

# Lecture Notes from October 06, 2022

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## Last time

- The spectral theorem for normal operator on finite dimensional Hilbert spaces,
- Involutive algebras, Banach- $*$ -algebra,  $C^*$ -algebra

## Warm up:

2.2 Question. If  $A$  is involutive algebra, and  $\mathbb{1}$  is a left unit i.e.  $\mathbb{1}a = a$  for each  $a \in A$ , then show  $\mathbb{1}$  is unique left unit and it is also right unit.

- right unit: we start from  $\mathbb{1}\mathbb{1}^* = \mathbb{1}^*$ , taking  $(\cdot)^*$  both sides, we obtain

$$(\mathbb{1}\mathbb{1}^*)^* = (\mathbb{1}^*)^* \implies \mathbb{1}\mathbb{1}^* = \mathbb{1}$$

Thus  $\mathbb{1} = \mathbb{1}^*$ . We then have  $a\mathbb{1} = (\mathbb{1}^*a^*)^* = (a^*)^* = a$ .

- uniqueness: Now we see if there is (another) left unit  $e$ , then

$$e \stackrel{\mathbb{1} \text{ is right unit}}{=} e\mathbb{1} \stackrel{e \text{ is left unit}}{=} \mathbb{1}$$

2.3 Lemma. Let  $A$  be an involutive algebra, then the following properties hold:

- (1) Hermitian elements in  $A$  are normal,
- (2) An element of the form  $xx^*$  for  $x \in A$ , is Hermitian
- (3) The product of two Hermitian elements  $x$  and  $y$  is Hermitian if and only if  $xy = yx$
- (4)  $A = A_n \oplus iA_n$  i.e. each  $a \in A$  has a unique decomposition  $a = b + ic$  with  $b, c$  Hermitian
- (5) An element  $a = b + c$ ,  $b = b^*$ ,  $c = c^*$  is normal if and only if  $bc = cb$
- (6)  $A$  has a unit, and  $x$  has an inverse, then  $(x^{-1})^* = (x^*)^{-1}$
- (7) If  $\|\cdot\|$  is a sub-multiplicative norm on  $A$  and  $\|x\|^2 \leq \|x^*x\|$  for each  $x \in A$ , then  $\|x^*\| = \|x\|$  and  $\|x^*x\| = \|x\|^2$

2.4 Remark.  $iA_n$  is all the skew Hermitian with  $A_n$  is Hermitian

*Proof.*

- (1) Let  $a \in A$  be Hermitian i.e  $a = a^*$ , then  $a a^* = a a = a^* a^* = a^* a$ . So  $a$  is normal.
- (2) Let  $x \in A$ . Then we have  $(x x^*)^* = (x^*)^* x^* = x x^*$ , so  $x x^*$  is Hermitian.
- (3) Let  $x, y \in A$  be Hermitian.  
 If  $xy = yx$ , then  $(xy)^* = (yx)^* \implies (xy)^* = x^* y^* = xy$  since  $x = x^*$ ,  $y = y^*$ .  
 Conversely, if  $xy$  is Hermitian, then  $xy = (xy)^* = y^* x^* = yx$  since  $x = x^*$ ,  $y = y^*$ .
- (4) Given  $a \in A$ , we write  $b = \frac{a+a^*}{2}$  and  $c = \frac{a-a^*}{2i}$ . Then  $b, c$  are Hermitian and  $a = b + ic$ .  
 Moreover, if  $a = b' + ic'$  with  $(b')^* = b'$  and  $(c')^* = c'$  then by taking Hermitian and antiHermitian parts give  $b' = b$  and  $c' = c$ .
- (5) We have

$$\begin{aligned}
 a a^* &= (b + ic) \underbrace{(b - ic)}_{b^* - ic^*} \\
 &= b^2 + \underbrace{icb - ibc}_{i(cb-bc)} + c^2 \\
 a^* a &= \underbrace{(b - ic)}_{b^* - ic^*} (b + ic) \\
 &= b^2 - \underbrace{icb + ibc}_{-i(cb-bc)} + c^2
 \end{aligned}$$

By comparing these expressions,  $a^* a = a a^*$  if and only if  $cb - bc = 0$  or  $cb = bc$

- (6) If  $A$  has a unit  $\mathbb{1}$  and  $x$  is invertible. Then

$$x^{-1} x = x x^{-1} = \mathbb{1}$$

then applying the involution,

$$x^* (x^{-1})^* = (x^{-1})^* x^* = \mathbb{1}^* = \mathbb{1}$$

Hence  $x^*$  has an inverse which can be identified as  $(x^{-1})^*$ .

