

# Functional Analysis, Math 7320

## Lecture Notes from November 1st, 2016

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**3.2.14 Corollary.** *Let  $X$  be  $F$ -spaces,  $Y$  be topological vector spaces and let  $\Gamma$  be a collection of continuous linear maps from  $X$  to  $Y$ . If*

$$B_x = \{Ax : A \in \Gamma\}$$

*is bounded in  $Y$ , for every  $x \in X$ . Then  $\Gamma$  is equicontinuous and hence uniformly bounded.*

*Proof.* here we have

$$B = \{x \in X : B_x \text{ is bounded}\} = X$$

is of second category, so the preceding theorem applies. □

we specialize further to norm space  $X, Y$ .

**3.2.15 Theorem.** *(Banach-Steinhaus) Let  $\Gamma$  be a family of continuous linear mappings from a Banach space  $X$  into a normed space  $Y$ . If for every  $x \in X$ ,*

$$\sup_{A \in \Gamma} \|Ax\| < \infty,$$

*then there exists  $M > 0$  s.t for all  $A \in \Gamma, x \in X, \|x\| \leq 1$ , we have*

$$\|Ax\| \leq M.$$

*Hence*

$$\sup_{A \in \Gamma} \|A\| \leq M.$$

we investigate consequence of sequence of operators:

Let  $(A_n)_{n \in \mathbb{N}}$  be a sequence of continuous map from  $F$ -space to Topological vector space  $Y$ , and for each  $x \in X$ ,

$$\lim_{n \rightarrow \infty} A_n(x) = A(x) \text{ exists.}$$

Question: is  $A$  bounded?

**3.2.16 Corollary.** *Let  $X$  be  $F$ -spaces,  $Y$  be topological vector spaces and let  $(A_n)_{n \in \mathbb{N}}$  be a collection of continuous linear maps from  $X$  to  $Y$ . And suppose for each  $x \in X$ ,*

$$\lim_{n \rightarrow \infty} A_n(x) = A(x) \text{ exists.}$$

*Then  $A$  is linear and bounded.*

*Proof.* let  $x \in X$ , by the condition of  $(A_n x)_{n \in \mathbb{N}}$  in  $Y$ ,  $(A_n x)_{n \in \mathbb{N}}$  is bounded in  $Y$ , so  $(A_n)_{n \in \mathbb{N}}$  is equicontinuous by Banach-Steinhaus for  $F$ -space.

Thus if  $W \in \mathcal{U}^Y$ , then there is  $V \in \mathcal{U}^X$ , s.t

$$A_n(V) \subset W \text{ for each } n \in \mathbb{N}.$$

then

$$\left\{ y \in Y : y = \lim_{n \rightarrow \infty} A_n(x) \text{ for } x \in V \right\} \subset \overline{W}.$$

so

$$A(V) \subset \overline{W}.$$

Hence  $A$  is bounded. □

**3.2.17 Example.** Consider  $c_{00}$ , and let  $A_n : c_{00} \rightarrow c_{00}$  be given by:

$$(A_n x)_m = \begin{cases} mx_m & \text{if } m \leq n \\ 0 & \text{if } m > n \end{cases} \quad (1)$$

then

$$\|A_n\| = n < \infty.$$

So each  $A_n$  is bounded and for each  $x \in c_{00}$ ,

$$\lim_{n \rightarrow \infty} A_n(x) = A(x) \text{ exists.}$$

But, choosing  $e_m = (0, 0, \dots, 0, 1, 0, \dots)$  where 1 is in the  $m$ th position, shows:

$$\sup_{\|x\|_\infty \leq 1, x \in c_{00}} \|Ax\| > \sup_{m \in \mathbb{N}} |Ae_m| = \sup_{m \in \mathbb{N}} m = \infty.$$

This is not a contradiction to uniform boundedness, because  $c_{00}$  is not complete. If we replace  $c_{00}$  with  $c_0$ , then however with

$$x = (n^{-1/2})_{n \in \mathbb{N}} \in c_0,$$

we would have

$$(Ax)_n = n^{1/2} \rightarrow \infty.$$

so

$$Ax \notin c_0,$$

that means we lose pointwise convention.

### 3.3 Open mapping theorem

we recall that if  $X, Y$  are Hausdorff,  $X$  is compact and  $f : X \rightarrow Y$  onto continuous, then  $f$  is open map. Now we define an analogous result for maps between  $F$ -space.

**3.3.18 Theorem.** *Let  $A$  be a continuous map from  $F$ -space  $X$  to a TVS space  $Y$  which is continuous, linear and  $A(X)$  is of second category in  $Y$ , then  $A(X) = Y$ ,  $A$  is open and  $Y$  is an  $F$ -space.*

To prove that  $A$  is open, we only need to show if it is open at 0, i.e an open neighborhood of  $0 \in X$  is mapped to a open neighborhood of  $0 \in Y$ . After proving this, we have for any balanced  $V \in \mathcal{U}^X$ , there is a balanced  $W \in \mathcal{U}^Y$  such that

$$W \subset A(V) \subset A(X).$$

but by linearity, for any  $n \in \mathbb{N}$ ,

$$nW \subset nA(V) \subset A(X).$$

Next, we want to show  $\overline{A(V_2)}$  has non-empty interior to get  $W \in \mathcal{U}^Y$  with  $W \subset \overline{A(V_1)}$ .

Next,

$$A(X) = A\left(\bigcup_{k=1}^{\infty} kV_2\right) = \bigcup_{k=1}^{\infty} (kA(V_2)).$$

So one of  $kA(V_2)$  is of second category, but scaling with  $M_k$  is a homeomorphism, so  $A(V_2)$  is of second category, so  $\overline{A(V_2)}$ .

We still need to show  $\overline{A(V_1)} \subset A(V)$  :

Repeating the nested argument with  $V_n$  instead of  $V_1$ , we have that  $\overline{A(V_{n+1})}$  has a non-empty interior, so if  $y_1 \in \overline{A(V_1)}$ , then given  $y_n \in \overline{A(V_n)}$ , we see

$$(y_n - A(V_{n+1})) \cap A(V_n) \neq \emptyset,$$

and

$$(y_n - \overline{A(V_{n+1})}) \cap A(V_n) \neq \emptyset.$$

Thus, there exists  $x_n \in V_n$  with

$$Ax_n \in y_n - \overline{A(V_{n+1})}.$$

We can choose

$$y_{n+1} = y_n - Ax_n,$$

so

$$y_{n+1} \in \overline{A(V_{n+1})}.$$

From  $d(x_n, 0) < 2^{-n}r$ , partial sums  $\sum_{j=1}^n x_j$  form a Cauchy sequence:

$$d\left(\sum_{j=1}^n x_j, \sum_{j=1}^m x_j\right) = d\left(\sum_{j=n}^m x_j, 0\right) < \sum_{j=n}^m 2^{-j}r \rightarrow 0,$$

which converges by completeness to some  $x \in X$ .

Next,

$$\sum_{n=1}^m Ax_n = \sum_{n=1}^m (y_n - y_{n+1}) = y_1 - y_{m+1} \rightarrow y_1.$$

So we have

$$y_1 = Ax \in A(V).$$

Finally we want to show that  $Y$  is a  $F$ -space.