Equilibrium States on Non-Uniformly Expanding Skew Products

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# 1 Introduction

Let X and Y be compact, connected Riemannian manifolds and denote by d the  $L_1$  distance on  $X \times Y$ . Denote by  $\pi_X$  and  $\pi_Y$  the natural projection maps from  $X \times Y$  onto X and Y, respectively. We will refer to X as the base and  $\{Y_x = \{x\} \times Y\}_{x \in X}$  as the fibers of the product since

$$X \times Y = \bigcup_{x \in X} \{x\} \times Y.$$

Note that each fiber  $Y_x$  can be identified with Y. We will make the necessary distinctions as needed. Let F be a skew product on  $X \times Y$ ; i.e. there are maps  $f: X \to X$  and  $\{g_x: Y_x \to Y_{f_x} | x \in X\}$ such that

$$F(x,y) = (f(x), g_x(y)).$$

In their 1999 paper, Denker and Gordin showed that if such a fibred system is fiberwise expanding and topologically exact along fibers, then there is a unique family of fiberwise Gibbs meausures that are the conditional measures for a Gibbs measure on the product  $X \times Y$ . In this paper, we aim to extend this result non-uniformly expanding maps. Castro and Varandas [] proved that for non-uniformly expanding systems equipped with a certain class of Hölder continuous potentials, the Ruelle operator

$$\mathcal{L}_{\varphi}\psi(x,y) = \sum_{(\bar{x},\bar{y})\in F^{-1}(x,y)} e^{\varphi(\bar{x},\bar{y})}\psi(\bar{x},\bar{y}).$$

acting on the space of  $\alpha$ -Hölder potentials admit a unique equilibrium state  $\mu$ . In Section 2, we shall describe fiberwise transfer operators  $\mathcal{L}_x : C(Y_x) \to C(Y_{fx})$  defined such that for any  $\psi \in C(X \times Y)$ ,

$$\mathcal{L}_x \psi_x(y) = \sum_{\bar{y} \in g_x^{-1} y} e^{\varphi(\bar{x}, \bar{y})} \psi(\bar{x}, \bar{y}).$$

Hafouta [20] uses these operators to construct a sequential family of fiberwise measures along every fiber. In Section 3, we will use cone techniques analogous to Piraino [4] to construct a potential on

X for which  $\hat{\mu} = \mu \circ \pi_X^{-1}$  is an equilibrium state, namely

$$\Phi(x) = \lim_{n \to \infty} \log \frac{\langle \mathcal{L}_x^{n+1} \mathbb{1}, \sigma \rangle}{\langle \mathcal{L}_{fx}^n \mathbb{1}, \sigma \rangle}$$
(1)

for some probability measure  $\sigma$  on Y. This is the same potential [4] and [5] studied in the symbolic case. With these things in hand, we will be able to prove the following.

**Theorem A.** Let  $(X \times Y, F)$  be a Lipschitz non-uniformly expanding skew product with fiberwise exactness. Let  $\varphi$  be a Hölder continuous potential on  $X \times Y$  and  $\mu$  be its corresponding equilibrium state. Then

- 1. the potential  $\Phi$  in equation (1) exists independent of  $\sigma$  and  $\hat{\mu} = \mu \circ \pi_X^{-1}$  is an equilibrium state for  $\Phi$ , and
- 2. there is a family of fiberwise Gibbs measures  $\{\mu_x : x \in X\}$  that form a system of conditional measures for  $\mu$  relative to the partition of  $X \times Y$  into vertical fibers. That is,

$$\mu = \int_X \mu_x \ d\hat{\mu}(x).$$

Before we get into it, I'd like to take a moment to thank my advisor Vaughn Climenhaga for many insightful discussions during the writing of this paper.

