# Counting closed geodesics 

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## Curvature and growth

Consider a surface with (constant) Gaussian curvature $K$.

- How do circles/discs behave?
- How do nearby geodesics behave?
- How many geodesics are there?


$$
K>0
$$


$K=0$
(Growth of length/area) (Growth of distance)
(Growth of cardinality)


$$
K<0
$$

How many geodesics?? Infinitely many!
More precisely, count geodesic segments of length $r$ that start at $x$ and separate by at least $\epsilon$ ("distinguishable")

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$$
K<0
$$



Circumference $=2 \pi r$, area $=\pi r^{2}$
Distance constant (if parallel) or linear
Number $=2 \pi r / \epsilon$

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Circumference $<2 \pi r$, area $<\pi r^{2}$
Distance bounded, conjugate points exist
Number of distinguishable geodesics bounded

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$$
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(Growth of length/area) (Growth of distance)
(Growth of cardinality)


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$$



Circumference $>2 \pi r$, area $>\pi r^{2}$
Distance grows... how fast?
Number grows. . . how fast?

## Hyperbolic geometry ( $K \equiv-1$ ) and exponential growth

Upper half-plane model $(y>0)$


Geodesics $=$ circles/lines orthogonal to $\partial \mathbb{H}^{2}$

Disc model $\left(x^{2}+y^{2}<1\right)$


Exercise: radius $r$ circle has

- circumference $=\pi\left(e^{r}-e^{-r}\right)$
- area $=\pi\left(e^{r}-2+e^{-r}\right)$

Large scale: send $r \rightarrow \infty$ and write $f(r) \sim g(r)$ if $\frac{f(r)}{g(r)} \rightarrow 1$

$$
\text { area }(B(z, r)) \sim \pi e^{r} \quad \#\{\epsilon \text {-separated } r \text {-geod. from } z\} \sim \frac{\pi}{\epsilon} e^{r}
$$

## Topology and geometry - surfaces as quotients

Closed surface: compact, connected, boundaryless, orientable Every such surface admits a metric of constant curvature.


$$
S^{2}(K=1) \quad \mathbb{R}^{2} / \mathbb{Z}^{2}(K=0) \quad \mathbb{H}^{2} / \Gamma(K=-1)
$$

All octagons shown are isometric; tile $\mathbb{H}^{2}$.

- $\gamma_{a} \in \operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right)$ takes $a_{1} \mapsto a_{2}$
- $\Gamma=\left\langle\gamma_{a}, \gamma_{b}, \gamma_{c}, \gamma_{d}\right\rangle$ discrete
- $M=\mathbb{H}^{2} / \Gamma$ surface of genus 2
- $\pi_{1}(M) \cong \Gamma$



## Fundamental group and closed geodesics

$M=\mathbb{H}^{2} / \Gamma$ surface of genus 2 , with $\Gamma=\left\langle\gamma_{a}, \gamma_{b}, \gamma_{c}, \gamma_{d}\right\rangle \cong \pi_{1}(M)$.
Fundamental group produces closed geodesics:

$$
\gamma \in \pi_{1}(M)
$$

## shortest $c_{\gamma}$ is closed geod.

Fix $p \in F$. Recall area $(B(p, r)) \sim \pi e^{r}$

- Let $G_{r}=\{\gamma \in \Gamma: \gamma F \subset B(p, r)\}$
- Area estimate $\Rightarrow \# G_{r} \geq C e^{r}$
- For all $\gamma \in G_{r}$, get $\left|c_{\gamma}\right| \leq d(p, \gamma p) \leq r$.

Suggests $\#\{$ closed geodesics with length $\leq r\}$ grows exponentially.
Warning: conjugate elements of $\pi_{1}(M)$ give same closed geodesic.

## Exponential growth associated to $M=\mathbb{H}^{2} / \Gamma$

Volume growth: area $(B(x, t)) \sim \pi e^{t} \quad$ (Same for all $\left.\Gamma, M\right)$

Geodesic growth on $M: \#\{\epsilon$-sep. $t$-geodesics on $M\} \sim C_{M, \epsilon} e^{t}$

Closed geodesics on $M$ : $\#\{$ closed geodesics with length $\leq t\}$ grows exponentially in $t$
(1) How precise can we make "grows exponentially in $t$ "?
(2) What if $M$ has variable negative curvature?

