

# Lecture Notes from October 20, 2022

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## Last time

- Banach algebra with and without a unit
- We had proved most of the following:

**2.2 Theorem.** *Let  $A$  be a Banach algebra with unit  $\mathbb{1}$ , then there exists a norm  $\|\cdot\|_0$  that is equivalent to the norm on  $A$  and satisfies  $\|\mathbb{1}\|_0 = 1$ , and for each  $a, b \in A$*

$$\|ab\|_0 \leq \|a\|_0 \|b\|_0$$

*Proof.* We had  $\|a\|_0 = \|L_a\| = \sup_{\|x\| \leq 1} \|ax\| \leq \|a\|$ . It remains to show that  $\|\cdot\|$  and  $\|\cdot\|_0$  are equivalent. To see this, note

$$\|a\| = \underbrace{\|a\mathbb{1}\|}_{L_a(\mathbb{1})} \leq \|L_a\| \|\mathbb{1}\| = \|a\|_0 \|\mathbb{1}\| \leq \|a\| \|\mathbb{1}\|$$

so

$$\frac{1}{\|\mathbb{1}\|} \|a\| \leq \|a\|_0 \leq \|a\|$$

□

From now on, we assume that if  $\mathbb{1}$  is a unit in a Banach algebra, then we can assume  $\|\mathbb{1}\| = 1$ . Next, we study  $C^*$ -algebras where  $\|a\| = \|L_a\|$  for each  $a \in A$ .

**2.3 Theorem.** *Let  $A$  be a  $C^*$ -algebra, then*

- (1) *If  $L_a : x \mapsto ax$  as above, then  $\|a\| = \|L_a\|$ . In particular, if  $\mathbb{1}$  is a unit, then  $\|\mathbb{1}\| = 1$*
- (2) *If  $A$  does not have a unit, then  $\tilde{A}$  becomes a  $C^*$ -algebra if we define  $(a, \lambda)^* = (a^*, \bar{\lambda})$ , and we choose the norm  $\|(a, \lambda)\| := \|L_{(a, \lambda)}\|$  for  $x \in A$*

$$L_{(a, \lambda)}x = ax + \lambda x$$

*Proof.* (1) We have

$$\|L_a\| = \sup_{\|x\| \leq 1} \|L_a x\| = \sup_{\|x\| \leq 1} \|ax\| \leq \|a\|$$

On the other hand,  $\|a a^*\| = \|a\|^2 = \|a\| \|a^*\|$ , so if  $a = 0$ , nothing to show. Suppose  $a \neq 0$ , we let  $x = \frac{a^*}{\|a\|}$  and consider

$$\begin{aligned} \|L_a\| &= \sup_{\|x\| \leq 1} \|L_a x\| \geq \|L_a \frac{a^*}{\|a\|}\| \\ &= \|a \frac{a^*}{\|a\|}\| = \|a\| \end{aligned}$$

We conclude  $\|a\| = \|L_a\|$ . From  $\mathbf{1}$  being a unit,  $L_{\mathbf{1}} = \text{id}_A$ , and  $\|\mathbf{1}\| = \|L_{\mathbf{1}}\| = 1$

(2) Let  $L : \tilde{A} \mapsto \mathcal{B}(A)$  given by  $L(a, \lambda) = L_{(a, \lambda)}$ ,  $L_{(a, \lambda)}x = ax + \lambda x$ . We omitted the proof that  $\tilde{A}$  is a Banach space. We will only show the norm property of a  $C^*$ -algebra.

First, we show  $L$  is 1-1. Let  $L(a, \lambda) = 0$ . If  $\lambda = 0$ , then  $L_a = 0$  so  $a=0$ . Suppose  $\lambda \neq 0$ , then by linearity,

$$0 = L_{(a, \lambda)}x = ax + \lambda x \implies \left(-\frac{1}{\lambda}\right)ax - x = 0$$

implies that  $\left(-\frac{1}{\lambda}\right)a$  is a (left) unit in  $A$  which contradicts our assumption that  $A$  does not have a unit. Thus  $L$  is 1-1, and  $\|(a, \lambda)\| = \|L(a, \lambda)\|$  is a norm which extends the norm on  $A$ .

To check the norm property, we only need to show for  $x \in \tilde{A}$ ,  $\|x\|^2 \leq \|x^* x\|$ .

If  $\|x\| = 0$ , nothing to show.

If  $0 < r < \|x\|$ , by definition of norm on  $\tilde{A}$  and the result above, we have

$$r < \|x\| = \|L_x\| = \sup_{\|y\| \leq 1} \|L_x y\| = \sup_{\|y\| \leq 1} \|xy\|$$

so there is  $y \in A$ ,  $\|L_x y\| = \|xy\| \geq r$ .

