

# Math 7320 Lecture Notes from September 8, 2022

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September 20, 2022

Warm-Up: Let's give meaning to the statement "When dealing with complex matrices, the adjoint is the transpose conjugate."

We consider  $\mathcal{H} = \mathbb{C}^n$ . Associate with  $n \times n$  complex matrix  $A$ , the map  $x \rightarrow Ax$ . The inner product is  $\langle x, y \rangle = \sum_{i=1}^n x_i \bar{y}_i$ . We know  $A^*$  is characterized by the identity for each  $x, y \in \mathbb{C}^n$ ,  $\langle Ax, y \rangle = \langle x, A^* y \rangle$ ,

$$\begin{aligned}\langle Ax, y \rangle &= \sum_{i=1}^n A x_i \bar{y}_i \\ &= \sum_{i=1}^n \sum_{j=1}^n A_{ij} x_j \bar{y}_i \\ &= \sum_{j=1}^n x_j \sum_{i=1}^n \overline{A_{ij}^T} y_i\end{aligned}$$

By comparing with the identity we can see that  $\sum_{i=1}^n \overline{A_{ij}^T} y_i = \overline{(A^* y)_j}$ . Now, we will study the types of operators introduced in the last class.

## 1 Theorem

For  $A \in \mathcal{B}(\mathcal{H})$  the following holds:

1. If  $A$  is Hermitian then  $A$  is normal
2. If  $A$  is unitary then  $A$  is normal
3. The operators  $AA^*$  and  $A^*A$  are Hermitian
4. There are uniquely determined Hermitian operators  $B, C \in \mathcal{B}(\mathcal{H})$  such that  $A = B + iC$
5.  $A$  is uniquely determined by the sesquilinear form  $b_A : \mathcal{H} \times \mathcal{H} \rightarrow \mathbb{C}$ ,  $(x, y) \rightarrow \langle Ax, y \rangle$
6. The following are equivalent
  - (a) the sesquilinear form  $b_A$  is Hermitian
  - (b)  $A$  is Hermitian
  - (c)  $b_A(x, x) \in \mathbb{R}$  for each  $x \in \mathcal{H}$ . In this case  $A$  is determined by  $x \rightarrow b_A(x, x)$
7. If  $A$  is Hermitian and  $\langle Ax, y \rangle = 0$  for each  $x \in \mathcal{H}$  then  $A = 0$

## 2 Proofs

1. By definition of  $A$  being normal and  $A=A^*$
2. From  $AA^* = id_{\mathcal{H}} = A^*A$
3. We see  $(AA^*)^* = (A^*)A^* = AA^*$  and  $(A^*A)^* = A^*(A^*)^* = A^*A$
4. We get  $A = Bi + C$  with the choice  $B = \frac{1}{2}(A + A^*), C = \frac{1}{2i}(A - A^*)$   
 Moreover, if  $A = B' + iC'$  with  $B', C'$  Hermitian then  $A^* = (B')^* + (iC')^* = B' - iC'$  and  $B' = \frac{1}{2}(A + A^*), C' = \frac{1}{2i}(A - A^*)$  implies  $B, C$  are unique
5. We have  $b_A(x, y) = \langle Ax, y \rangle = \langle x, A^*y \rangle = \Phi(A^*y)(x)$  and by the  $\Phi$  being one-to-one by the Riesz Representation Theorem  $A^*$  is uniquely determined by  $b_A$  hence also  $A$
6. We observe for  $b_A(y, x) = \langle Ay, x \rangle = \langle y, A^*x \rangle = \langle A^*x, y \rangle = b_{A^*}(x, y)$   
 so  $A^* = A \iff \forall x, y \in \mathcal{H}, b_A(y, x) = b_{A^*}(x, y)$  If  $A$  or  $b_A$  are Hermitian, then the Polarization Identity shows that  $b_A$  and hence  $A$  can be constructed from knowing  $b_A(x, x)$  and for each  $x \in \mathcal{H}$  If  $A$  is Hermitian then for  $x \in \mathcal{H}, b_A(x, x) = \overline{b_A(x, x)} \in \mathbb{R}$   
 Conversely, if  $b_A(x, x) \in \mathbb{R}$  for each  $x \in \mathcal{H}$ , we can write  $A = B + iC$  and we have  $b_C(x, x) = \text{Im}[b_A(x, x) + ib_C(x, x)] = 0$  Now using the Polarization Identity,  $b_C(x, x) = 0$  for each  $x, y \in \mathcal{H}$  and hence  $C = 0$ . Thus  $A = B$  and  $A$  is Hermitian.
7. This follows from  $A$  being uniquely determined by  $b_A$  and  $A = 0$  having  $b_A(x, x) = \langle Ax, x \rangle = 0$  for each  $x \in \mathcal{H}$

Now let's examine isometries, a type of operator more general than unitaries. Recall that isometries are norm preserving.

Lemma:  $A$  is a bounded linear map such that  $A : \mathcal{H}_{\infty} \rightarrow \mathcal{H}_{\infty}$  is an isometry if and only if  $A^*A = id_{\mathcal{H}_{\infty}}$

Proof: If  $A$  is an isometry then for any  $x \in \mathcal{H}, \langle A^*Ax, x \rangle = \langle Ax, Ax \rangle = |Ax|^2 = |x|^2 = \langle x, x \rangle$  Using that  $A^*A$  is Hermitian and hence uniquely characterized by  $x \rightarrow \langle A^*Ax, x \rangle = |x|^2$  We get  $A^*A = id_{\mathcal{H}_{\infty}}$

Conversely, if  $A^*A = id_{\mathcal{H}_{\infty}}$  then  $|Ax|^2 = \langle Ax, Ax \rangle = \langle A^*Ax, x \rangle = |x|^2$  so  $A$  is an isometry.

Theorem: For a bounded linear map  $A : \mathcal{H}_{\infty} \rightarrow \mathcal{H}_{\infty}$  the following are equivalent

1.  $A$  is unitary
2.  $A$  is onto and preserves the inner product. For  $x, y \in \mathcal{H}, \langle Ax, Ay \rangle = \langle x, y \rangle$
3.  $A$  is a bijection and preserves the inner product
4.  $A$  is onto and an isometry