Also get exponential "word growth" in fundamental group $\pi_{1}(M)$

## The first result for closed geodesics

$$
\text { Discrete } \Gamma \subset \operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right) \text { is cofinite if } M=\mathbb{H}^{2} / \Gamma \text { has finite area. }
$$

## Theorem (Huber, 1959)

Given $M, \Gamma$ as above, let $P(t)$ denote the set of closed geodesics on $M$ with length $\leq t$. Then $\# P(t) \sim \frac{e^{t}}{t}$.

Huber's proof relies on Selberg trace formula, which relates lengths of closed geodesics to spectrum of the Laplacian.

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Analogies to prime number theory and Riemann zeta function.
$\pi(N) \sim \frac{N}{\log N} \quad \stackrel{T=\log N}{\longleftrightarrow} \quad \#\{p$ prime: $\log p \leq T\} \sim \frac{e^{T}}{T}$
I am the wrong person to tell you about all this...

## Beyond constant curvature

Let $M$ be a surface of genus $\geq 2$ with variable curvature

- Still get $M=X / \Gamma$ where universal cover $X$ is homeomorphic to disc and $\Gamma \cong \pi_{1}(M)$ acts discretely and isometrically on $X$

Two Riemannian metrics: $g$ (variable curvature), $g_{0}$ (constant)

$$
\text { Compact } \Rightarrow g=C^{ \pm 1} g_{0} \Rightarrow B_{0}\left(x, C^{-1} r\right) \subset B(x, r) \subset B_{0}(x, C r)
$$

Still get exponential volume growth, but lose precise formula

Topological entropy of the "geodesic flow" on $M$ is the number $h$ such that (\# of $\epsilon$-distinguishable $t$-geodesic segments) $\approx e^{h t}$, where " $\approx$ " is used quite loosely and is weaker than $\sim$. Formally,

$$
h:=\lim _{\epsilon \rightarrow 0} \varlimsup_{t \rightarrow \infty} \frac{1}{t} \log (\# \text { of } \epsilon \text {-distinguishable } t \text {-geodesics) }
$$

## Margulis asymptotic estimates

## Theorem (Margulis, 1970 thesis, published 2004)

Let $M$ be a closed Riemannian manifold with negative sectional curvatures, and $P(t)$ the set of closed geodesics with length $\leq t$. Let $h>0$ be the topological entropy of geodesic flow on M. Then

- \#P(t) $\sim \frac{e^{h t}}{h t}$, and
- there is a continuous function $c$ on the universal cover $X$ such that for every $x \in X$ we have $\operatorname{vol}(B(x, r)) \sim c(x) e^{h r}$.

Margulis's approach was publicized by Anatole Katok via the thesis of Charles Toll (1984) and the book with Boris Hasselblatt (1995).

An alternate proof was given by Parry and Pollicott (1983).

## Beyond negative curvature

Margulis asymptotics for closed geodesics now proved for:

- surfaces with $K<0$ outside radially symmetric "caps" (Bryce Weaver, J. Mod. Dyn. 2014)
- rank 1 manifolds of nonpositive curvature - in fact CAT(0) (Russell Ricks, arXiv:1903.07635) ${ }^{1}$ (Count homotopy classes)
- rank 1 manifolds without focal points (Weisheng Wu, arXiv:2105.01841)
- surfaces of genus $\geq 2$ without conjugate points (C., Knieper, War, Comm. Cont. Math., to appear)

In last 3 settings, volume asymptotics proved by Weisheng Wu (arXiv:2106.07493)

All these results follow the dynamical approach of Margulis

[^0]
## Geodesic flow and horocycles

Study geodesic flow $\phi^{t}$ on unit tangent bundle $S M=$ $\{v \in T M:\|v\|=1\}$
$v \rightsquigarrow$ geodesic $c_{v}$ with $\dot{c}_{v}(0)=v \rightsquigarrow \phi^{t}(v):=\dot{c}_{v}(t)$


