Preliminaries	Results	Dynamical approach	No conjugate points	Open questions
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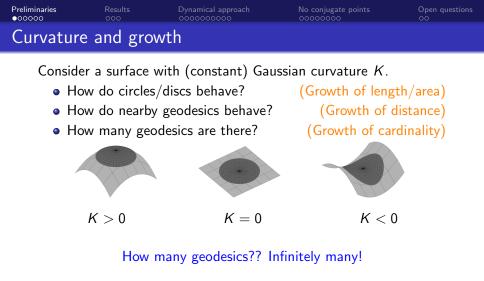
Counting closed geodesics

Vaughn Climenhaga

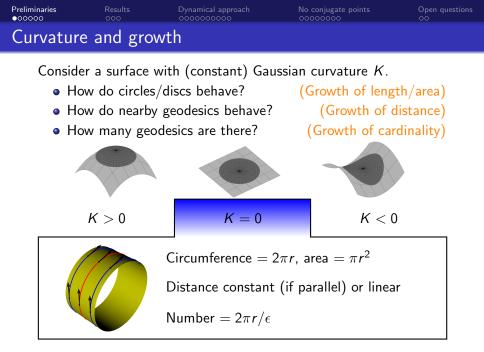
University of Houston

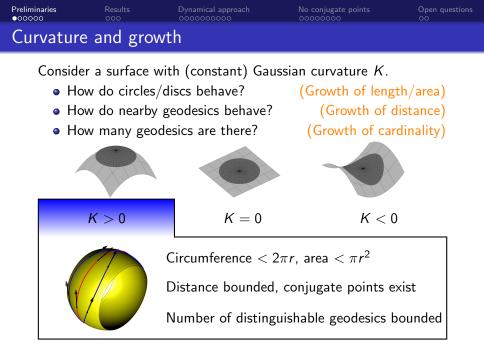
February 15, 2022

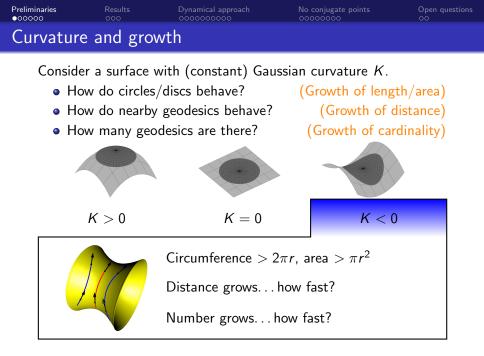
Joint work with Gerhard Knieper (Bochum) and Khadim War (IMPA)

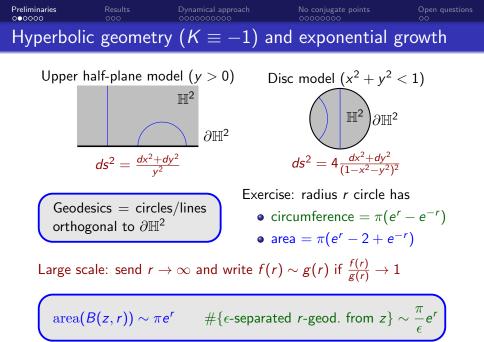


More precisely, count geodesic **segments** of length r that start at x and separate by at least ϵ ("distinguishable")











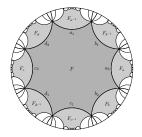
Closed surface: compact, connected, boundaryless, orientable Every such surface admits a metric of constant curvature.



 $S^2 (K = 1)$ $\mathbb{R}^2 / \mathbb{Z}^2 (K = 0)$ $\mathbb{H}^2 / \Gamma (K = -1)$

All octagons shown are isometric; tile \mathbb{H}^2 .

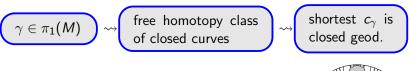
- $\gamma_a \in \mathsf{Isom}^+(\mathbb{H}^2)$ takes $a_1 \mapsto a_2$
- $\Gamma = \langle \gamma_{a}, \gamma_{b}, \gamma_{c}, \gamma_{d} \rangle$ discrete
- $M = \mathbb{H}^2/\Gamma$ surface of genus 2
- $\pi_1(M) \cong \Gamma$





 $M = \mathbb{H}^2/\Gamma$ surface of genus 2, with $\Gamma = \langle \gamma_a, \gamma_b, \gamma_c, \gamma_d \rangle \cong \pi_1(M)$.