- (7) First, we note that for  $x \in A$ ,

$$\|x\|^2 \leq \|x^* x\| \leq \|x^*\| \|x\|$$

So we know,  $\|x\| \leq \|x^*\|$ . Thus, implies that

$$\|x\| \leq \|x^*\| \leq \|(x^*)^*\| = \|x\|$$

Hence, equality holds throughout. Returning to the first chain of inequality gives

$$\|x\|^2 \leq \|x^* x\| \leq \|x^*\| \|x\| \leq \|x\| \|x\| = \|x\|^2$$

So the quality holds between  $\|x\|^2$  and  $\|x^* x\|$

□

2.5 Example (for  $C^*$ -algebra). Let  $X$  be a locally Hausdorff space,  $C_0(X)$  is the set of the continuous on  $X$  such that for each  $\epsilon > 0$ , there is a compact set  $K$ , if  $x \notin K$ ,  $|f(x)| < \epsilon$ . We equip  $C_0(X)$  with a norm

$$\|f\|_\infty = \sup_{x \in X} |f(x)|$$

This is a closed subspace of the bounded, continuous functions on  $X$ . With  $f^*(x) = \overline{f(x)}$ , this becomes  $C^*$ -algebra

*Proof.*

- Completeness:

Consider a Cauchy sequence  $\{f_n\}_{n \in \mathbb{N}} \subset C_0(X)$ . Then we have

$$\|f_n - f_m\|_\infty = \sup_{x \in X} |f_n(x) - f_m(x)| \rightarrow 0 \text{ as } n, m \rightarrow \infty$$

Define  $f : X \rightarrow \mathbb{C}$  as  $f(x) = \lim_{n \rightarrow \infty} f_n(x)$ . Then  $|f_n(x) - f(x)| \rightarrow 0$  as  $n \rightarrow \infty$

First, we show that  $\lim_{n \rightarrow \infty} f_n = f$ . We have that

$$\begin{aligned} \|f - f_n\|_\infty &= \sup_{x \in X} |f(x) - f_n(x)| = \|f - f_n\|_\infty = \sup_{x \in X} |f(x) - f_m(x) + f_m(x) - f_n(x)| \\ &\leq \sup_{x \in X} \underbrace{|f(x) - f_m(x)|}_{\substack{\rightarrow 0 \\ \text{since } f(x) = \lim_{m \rightarrow \infty} f_m(x)}} + \sup_{x \in X} \underbrace{|f_m(x) - f_n(x)|}_{\substack{\xrightarrow{n, m \rightarrow \infty} 0 \\ \text{since } f_n \text{ is Cauchy}}} \\ &\rightarrow 0 \quad \text{as } n, m \rightarrow \infty \end{aligned}$$

Next, we show that  $f \in C_0(X)$ .

Since  $f_n \in C_0(X)$ , then  $f_n$  is continuous at  $x \in X$ . Given  $\epsilon > 0$ , there is  $\delta > 0$  such that for all  $y \in X$ ,  $\|x - y\| < \delta$ , implies that  $|f_n(x) - f_n(y)| < \epsilon$ . With  $x \in X$  and same condition such that  $\|x - y\| < \delta$ , we have

$$\begin{aligned} |f(x) - f(y)| &= \left| \lim_{n \rightarrow \infty} f_n(x) - \lim_{n \rightarrow \infty} f_n(y) \right| \\ &\leq \lim_{n \rightarrow \infty} |f_n(x) - f_n(y)| < \epsilon \end{aligned}$$

Thus,  $f$  is continuous on  $X$ . Hence  $f \in C_0(X)$  because for each  $\epsilon > 0$ , there is a compact set  $K$ , such that if  $x \notin K$ ,  $|f(x)| = \left| \lim_{n \rightarrow \infty} f_n(x) \right| \leq \lim_{n \rightarrow \infty} |f_n(x)| < \epsilon$  (same compact set  $K$  in  $f_n \in C_0(X)$  condition).