Closed geodesics $\leftrightarrow$ periodic orbits for geodesic flow

For the time being, consider constant negative curvature


Each $v \in S \mathbb{H}^{2}$ is normal to two horocycles (horizontal lines or circles tangent to $\partial \mathbb{H}^{2}$ )
Normal vector fields $W^{s}(v), W^{u}(v) \subset S \mathbb{H}^{2}$ give stable/unstable foliations of $S \mathbb{H}^{2}$

Given $w \in W^{s}(v)$, we have $d\left(\phi^{t}(v), \phi^{t}(w)\right)=e^{-t} d(v, w)$
Given $w \in W^{u}(v)$, we have $d\left(\phi^{t}(v), \phi^{t}(w)\right)=e^{t} d(v, w)$

## Product structure on $S \mathbb{H} \mathbb{H}^{2}$

Local product structure using $W^{u}, W^{s}$, and orbit foliation $W^{0}$

Important idea in hyperbolic dynamics: "Any past can be joined to any future"


Can get a global picture too:

- Identify each leaf of $W^{s, u}$ with $\partial \mathbb{H}^{2}$.
- For all $(\xi, \eta) \in \partial^{2} \mathbb{H}^{2}:=\left(\partial \mathbb{H}^{2}\right)^{2} \backslash$ diag there is a unique geodesic from $\xi$ to $\eta$.
- Parametrizing gives homeomorphism $S \mathbb{H}^{2} \rightarrow \partial^{2} \mathbb{H}^{2} \times \mathbb{R}$ (Hopf map).


## Setting up the Margulis argument

$C(t)=\{$ closed geod. with $|c| \in(t-\epsilon, t]\} \quad P(T)=\bigsqcup_{k} C\left(t_{k}\right)$
Estimate $\# C(t)$ and sum (becomes integral as $\epsilon \rightarrow 0$ ).
Use probability measure $\nu_{t}=\frac{1}{\# C(t)} \sum_{c \in C(t)} \frac{1}{t}$ Leb $_{c}$


$$
\begin{aligned}
& B=\text { flow box } \subset S M \\
& S=\text { slab/slice } \\
& \nu_{t}(B)=\frac{\epsilon \cdot(\# \text { transits })}{t \cdot \# C(t)}
\end{aligned}
$$


$\{$ transits of $B$ by some $c \in C(t)\}$

$\Gamma(t)=\left\{\right.$ conn. components of $\left.S \cap \phi^{-t} B\right\}$

## Completing the argument using ergodic theory

$$
\Gamma(t)=\left\{\text { conn. components of } S \cap \phi^{-t} B\right\} \quad \nu_{t}(B) \approx \frac{\epsilon}{t} \frac{\# \Gamma(t)}{\# C(t)}
$$

Liouville measure $m$ on SM given by normalizing $m^{s} \times m^{u} \times$ Leb, where $m^{s, u}$ are Lebesgue measure along $W^{s, u}$, and satisfy:

$$
m^{u}\left(\phi^{t} A\right)=e^{t} m^{u}(A) \quad \text { and } \quad m^{s}\left(\phi^{t} A\right)=e^{-t} m^{s}(A)
$$

Scaling: $m(A) \approx e^{-t} m(S)$ for all $A \in \Gamma(t)$

- $m\left(S \cap \phi^{-t} B\right) \approx e^{-t} m(S) \# \Gamma(t)$

Mixing: $\frac{m\left(S \cap \phi^{-t} B\right)}{m(S)} \rightarrow m(B)$


- $m(B) \approx e^{-t} \# \Gamma(t)$

Equidistribution: $\nu_{t} \xrightarrow{\mathrm{wk}} m$, so $m(B) \approx \frac{\epsilon}{t} \# \Gamma(t), \# C(t) \quad \# C(t) \approx \frac{\epsilon}{t} e^{t}$

## Ingredients needed for the Margulis argument

Product structure (for flow and measure)

- Used for flow box, closing lemma, mixing property

Scaling properties of leaf measure $m^{u}$

- Relied on fact that contraction rate along $W^{s, u}$ is constant

Equidistribution property $\nu_{t}(B) \rightarrow m(B)$

- Can prove it directly, or use the fact that $m$ is the unique measure of maximal entropy


## Entropy (as analogue of dimension)

$d$-dimensional measure

$$
\begin{aligned}
& m(B(x, \epsilon)) \approx \epsilon^{d} \\
& d=\lim _{\epsilon \rightarrow 0} \frac{\log m(B(x, \epsilon))}{\log \epsilon}
\end{aligned}
$$