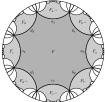
Fundamental group produces closed geodesics:



Fix $p \in F$. Recall area $(B(p, r)) \sim \pi e^r$

• Let
$$G_r = \{ \gamma \in \Gamma : \gamma F \subset B(p, r) \}$$

- Area estimate $\Rightarrow \#G_r \ge Ce^r$
- For all $\gamma \in G_r$, get $|c_{\gamma}| \leq d(p, \gamma p) \leq r$.



Suggests #{closed geodesics with length $\leq r$ } grows exponentially. Warning: conjugate elements of $\pi_1(M)$ give same closed geodesic. Preliminaries Results 000000 Exponential growth associated to $M = \mathbb{H}^2/\Gamma$

Volume growth: area $(B(x, t)) \sim \pi e^t$ (Same for all Γ, M)

Geodesic growth on M: $\#\{\epsilon\text{-sep. } t\text{-geodesics on } M\} \sim C_{M \epsilon} e^t$

Closed geodesics on M: #{closed geodesics with length $\leq t$ } grows exponentially in t

- If the second s
- What if M has variable negative curvature?

Also get exponential "word growth" in fundamental group $\pi_1(M)$

Preliminaries Results Dynamical approach No conjugate point occococo The first result for closed geodesics

The first result for closed geodesics

Discrete $\Gamma \subset \text{Isom}^+(\mathbb{H}^2)$ is cofinite if $M = \mathbb{H}^2/\Gamma$ has finite area.

Theorem (Huber, 1959)

Given M, Γ as above, let P(t) denote the set of closed geodesics on M with length $\leq t$. Then $\#P(t) \sim \frac{e^t}{t}$.

Huber's proof relies on Selberg trace formula, which relates lengths of closed geodesics to spectrum of the Laplacian.

The first result for closed geodesics

Discrete $\Gamma \subset \text{Isom}^+(\mathbb{H}^2)$ is cofinite if $M = \mathbb{H}^2/\Gamma$ has finite area.

Theorem (Huber, 1959)

Results

Preliminaries

Given M, Γ as above, let P(t) denote the set of closed geodesics on M with length $\leq t$. Then $\#P(t) \sim \frac{e^t}{t}$.

Huber's proof relies on Selberg trace formula, which relates lengths of closed geodesics to spectrum of the Laplacian.

Analogies to prime number theory and Riemann zeta function.

$$\pi(N) \sim \frac{N}{\log N} \qquad \stackrel{T = \log N}{\longleftrightarrow} \qquad \#\{p \text{ prime: } \log p \leq T\} \sim \frac{e^T}{T}$$
I am the wrong person to tell you about all this...



Let *M* be a surface of genus ≥ 2 with *variable* curvature

 Still get M = X/Γ where universal cover X is homeomorphic to disc and Γ ≅ π₁(M) acts discretely and isometrically on X

Two Riemannian metrics: g (variable curvature), g_0 (constant) Compact $\Rightarrow g = C^{\pm 1}g_0 \Rightarrow B_0(x, C^{-1}r) \subset B(x, r) \subset B_0(x, Cr)$ Still get exponential volume growth, but lose precise formula

Topological entropy of the "geodesic flow" on M is the number h such that (# of ϵ -distinguishable *t*-geodesic segments) $\approx e^{ht}$, where " \approx " is used quite loosely and is weaker than \sim . Formally,

$$h := \lim_{\epsilon \to 0} \overline{\lim_{t \to \infty}} \frac{1}{t} \log(\# \text{ of } \epsilon \text{-distinguishable } t \text{-geodesics})$$

Theorem (Margulis, 1970 thesis, published 2004)

Let M be a closed Riemannian manifold with negative sectional curvatures, and P(t) the set of closed geodesics with length $\leq t$. Let h > 0 be the topological entropy of geodesic flow on M. Then

•
$$\#P(t)\sim rac{{
m e}^{ht}}{ht}$$
, and

 there is a continuous function c on the universal cover X such that for every x ∈ X we have vol(B(x, r)) ~ c(x)e^{hr}.

Margulis's approach was publicized by Anatole Katok via the thesis of Charles Toll (1984) and the book with Boris Hasselblatt (1995).

An alternate proof was given by Parry and Pollicott (1983).