### 2 Setting

#### 2.1 Non-Uniformnly Expanding Skew Products

A map  $F: X \times Y \to X \times Y$  is uniformly expanding if there exists  $C, \delta_F > 0$  and  $\sigma > 1$  such that

$$d(F^{n}(x,y),F^{n}(x',y')) \ge C\gamma^{n}d((x,y),(x',y'))$$

whenever  $d((x, y), (x', y')) \leq \delta_F$ . One can assume without loss of generality that C = 1 by passing to an adapted metric. This reduces locally expanding to

$$d(F(x,y), F(x',y')) \ge \gamma d((x,y), (x',y'))$$

whenever  $d((x, y), (x', y')) \leq \delta_F$ .

We shall assume that F is a local homeomorphism and that the map  $f : X \to X$  is locally uniformly expanding. Moreover, we assume there is a continuous function  $(x, y) \mapsto L(x, y)$  such that, for every  $(x, y) \in X \times Y$  there is a neighborhood  $U_{x,y}$  of (x, y) so that  $F|_{U_{x,y}}$  is invertible and

$$d(F^{-1}(u_x, u_y), F^{-1}(v_x, v_y)) \le L(x, y)d((u_x, u_y), (v_x, v_y))$$

for all  $(u_x, u_y), (v_x, v_y) \in F(U_{x,y})$ . Futhermore, we assume that every point in X has the same number of preimages under f and that  $|g_x^{-1}(y)|$  is constant for all  $x \in X$  and  $y \in Y_x$ . Additionally, we shall assume that there exist constants  $\gamma > 1$  and  $L \ge 1$ , and an open region  $\mathcal{A} \subset X \times Y$  such that

- 1.  $L(x,y) \leq L$  for every  $x \in \mathcal{A}$  and  $L(x,y) < \gamma^{-1}$  for all  $x \notin \mathcal{A}$ , and L is close to 1.
- 2. There exists a finite covering  $\mathcal{U}$  of  $X \times Y$  by open sets for which F is injective such that  $\mathcal{A}$  can be covered by q < deg(F). Moreover, we assume that the elements of  $\mathcal{U}$  are small enough to separate curves on  $X \times Y$  in the sense that if c is a distance-minimizing geodesic on  $X \times Y$ , then each element of  $\mathcal{U}$  can intersect at most one curve in  $F^{-1}(c)$ .

The first condition means that F is uniformly expanding outside of  $\mathcal{A}$  and not too contracting in  $\mathcal{A}$ . Thus, if  $\mathcal{A}$  is empty, then everything is reduced to the uniformly expanding case. The second condition ensures that every point has at least one preimage in the expanding region. Note that H2' is a strengthened version of H2 from [Castro Varandas]. We need this to prove that fiberwise we are in the setting of Hafouta 20 as described below. But first we state a technical lemma that gives us control on the distances between pre-images of points.

**Lemma 2.1.** For any  $n \ge 1$  and  $(x, y), (x', y') \in X \times Y$ , there exists a bijection between the sets of preimages  $\{(\bar{x}, \bar{y}) \in X \times Y : F^n(\bar{x}, \bar{y}) = (x, y)\}$  and  $\{(\bar{x}', \bar{y}') \in X \times Y : F^n(\bar{x}, \bar{y}) = (x', y')\}$ . Moreover, for every  $n \in \mathbb{N}$ , there exists  $\delta(n) > 0$  such that for every  $0 < \delta \le \delta(n)$  the distance between paired n-preimages is such that if  $d((x, y), (x', y')) < \delta$ , then

$$d(F^{-n}(u_x, u_y), F^{-n}(v_x, v_y)) \le L^n d((x, y), (x', y'))$$

for every  $i = 1, \ldots, deg(F)^n$ .

Proof. See Lemma 3.8 in Castro Varandas.