- Now, we show that  $C_0(X)$  is an algebra. Let  $f, g \in C_0(X)$ , then

$$\begin{aligned} \|f \cdot g\|_\infty &= \sup_{x \in X} |f(x)g(x)| \leq \sup_{x \in X} \left( \sup_{x \in X} |f(x)| |g(x)| \right) \\ &= \sup_{x \in X} |f(x)| \left( \sup_{x \in X} |g(x)| \right) = \|f\|_\infty \|g\|_\infty \end{aligned}$$

- Next, for  $f \in C_0(X)$ ,  $f^*(x) = \overline{f(x)}$ . We have

$$\|f^*\|_\infty = \sup_{x \in X} |f^*(x)| = \sup_{x \in X} |\overline{f(x)}| = \sup_{x \in X} |f(x)| = \|f\|_\infty$$

- Finally, we show  $C_0(X)$  is  $C^*$ -algebra . For each  $f \in C_0(X)$ , consider

$$f^* \cdot f(x) = f^*(x) f(x) = \overline{f(x)} f(x) = |f(x)|^2$$

taking sup over  $X$ , we get

$$\|f^* \cdot f\|_\infty = \sup_{x \in X} |f(x)|^2 \geq \left( \sup_{x \in X} |f(x)| \right)^2 = \|f\|_\infty^2$$

Hence by Lemma 2.3(7), this completes the proof.  $\square$

For this  $C^*$ -algebra , the map  $\delta_x : C_0(X) \rightarrow \mathbb{C}$  with  $f \mapsto f(x)$  is a (nontrivial) character on  $C_0(X)$ . This is because of Urysohn's lemma which guarantees the existence of a function  $f \in C_0(X)$  with  $f(x) = 1$ . We will see later,  $\widehat{(C_0(X))} = \{\delta_x : x \in X\}$ .

As a special example, if  $X = \mathbb{N}$ ,  $C_0(X) = c_0$  and  $\widehat{c_0} = \{\delta_n : n \in \mathbb{N}\} \cong \mathbb{N}$ .

More examples with different types of norm.

**2.6 Examples.** Let  $S$  be an involutive semigroup. Consider  $\ell^1(S)$  i.e. the space of all  $f : S \rightarrow \mathbb{C}$  with  $\|f\|_1 = \sum_{s \in S} |f(s)| < \infty$ . ( Note that the set  $\{s \in S : f(s) \neq 0\}$  is at most countable).

Equip  $\ell^1(S)$  with the convolution

$$(f * g)(s) = \sum_{\substack{a, b \in S \\ ab=s}} f(a)g(b)$$

and let  $f^*(s) = \overline{f(s^*)}$ . Then  $\ell^1(S)$  becomes a Banach- $*$ -algebra .

*Proof.*

- First, we see that  $\ell^1(S)$  is closed under convolution. Let  $f, g \in \ell^1(S)$ . Then

$$\|f\|_1 = \sum_{s \in S} |f(s)| < \infty \quad \text{and} \quad \|g\|_1 = \sum_{s \in S} |g(s)| < \infty$$

Let  $J_f = \{s \in S : f(s) \neq 0\}$  and  $J_g = \{s \in S : g(s) \neq 0\}$ . Note that  $J_f$  and  $J_g$  are at most countable. Consider

$$\begin{aligned} \|f * g\|_1 &= \sum_{s \in S} |f * g(s)| = \sum_{s \in S} \left| \sum_{\substack{a, b \in S \\ ab=s}} f(a)g(b) \right| \\ &\leq \sum_{s \in S} \sum_{\substack{a, b \in S \\ ab=s}} |f(a)| |g(b)| = \sum_{a \in S} \left( |f(a)| \sum_{\substack{b \in S \\ ab=s}} |g(b)| \right) \\ &\leq \sum_{a \in S} \left( |f(a)| \|g\|_1 \right) = \|g\|_1 \|f\|_1 < \infty \end{aligned} \tag{1}$$

Thus,  $f * g \in \ell^1(S)$ .