Beyond negative curvature

Results

Preliminaries

Margulis asymptotics for closed geodesics now proved for:

- surfaces with K < 0 outside radially symmetric "caps" (Bryce Weaver, J. Mod. Dyn. 2014)
- rank 1 manifolds of nonpositive curvature in fact CAT(0) (Russell Ricks, arXiv:1903.07635)¹ (Count homotopy classes)
- rank 1 manifolds without focal points (Weisheng Wu, arXiv:2105.01841)
- surfaces of genus ≥ 2 without conjugate points (C., Knieper, War, Comm. Cont. Math., to appear)

In last 3 settings, volume asymptotics proved by Weisheng Wu (arXiv:2106.07493)

All these results follow the dynamical approach of Margulis



¹Also prior unpublished work in 2002 thesis of Roland Gunesch

Preliminaries Results Dynamical approach No conjuga

Open questions

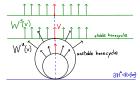
Geodesic flow and horocycles

Study geodesic flow ϕ^t on unit tangent bundle $SM = \{ v \in TM : ||v|| = 1 \}$

$$v \rightsquigarrow \text{geodesic } c_v \text{ with } \dot{c}_v(0) = v \rightsquigarrow \phi^t(v) := \dot{c}_v(t)$$

Closed geodesics \leftrightarrow periodic orbits for geodesic flow

For the time being, consider constant negative curvature



Each $v \in S\mathbb{H}^2$ is normal to two horocycles (horizontal lines or circles tangent to $\partial \mathbb{H}^2$)

Normal vector fields $W^s(v), W^u(v) \subset S\mathbb{H}^2$ give stable/unstable foliations of $S\mathbb{H}^2$

Given $w \in W^{s}(v)$, we have $d(\phi^{t}(v), \phi^{t}(w)) = e^{-t}d(v, w)$ Given $w \in W^{u}(v)$, we have $d(\phi^{t}(v), \phi^{t}(w)) = e^{t}d(v, w)$ Preliminaries

Results

Dynamical approach

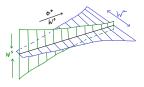
No conjugate points

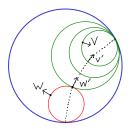
Open questions

Product structure on $S\mathbb{H}^2$

Local product structure using W^u , W^s , and orbit foliation W^0

Important idea in hyperbolic dynamics: "Any past can be joined to any future"





Can get a global picture too:

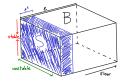
- Identify each leaf of $W^{s,u}$ with $\partial \mathbb{H}^2$.
- For all (ξ, η) ∈ ∂² ℍ² := (∂ℍ²)² \ diag there is a unique geodesic from ξ to η.
- Parametrizing gives homeomorphism $S\mathbb{H}^2 \to \partial^2 \mathbb{H}^2 \times \mathbb{R}$ (Hopf map).

Preliminaries Results Dynamical approach 000000000 Setting up the Margulis argument

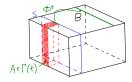
 $C(t) = \{\text{closed geod. with } |c| \in (t - \epsilon, t]\}$ $P(T) = ||_k C(t_k)$

Estimate #C(t) and sum (becomes integral as $\epsilon \to 0$).

Use probability measure $\nu_t = \frac{1}{\#C(t)} \sum_{c \in C(t)} \frac{1}{t} \operatorname{Leb}_{\dot{c}}$



B =flow box $\subset SM$ S = slab/slice $\nu_t(B) = \frac{\epsilon \cdot (\# \text{ transits})}{t \cdot \# C(t)}$



{transits of *B* by some $c \in C(t)$ } immediate Closing Lemma

$$u_t(B) pprox rac{\epsilon}{t} rac{\#\Gamma(t)}{\#C(t)}$$

 $\Gamma(t) = \{\text{conn. components of } S \cap \phi^{-t}B\}$

Preliminaries Results Dynamical approach No conjugate points Open question

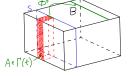
Completing the argument using ergodic theory

 $\Gamma(t) = \{\text{conn. components of } S \cap \phi^{-t}B\} \qquad \nu_t(B) \approx \frac{\epsilon}{t} \frac{\# \Gamma(t)}{\# C(t)}$

Liouville measure m on SM given by normalizing $m^s \times m^u \times \text{Leb}$, where $m^{s,u}$ are Lebesgue measure along $W^{s,u}$, and satisfy:

 $m^u(\phi^t A) = e^t m^u(A)$ and $m^s(\phi^t A) = e^{-t} m^s(A)$

Scaling:
$$m(A) \approx e^{-t}m(S)$$
 for all $A \in \Gamma(t)$
• $m(S \cap \phi^{-t}B) \approx e^{-t}m(S)\#\Gamma(t)$