$d$-dimensional set $[0,1]^{d}$

$$
\begin{aligned}
& N(\epsilon) \approx \epsilon^{-d} \text { balls to cover } \\
& d=\lim _{\epsilon \rightarrow 0} \frac{\log N(\epsilon)}{-\log \epsilon}
\end{aligned}
$$

For entropy of geodesic flow, refine dynamically via Bowen balls

$$
B_{t}(v, \epsilon)=\left\{w \in S M: d\left(c_{v}(s), c_{w}(s)\right)<\epsilon \text { for all } s \in[0, t]\right\}
$$

Topological entropy: $h=\lim _{t \rightarrow \infty} \frac{1}{t} \log \Lambda_{t}(\epsilon) \quad$ ( $\epsilon$ fixed small)

$$
\Lambda_{t}(\epsilon)=\min \left\{\# E: \bigcup_{v \in E} B_{t}(v, \epsilon)=S M\right\} \quad \Lambda_{t} \approx e^{h t}
$$

Measure-theoretic entropy: $\mu$ flow-invariant prob. measure,

$$
h_{\mu}=\int \lim _{t \rightarrow \infty}-\frac{1}{t} \log \mu\left(B_{t}(v, \epsilon)\right) d \mu(v) \quad \mu\left(B_{t}\right) \approx e^{-h_{\mu} t}
$$

## Variational principle

Topological entropy: Value of $h$ such that (\# of $\epsilon$-distinguishable $t$-geodesic segments) $\approx e^{h t}$

Now consider a flow-invariant probability measure $\mu$.

Measure-theoretic entropy: Value of $h_{\mu}$ such that $\mu\left\{w: c_{w} \epsilon\right.$-indistinguishable from $c_{v}$ through time $\left.t\right\} \approx e^{-h_{\mu} t}$

Variational principle: $h=\sup \left\{h_{\mu}: \mu\right.$ flow-inv. prob. meas. $\}$
If $h_{\mu}=h$ then $\mu$ is a measure of maximal entropy (MME)

- When $K \equiv-1$, Liouville measure $m$ has $h_{m}=1=h$
- In fact, $m$ is the unique MME: Adler, Weiss, Bowen (1970s)


## Anosov flows

Now move to setting of variable negative curvature, so $M=X / \Gamma$, where universal cover $X$ is still homeomorphic to disc.

Still get stable horocycle for all $v \in S X$ by

$$
H^{s}(v)=\lim _{r \rightarrow \infty} \partial B_{X}\left(c_{v}(r), r\right)
$$

Also unstable horocycle $H^{u}(v)=H^{s}(-v)$.


Normal vec. fields give foliations $W^{s, u}$ with uniform hyperbolicity:

$$
\begin{aligned}
& w \in W^{s}(v) \quad \Rightarrow \quad d\left(\phi^{t} v, \phi^{t} w\right) \leq C e^{-\lambda t} d(v, w) \\
& w \in W^{u}(v) \quad \Rightarrow \quad d\left(\phi^{-t} v, \phi^{-t} w\right) \leq C e^{-\lambda t} d(v, w)
\end{aligned}
$$



Here $\lambda>0$, and inequality is for all $t \geq 0$. $\left(\phi^{t}: S M \rightarrow S M\right)_{t \in \mathbb{R}}$ is an Anosov flow

Anosov flows have local product structure

## Margulis leaf measures in variable negative curvature

Surface of genus $\geq 2 \Rightarrow h>0$ (by exponential volume growth), but Lebesgue measure on leaves may not scale by

$$
m^{u}\left(\phi^{t} A\right)=e^{h t} m^{u}(A) \quad \text { and } \quad m^{s}\left(\phi^{t} A\right)=e^{-h t} m^{s}(A)
$$

For any Anosov flow, Margulis built $m^{u}, m^{s}$ satisfying ( $\star$ ) Idea: pull back Leb from $\phi^{t}\left(W^{u}\right)$, scale by $e^{-h t}$, take a limit

