigma \rightarrow G$ contains a C^0 -open and Hölder-dense subset for which σ_β are topologically transitive.*

Proof. The proof is similar to arguments in [14], and we refer the reader to that paper. \square

Remark Note that if G contains a noncompact connected semisimple Lie group then no k as in Lemma 6.10 exists [22, Corollary 7].

Proof of Theorem 1.9: It follows from Corollary 6.9 that the set of $(n+3)$ -tuples in $SE(n)$ that generate $SE(n)$ as a closed semigroup is open and dense in $SE(n)^{n+3}$. Now apply Lemma 6.10. \square

7 Some open questions

In this paper, we have explored the validity of Conjecture 1.1 on the stable transitivity of partially hyperbolic group extensions for various classes of Lie groups. However, the present results depend significantly on the properties of the basic set X and the group G . There are many open questions even at the level of the *existence* of stably transitive extensions. For instance, suppose X is a hyperbolic attractor (the simplest case).

- (a) Does there exist a stably transitive $SE(3)$ extension of X (more generally, $SE(n)$ with $n \geq 3$ odd)?
- (b) Does there exist a stably transitive $SL(3, \mathbb{R})$ extension of X (more generally, $SL(n, \mathbb{R})$ with $n \geq 3$)?

For groups of the form $K \times \mathbb{R}^n$ with K compact, we prove stable transitivity for extensions of a hyperbolic attractor, but the situation for general basic sets remains open:

- (c) If X is a general hyperbolic basic set and K is a compact connected Lie group, does there exist a stably transitive $K \times \mathbb{R}^n$ -extension of X ?

Questions (a) and (c) indicate the lack of knowledge about the relatively tractable class of groups that are semidirect products $K \times \mathbb{R}^n$ where K is compact, despite the progress in [11, 15] and in this paper. Similarly, question (b) illustrates the situation for semisimple Lie groups other than $Sp(2n, \mathbb{R})$.

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