Mixing: $\frac{m(S \cap \phi^{-t}B)}{m(S)} \to m(B)$ • $m(B) \approx e^{-t} \# \Gamma(t)$

Equidistribution: $\nu_t \xrightarrow{wk^*} m$, so $m(B) \approx \frac{\epsilon}{t} \frac{\#\Gamma(t)}{\#C(t)} \Rightarrow \#C(t) \approx \frac{\epsilon}{t} e^t$



Product structure (for flow and measure)

• Used for flow box, closing lemma, mixing property

Scaling properties of leaf measure m^u

• Relied on fact that contraction rate along $W^{s,u}$ is constant

Equidistribution property $\nu_t(B) \rightarrow m(B)$

• Can prove it directly, or use the fact that *m* is the unique measure of maximal entropy

Preliminaries Results Dynamical approach No conjugate points Open questions 00000 0000 00000 00000000 000 Entropy (as analogue of dimension) 000000000 000000000

d-dimensional measure $m(B(x, \epsilon)) \approx \epsilon^d$ $d = \lim_{\epsilon \to 0} \frac{\log m(B(x, \epsilon))}{\log \epsilon}$

$$d-\text{dimensional set } [0,1]^d$$
$$N(\epsilon) \approx \epsilon^{-d} \text{ balls to cover}$$
$$d = \lim_{\epsilon \to 0} \frac{\log N(\epsilon)}{-\log \epsilon}$$

For entropy of geodesic flow, refine dynamically via Bowen balls

 $B_t(v,\epsilon) = \{ w \in SM : d(c_v(s), c_w(s)) < \epsilon \text{ for all } s \in [0, t] \}$

Topological entropy: $h = \lim_{t \to \infty} \frac{1}{t} \log \Lambda_t(\epsilon)$ (ϵ fixed small) $\Lambda_t(\epsilon) = \min\{\#E : \bigcup_{v \in E} B_t(v, \epsilon) = SM\}$ $\Lambda_t \approx e^{ht}$

Measure-theoretic entropy: μ flow-invariant prob. measure, $h_{\mu} = \int \lim_{t \to \infty} -\frac{1}{t} \log \mu(B_t(v, \epsilon)) d\mu(v) \qquad \mu(B_t) \approx e^{-h_{\mu}t}$

Preliminaries	Results	Dynamical approach	No conjugate points	Open questions
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Variational	principle			

Topological entropy: Value of *h* such that $(\# \text{ of } \epsilon \text{-distinguishable } t \text{-geodesic segments}) \approx e^{ht}$

Now consider a flow-invariant probability measure μ .

Measure-theoretic entropy: Value of h_{μ} such that $\mu\{w : c_w \ \epsilon$ -indistinguishable from c_v through time $t\} \approx e^{-h_{\mu}t}$

Variational principle: $h = \sup\{h_{\mu} : \mu \text{ flow-inv. prob. meas.}\}$

If $h_{\mu} = h$ then μ is a measure of maximal entropy (MME)

- When $K \equiv -1$, Liouville measure *m* has $h_m = 1 = h$
- In fact, *m* is the *unique* MME: Adler, Weiss, Bowen (1970s)

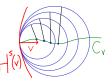
Preliminaries	Results	Dynamical approach	No conjugate points	Open questions
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Anosov f	ows			

Now move to setting of variable negative curvature, so $M = X/\Gamma$, where universal cover X is still homeomorphic to disc.

Still get stable horocycle for all $v \in SX$ by

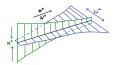
$$H^{s}(v) = \lim_{r \to \infty} \partial B_{X}(c_{v}(r), r)$$

Also unstable horocycle $H^u(v) = H^s(-v)$.