$m=m^{u} \times m^{s} \times$ Leb is flow-invariant Bowen-Margulis measure

- Unique MME, $\neq$ Liouville unless $K \equiv$ constant
- Allows to run the Margulis proof and get $\# P(t) \sim \frac{e^{h t}}{h t}$


## Many constructions of Margulis leaf measures

Various ways to formalize the details of the construction

- Fixed point argument on an appropriate space (Margulis 1970)
- Can also use Hausdorff measure in appropriate metric (Hamenstädt 1989, Hasselblatt 1989, ETDS)
- Interpretation via Bowen's alternate definition of entropy (C.-Pesin-Zelerowicz BAMS 2019, also C. arXiv:2009.09260)
- For geodesic flow can also use Patterson-Sullivan approach Identify leaves of $W^{s, u}$ with $\partial X$. Build family $\left\{\nu_{p}: p \in X\right\}$ of measures on $\partial X$ : $\nu_{p}=\lim _{s \searrow h}\left[\operatorname{normalize}\left(\sum_{\gamma \in \Gamma} e^{-s d(p, \gamma x)} \delta_{\gamma x}\right)\right]$
Weights give scaling properties (w.r.t. p) corresponding to Margulis measure.
(Patterson and Sullivan 1970s, Kaimanovich 1990)


## No conjugate points

A manifold $M$ has no conjugate points if any two points in the universal cover are joined by a unique geodesic.
$P(t)=\{$ free homotopy classes of closed geod. with length $\leq t\}$

> Theorem (C., Knieper, War, to appear in Comm. Contemp. Math.)
> Let $M$ be a surface of genus $\geq 2$ with no conjugate points. Then $\# P(t) \sim \frac{e^{h t}}{h t}$.

Margulis's proof works for any closed manifold with negative sectional curvatures, in any dimension. Our proof covers some higher-dimensional examples, but a detailed description is rather technical.

## Foliations via horospheres are troublesome

$M$ a manifold without conjugate points, $X$ universal cover Horospheres $H^{s, u}$ and foliations $W^{s, u}$ as in negative curvature.

- $W^{s, u}(v)$ may not contract under $\phi^{ \pm t}$ or be transverse (e.g. $\mathbb{R}^{2}$ )
- Dependence on $v$ might even be discontinuous (Ballmann, Brin, Burns "dinosaur" example)

How to define the flow box $B$ ? Requires product structure. . .
Define boundary at infinity $\partial X$ as set of equivalence classes of geodesics, where $c_{1} \sim c_{2}$ when $\sup _{t>0} d\left(c_{1}(t), c_{2}(t)\right)<\infty$

- "Set of possible futures/pasts"
- Can we join every past to every future?

In general, no. For surfaces of genus $\geq 2$, yes.

## The Morse Lemma (not the one about critical points)

( $M, g$ ) surface, genus $\geq 2$, no conjugate points; $X$ universal cover $g_{0}$ constant negative curvature metric $\Rightarrow g=C^{ \pm 1} g_{0}$

Exercise (Hyperbolic geometry for $g_{0}$ )
$\exists L, R$ such that if $\bar{p} p, p q, q \bar{q}$ in picture are $g_{0}$-geodesics, then $g_{0}$-length of $\bar{p} \bar{q}$ (red dotted curve) is $>C^{2} d_{0}(\bar{p}, \bar{q})$

Consequence: $\bar{p} \bar{q}$ not a $g$-geodesic


Morse Lemma: If $d_{0}(p, q) \geq L$ and $c_{0}, c$ are $g_{0}, g$-geodesics from $p$ to $q$, then Hausdorff distance from $c_{0}$ to $c$ is $\leq R$.


## A coarse kind of product structure

( $M, g$ ) surface, genus $\geq 2$, no conjugate points; $X$ universal cover
Morse Lemma: Every $g_{0}$-geodesic is $R$-shadowed by a $g$-geodesic (may not be unique), and vice versa.

Can join every past and future.

- $(\xi, \eta) \in \partial^{2} X$ represented by $g$-geodesics $c_{\xi}, c_{\eta}$
- $R$-shadow $c_{\xi}, c_{\eta}$ by $g_{0}$-geodesics $c_{\xi}^{0}$ and $c_{\eta}^{0}$
- Join $c_{\xi}^{0}(\infty)$ and $c_{\eta}^{0}(\infty)$ by $g_{0}$-geodesic $c^{0}$
- $R$-shadow $c^{0}$ by a $g$-geodesic $c$, which joins $(\xi, \eta)$

Hopf map $H: S X \rightarrow \partial^{2} X \times \mathbb{R}$ is onto and continuous.