- Next, we show that  $\ell^1(S)$  is a Banach algebra. Consider a Cauchy sequence  $\{f_n\}_{n \in \mathbb{N}} \subset \ell^1(S)$ . Define  $f : S \rightarrow \mathbb{C}$ ,  $f(s) = \lim_{n \rightarrow \infty} f_n(s)$ .

$$\begin{aligned} \|f - f_n\|_1 &= \sum_{s \in S} |f(s) - f_n(s)| = \sum_{s \in S} |f(s) - f_m(s) + f_m(s) - f_n(s)| \\ &\leq \sum_{s \in S} \underbrace{|f(s) - f_m(s)|}_{\substack{\text{for each } s \rightarrow 0 \\ \text{since } f(s) = \lim_{m \rightarrow \infty} f_m(s)}} + \sum_{s \in S} \underbrace{|f_m(s) - f_n(s)|}_{\substack{\xrightarrow{n, m \rightarrow \infty} 0 \\ \text{since } f_n \text{ is Cauchy}}} \\ &\rightarrow 0 \quad \text{as } n, m \rightarrow \infty \end{aligned}$$

Also,

$$\|f\|_1 = \sum_{s \in S} |f(s)| = \sum_{s \in S} \left| \lim_{n \rightarrow \infty} f_n(s) \right| \leq \lim_{n \rightarrow \infty} \sum_{s \in S} |f_n(s)| < \infty$$

Thus,  $\{f_n\}_{n \in \mathbb{N}}$  converges to  $f \in \ell^1(S)$ . So  $\ell^1(S)$  is a Banach space and we then even have  $\|f * g\|_1 \leq \|f\|_1 \|g\|_1$  as in equation 1. Hence, it is a Banach algebra.

- And finally, we show  $\ell^1(S)$  is a Banach- $*$ -algebra. Let  $f \in \ell^1(S)$  and  $f^*(s) = \overline{f(s^*)}$ . Then

$$\begin{aligned} \|f^*\|_1 &= \sum_{s \in S} |f^*(s)| = \sum_{s \in S} |\overline{f(s^*)}| = \sum_{s \in S} |f(s^*)| \\ &= \sum_{s^* \in S} |f(s^*)| = \|f\|_1 \end{aligned}$$

□

We then have a homomorphism  $\eta : S \rightarrow \ell^1(S)$  that maps  $s \mapsto \delta_s$  with

$$\delta_s(t) = \begin{cases} 1 & \text{if } s = t \\ 0 & \text{elsewhere} \end{cases}$$

For these,

$$\begin{aligned} (\delta_s * \delta_t)(x) &= \sum_{\substack{a, b \in S \\ ab = x}} \delta_s(a) \delta_t(b) \\ &= \begin{cases} 1 & \text{if } \delta_s(a) = 1 = \delta_t(b) \\ 0 & \text{otherwise} \end{cases} = \begin{cases} 1 & \text{if } s = a, t = b \\ 0 & \text{otherwise} \end{cases} = \begin{cases} 1 & \text{if } ab = st = x \\ 0 & \text{otherwise} \end{cases} \\ &= \delta_{st}(x) \end{aligned}$$

Since

$$\begin{aligned} \delta_s^*(t) &= \overline{\delta_s(t^*)} = \delta_s(t^*) = \begin{cases} 1 & \text{if } s = t^* \\ 0 & \text{otherwise} \end{cases} = \begin{cases} 1 & \text{if } s^* = (t^*)^* = t \\ 0 & \text{otherwise} \end{cases} \\ &= \delta_{s^*}(t) \end{aligned}$$

then  $\delta_s^* = \delta_{s^*}$ , we even have  $\eta(s^*) = \delta_{s^*} = \delta_s^* = (\eta(s))^*$ , so  $\eta$  is a homomorphism that identifies the involutive semigroup with a subset of  $\ell^1(S)$ , so it embeds  $S$  in the Banach- $*$ -algebra.