Normal vec. fields give foliations $W^{s,u}$ with uniform hyperbolicity:

$$egin{aligned} & w \in W^s(v) & \Rightarrow & d(\phi^t v, \phi^t w) \leq C e^{-\lambda t} d(v, w) \ & w \in W^u(v) & \Rightarrow & d(\phi^{-t} v, \phi^{-t} w) \leq C e^{-\lambda t} d(v, w) \end{aligned}$$



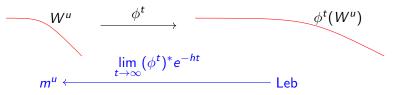
Here $\lambda > 0$, and inequality is for all $t \ge 0$. $(\phi^t : SM \to SM)_{t \in \mathbb{R}}$ is an Anosov flow Anosov flows have local product structure



Surface of genus $\geq 2 \Rightarrow h > 0$ (by exponential volume growth), but Lebesgue measure on leaves may not scale by

$$m^u(\phi^t A)=e^{ht}m^u(A)$$
 and $m^s(\phi^t A)=e^{-ht}m^s(A)$ (\star)

For **any** Anosov flow, Margulis built m^u, m^s satisfying (*) Idea: pull back Leb from $\phi^t(W^u)$, scale by e^{-ht} , take a limit



 $m = m^{u} \times m^{s} \times \text{Leb}$ is flow-invariant Bowen–Margulis measure

- Unique MME, \neq Liouville unless $K \equiv$ constant
- Allows to run the Margulis proof and get $\#P(t) \sim rac{e^{nt}}{ht}$



Various ways to formalize the details of the construction

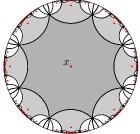
- Fixed point argument on an appropriate space (Margulis 1970)
- Can also use Hausdorff measure in appropriate metric (Hamenstädt 1989, Hasselblatt 1989, ETDS)
- Interpretation via Bowen's alternate definition of entropy (C.-Pesin-Zelerowicz BAMS 2019, also C. arXiv:2009.09260)
- For geodesic flow can also use Patterson-Sullivan approach

Identify leaves of $W^{s,u}$ with ∂X . Build family $\{\nu_p : p \in X\}$ of measures on ∂X :

$$\nu_{p} = \lim_{s \searrow h} \left[\operatorname{normalize} \left(\sum_{\gamma \in \Gamma} e^{-sd(p,\gamma x)} \delta_{\gamma x} \right) \right]$$

Weights give scaling properties (w.r.t. *p*) corresponding to Margulis measure.

(Patterson and Sullivan 1970s, Kaimanovich 1990)





A manifold M has no conjugate points if any two points in the universal cover are joined by a unique geodesic.

 $P(t) = \{ \text{free homotopy classes of closed geod. with length } \leq t \}$

Theorem (C., Knieper, War, to appear in *Comm. Contemp. Math.*)

Let M be a surface of genus ≥ 2 with no conjugate points. Then $\#P(t)\sim \frac{e^{ht}}{ht}.$

Margulis's proof works for any closed manifold with negative sectional curvatures, in any dimension. Our proof covers **some** higher-dimensional examples, but a detailed description is rather technical.



M a manifold without conjugate points, *X* universal cover Horospheres $H^{s,u}$ and foliations $W^{s,u}$ as in negative curvature.

- W^{s,u}(v) may not contract under φ^{±t} or be transverse (e.g. ℝ²)
- Dependence on v might even be discontinuous (Ballmann, Brin, Burns "dinosaur" example)

How to define the flow box B? Requires product structure...

Define boundary at infinity ∂X as set of equivalence classes of geodesics, where $c_1 \sim c_2$ when $\sup_{t>0} d(c_1(t), c_2(t)) < \infty$

- "Set of possible futures/pasts"
- Can we join every past to every future?
 In general, no. For surfaces of genus ≥ 2, yes.

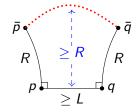


(M,g) surface, genus \geq 2, no conjugate points; X universal cover

 g_0 constant negative curvature metric \Rightarrow $g = \mathcal{C}^{\pm 1} g_0$

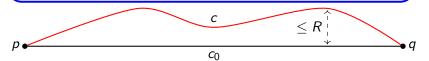
Exercise (Hyperbolic geometry for g_0)

 \exists L, R such that if $\bar{p}p$, pq, q \bar{q} in picture are g₀-geodesics, then g₀-length of $\bar{p}\bar{q}$ (red dotted curve) is > $C^2 d_0(\bar{p}, \bar{q})$



Consequence: $\bar{p}\bar{q}$ not a g-geodesic

Morse Lemma: If $d_0(p,q) \ge L$ and c_0, c are g_0, g -geodesics from p to q, then Hausdorff distance from c_0 to c is $\le R$.



Preliminaries Results Dynamical approach No conjugate points Open quest 000000 000 00000000 000

A coarse kind of product structure

(M,g) surface, genus \geq 2, no conjugate points; X universal cover

Morse Lemma: Every g_0 -geodesic is *R*-shadowed by a *g*-geodesic (may not be unique), and vice versa.