- Not 1-1, which causes technical headaches.
- Define flow box following Ricks: $B=H^{-1}(\mathbf{P} \times \mathbf{F} \times[0, \epsilon])$ where $\mathbf{P}, \mathbf{F}$ are disjoint neighborhoods in $\partial X$


## New challenges for manifolds with no conjugate points

Desired ingredients for the Margulis argument:

- Product structure for flow (Provided by $\partial X$ and Hopf map)
- Leaf measures $m^{s}, m^{u}$ that scale by $e^{ \pm h t}$ (Patterson-Sullivan)
- $m=m^{s} \times m^{u} \times$ Leb is mixing and is the unique MME

Still get MME, but no proof of mixing or uniqueness

## Theorem (C.-Knieper-War 2021, Adv. Math.)

For surfaces of genus $\geq 2$ without conjugate points, a "coarse specification" argument establishes uniqueness of the MME.

With this in hand, Margulis argument (via Ricks) goes through.

## Uniqueness using coarse specification

Joining past to future involves shadowing at some scale $\delta$

- Formally, talk about "specification property at scale $\delta$ "

Argument due to Rufus Bowen (1970s) gives unique MME if

- $\delta$ small w.r.t. injectivity radius of $M$, say inj $M>120 \delta$, and
- every pair $(\xi, \eta) \in \partial^{2} X$ joined by unique geodesic.

Second condition guarantees an "expansivity" property.

- For surfaces with no conjugate points, this condition can fail, but only on a set of zero entropy.
- C.-Thompson (Adv. Math. 2016): unique MME if "obstructions to specification and expansivity" have small entropy, with $\operatorname{inj} M>120 \delta$.

Morse Lemma gives specification at large scale $\delta$ (think $3 R$ ), but this can easily be large compared to inj $M$.

## Salvation via residual finiteness

Specification scale $\delta$ depends on $R$ from Morse Lemma, likely large.

Get uniqueness if inj $M>120 \delta$. Probably false.

Solution: Replace $M$ with a finite cover $N$ with $\operatorname{inj} N$ big enough.


- Entropy-preserving bijection between flow-invariant measures on $S M$ and $S N$.
- Theorem gives unique MME on $S N$
- Thus there is a unique MME on $S M$

Why possible? $\operatorname{dim} M=2$ implies $\pi_{1}(M)$ is residually finite.

## Higher dimensions

Method works for higher-dim $M$ with no conjugate points if
(1) $\exists$ Riemannian metric $g_{0}$ on $M$ with negative curvature;
(2) divergence property: $c_{1}(0)=c_{2}(0) \Rightarrow d\left(c_{1}(t), c_{2}(t)\right) \rightarrow \infty$;
(3) $\pi_{1}(M)$ is residually finite;
(9) $\exists h^{*}<h_{\text {top }}$ such that if $\mu$-a.e. $v$ has non-trivially overlapping horospheres, then $h_{\mu} \leq h^{*}$.

First is a real topological restriction: rules out Gromov example.
Second and third might be redundant? No example satisfying (1) where they are known to fail

Fourth is true if $\left\{v: H_{v}^{s} \cap H_{v}^{u}\right.$ trivial $\}$ contains an open set. Unclear if this is always true.

## Some examples where Margulis asymptotics remain open

Lorenz flow (the famous "butterfly attractor")

- Unique MME: Leplaideur (arXiv:1905.06202), Pacifico, Fan Yang, Jiagang Yang (arXiv:2201.06622)

Sinai billiard flow on torus with finite number of convex scatterers

- Unique MME: Baladi, Demers (JAMS, 2020)


Bunimovich stadium billiard

- No results on MME yet


Geodesic flows in positive curvature (?)

- "Biscuit surface" approximates stadium
- Kourganoff relates geodesic flow, billiard


Thank you!


[^0]:    ${ }^{1}$ Also prior unpublished work in 2002 thesis of Roland Gunesch