Using the submultiplicity property of  $\tilde{A}$ , consider  $y \equiv (y, 0)$ , we have

$$\|x^* x\| \stackrel{\|y\|=\|y^*\| \leq 1}{\geq} \|y^* \| \|x^* x\| \|y\| \stackrel{\text{submult}}{\geq} \|y^* x^* xy\| = \|(xy)^* xy\| \stackrel{xy \in A: C^*\text{-algebra}}{\geq} \|xy\|^2 \geq r^2$$

so taking the sup over all  $r < \|x\|$  gives  $\|x^* x\| \geq \|x\|^2$  □

We conclude with examples

**2.4 Example.** Let  $X$  be a locally compact Hausdorff space that is not compact, let  $A = C_0(X)$ , then  $A$  does not have a unit and  $\tilde{A}$  can be thought of continuous functions with limit at infinity, with  $(0, 1) \equiv \mathbf{1}$ . To justify this, we note  $\tilde{A} \rightarrow C_b(X)$ ,  $(f, \lambda) \mapsto f + \lambda \mathbf{1}$  can be thought of as an isometry, where  $C_b(X)$  has the sup-norm.

**2.5 Remark.**  $C_0(X)$  does not have a unit since the constant function  $\mathbf{1}$  is not included in  $A$  as it does not go to 0 at infinity.

*Proof.* We want to identify  $(f, \lambda) = f + \lambda \mathbf{1}$ .

If we choose  $\|(f, \lambda)\| = \|f\|_\infty + |\lambda|$ , then  $\|f + \lambda \mathbf{1}\|_\infty \leq \|f\|_\infty + |\lambda|$  but the equality may not hold. If instead, we choose  $\|(f, \lambda)\| = \|L_{(f, \lambda)}\|$ , then Urysohn's lemma guarantees the existence of a function  $g = (f, \lambda) \in \tilde{A}$  such that  $g(x) = 1$  for  $x \in K = \text{compact}$ , and  $g(x) = 0$  where  $x \notin K$ .

$$\|L_{(f, \lambda)}\| = \sup_{\|x\| \leq 1} |f(x) + \lambda x| = \|f + \lambda \mathbf{1}\|_\infty$$

Hence  $\widetilde{C_0(X)}$  is a closed subspace of  $C_b(X)$  which is isometrically embedded in  $C_b(X)$  as a space of continuous functions that have limit at infinity.  $\square$

**2.6 Example.** Let  $X$  be compact Hausdorff space  $A = C(X)$ ,  $f \in A$ . What is  $\sigma(f)$ ?

We recall  $g \in \mathcal{G}(A)$  means there exists  $h \in C(X)$  and  $gh = \mathbf{1}$  so  $g(x) \neq 0$  for each  $x \in X$ . Conversely, if  $g(x) \neq 0$  for each  $x \in X$ , then  $h = \frac{1}{g(x)}$  is in  $C(X)$ .

Next, to see what the spectrum is, note  $f - \lambda \mathbf{1}$  is invertible **if and only if**  $f(x) \neq \lambda$  at any  $x \in X$ . Consequently,  $\sigma(f) = \{\lambda : f(x) = \lambda \text{ for some } x \in X\} = f(X)$ .

**2.7 Example.** Let  $X$  be a locally compact Hausdorff space  $A = C_0(X)$ . What is  $\sigma(f)$ ?

For  $f \in \tilde{A}$ , then  $f \in C(X)$  and  $f$  has limit at infinity. So if  $f$  is invertible, then  $f(x) \neq 0$  for each  $x$ , and  $\lim_{x \rightarrow \infty} f(x) \neq 0$ . Otherwise, taking  $1/f$  would diverge at infinity, so not give a function in  $\tilde{A}$ . In notation of  $\tilde{A}$ ,  $(f, \lambda)$  is invertible iff  $f(x) \neq 0$  for each  $x$  and  $\lambda \neq 0$ . Hence  $\sigma(f) = f(X) \cup \{0\}$ .

**2.8 Example.** Let  $A \subset B(\mathbb{C}^n)$  be an algebra of  $n \times n$  matrices containing  $\mathbf{1}$ . For  $a \in A$ , what is  $\sigma(a)$ ?

Let  $x \in A$  be invertible in  $A$  **if and only if** there is  $y \in A$  s.t  $xy = yx = \mathbf{1}$ . We show that if there is  $y \in B(\mathbb{C}^n)$  with  $xy = yx = \mathbf{1}$  then  $y^{-1} \in A$ . To see this, note  $L_x : A \rightarrow A$ , so if  $x$  is invertible in  $B(\mathbb{C}^n)$ , then the map  $y \mapsto xy$  is 1-1. Since  $A$  is finite dimensional,  $L_x$  is also onto. So there exists  $y \in A$  s.t  $L_x(y) = xy = \mathbf{1}$ . Hence  $x^{-1} = y \in A$ .

Thus  $\mathcal{G}(A) = A \cap \mathcal{G}(B(\mathbb{C}^n)) = \{a \in A : \det a \neq 0\}$ .

From this, we deduce for  $a \in A$ ,  $\sigma(a) = \{\lambda \in \mathbb{C} : a - \lambda \mathbf{1} \notin \mathcal{G}(A)\}$ . Hence the spectrum consists of eigenvalues of  $a$ .

**2.9 Remark.** It is interesting to note  $\sigma(a)$  does not depend on the choice of  $A \subset B(\mathbb{C}^n)$