Can join every past and future.

- $(\xi,\eta)\in\partial^2 X$ represented by g-geodesics c_ξ,c_η
- *R*-shadow c_{ξ}, c_{η} by g_0 -geodesics c_{ξ}^0 and c_{η}^0
- Join $c^0_{\xi}(\infty)$ and $c^0_{\eta}(\infty)$ by g_0 -geodesic c^0
- *R*-shadow c^0 by a *g*-geodesic *c*, which joins (ξ, η)

Hopf map $H: SX \to \partial^2 X \times \mathbb{R}$ is onto and continuous.

- Not 1-1, which causes technical headaches.
- Define flow box following Ricks: B = H⁻¹(P × F × [0, ε]) where P, F are disjoint neighborhoods in ∂X

(To within 2R)



Desired ingredients for the Margulis argument:

- Product structure for flow (Provided by ∂X and Hopf map)
- Leaf measures m^s, m^u that scale by $e^{\pm ht}$ (Patterson-Sullivan)
- $m = m^s \times m^u \times \text{Leb}$ is mixing and is the unique MME (???)

Still get MME, but no proof of mixing or uniqueness

Theorem (C.–Knieper–War 2021, Adv. Math.)

For surfaces of genus ≥ 2 without conjugate points, a "coarse specification" argument establishes uniqueness of the MME.

With this in hand, Margulis argument (via Ricks) goes through.

Preliminaries Results Dynamical approach No conjugate points Open quest 0000 000 00000000 00000000 00 00 Uniqueness using coarse specification 000000000 000000000 000000000 000000000

Joining past to future involves shadowing at some scale $\boldsymbol{\delta}$

 \bullet Formally, talk about "specification property at scale $\delta^{\prime\prime}$

Argument due to Rufus Bowen (1970s) gives unique MME if

- δ small w.r.t. injectivity radius of M, say inj $M > 120\delta$, and
- every pair $(\xi, \eta) \in \partial^2 X$ joined by **unique** geodesic.

Second condition guarantees an "expansivity" property.

- For surfaces with no conjugate points, this condition can fail, but only on a set of zero entropy.
- C.-Thompson (Adv. Math. 2016): unique MME if "obstructions to specification and expansivity" have small entropy, with inj M > 120δ.

Morse Lemma gives specification at large scale δ (think 3*R*), but this can easily be large compared to inj *M*.

Preliminaries Results Dynamical approach No conjugate points Open questions occose Salvation via residual finiteness

Specification scale δ depends on R from Morse Lemma, likely large.

Get uniqueness if inj $M > 120\delta$. Probably false.

Solution: Replace M with a finite cover N with inj N big enough.



- Entropy-preserving bijection between flow-invariant measures on *SM* and *SN*.
- Theorem gives unique MME on SN
- Thus there is a unique MME on SM

Why possible? dim M = 2 implies $\pi_1(M)$ is residually finite.

Preliminaries	Results	Dynamical approach	No conjugate points	Open questions
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Higher dir	mensions			

Method works for higher-dim M with no conjugate points if

- **(**) \exists Riemannian metric g_0 on M with negative curvature;
- 3 divergence property: $c_1(0) = c_2(0) \Rightarrow d(c_1(t), c_2(t)) \rightarrow \infty$;
- 3 $\pi_1(M)$ is residually finite;
- ∃ $h^* < h_{top}$ such that if µ-a.e. v has non-trivially overlapping horospheres, then $h_{\mu} \leq h^*$.

First is a real topological restriction: rules out Gromov example.

Second and third might be redundant? No example satisfying (1) where they are known to fail

Fourth is true if $\{v : H_v^s \cap H_v^u \text{ trivial}\}$ contains an open set. Unclear if this is always true. Lorenz flow (the famous "butterfly attractor")

• Unique MME: Leplaideur (arXiv:1905.06202), Pacifico, Fan Yang, Jiagang Yang (arXiv:2201.06622)

Sinai billiard flow on torus with finite number of convex scatterers

• Unique MME: Baladi, Demers (JAMS, 2020)

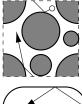
Bunimovich stadium billiard

No results on MME yet

Geodesic flows in positive curvature (?)

- "Biscuit surface" approximates stadium
- Kourganoff relates geodesic flow, billiard









Preliminaries	Results	Dynamical approach	No conjugate points	Open questions
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Thank you!