**Lemma 2.2.** If F satisfies H1 and  $\overline{H2}$ , then there exists families  $\{L_x\}, \{\sigma_x\}, and \{q_x\}$  so that  $L_x \leq L$  for some  $L \geq 1$  and for each  $x \in X$ , we have  $\sigma_x > 1$ ,  $L_x \geq 1$ ,  $q \in \mathbb{N}$  such that  $q < \deg g_x$  and for any  $y, y' \in Y_{fx}$ , we can pair off the preimages of  $g_x^{-1}(y) = \{y_1, \ldots, y_{q_x}\}$  and  $g_x^{-1}(y') = \{y'_1, \ldots, y'_{q_x}\}$  where for any  $k = 1, 2, \ldots, q_x$ ,

$$d_Y(y_k, y'_k) \le L_x d_Y(y, y')$$

while for any  $k = q_x + 1, \ldots, \bar{\rho}$ ,

$$d_Y(y_k, y'_k) \le \sigma_x^{-1} d_Y(y, y').$$

*Proof.* Let  $(x, y), (x', y') \in X \times Y$  and c be a distance-minimizing geodesic between the points. Let  $g_x^{-1}(y) = \{x_1, \ldots, x_d\}$ . Since F is a covering map, we can lift c to curves  $c_1, \ldots, c_d$  such that each  $c_k$  starts at

start by letting c be a distance-minimizing geodesic from x to x', then enumerate the preimages of x however you want and lift c (using the fact that T is a covering map) to curves  $c_1, \ldots, c_d$ such that each  $c_i$  starts at  $x_i$  and  $T(c_i) = c$ . Let  $x'_i$  be the other endpoint of  $c_i$ , and then cover each  $c_i$  with "small" domains of injectivity. By (H2'), at most q of these domains can intersect A, and moreover each such domain intersects at most one of the curves  $c_i$  (this is where we need the strengthened condition, and this is what is violated in the counterexample I will show you). Thus there are at most q curves  $c_i$  that intersect A, and then applying (H1) gives the desired result.

#### 2.2 Dynamics on Skew Products

To understand the dynamics of F on  $X \times Y$ , define for any  $n \ge 0$  and  $x \in X$ ,

$$g_x^n := g_{f^{n-1}x} \circ \cdots \circ g_x.$$

Then for any  $(x, y) \in X \times Y$ , the behavior of this system can be investigated through the sequence

$$F^n(x,y) = (f^n(x), g^n_x y).$$

For each  $n \ge 0$ , define the  $n^{th}$ -Bowen metric as

$$d_n((x,y),(x',y')) = \max_{0 \le i \le n} \{ d(F^i(x,y),F^i(x',y')) \}.$$

Also, call  $B_n((x, y), \delta) = \{(x', y'): d_n((x, y), (x', y')) < \delta\}$  the  $n^{th}$ -Bowen ball centered at (x, y) of radius  $\delta > 0$ .

We shall write  $B^x(y,\varepsilon) \subset Y_x$  to denote the ball centered at  $y \in Y_x$  of radius  $\varepsilon > 0$ . Note that

$$B^{x}(y,\varepsilon) = B((x,y),\varepsilon) \cap Y_{x}$$

**Definition 2.3.** An expanding map  $F: X \times Y \to X \times Y$  is *fiberwise exact* if for every  $\varepsilon > 0$  and  $x \in X$ , there exists  $N \in \mathbb{N}$  such that  $F^N B^x(y, \varepsilon) = Y_{f^N x}$  for any  $y \in Y_x$ .

Remark 1. An important class of examples of such maps is the case when X and Y are compact, connected manifolds. In chapter 11 of [6], it is shown that an expanding map f on a compact manifold M is topologically exact: i.e. for any open  $U \subset M$ , there exists  $N \ge 1$  such that  $f^N U = M$ . Fix  $x \in X$ . Note that F is topologically exact. Then there exists  $N \ge 0$  such that for any  $y \in Y_x$ ,  $F^N(B((x,y),\varepsilon)) = X \times Y$ . Fix  $y \in Y$ . Since  $B((x,y),\varepsilon)) \subset F^{-N}(X \times Y)$ , there is a  $y_N \in B^x(y,\varepsilon)$  such that  $F^N(x,y_N) = (f^N x, y)$ . Since Y is compact, N can be chosen independent of  $y \in Y$ . Then F is fiberwise exact.

The following lemma gives us control on the distances between pre-images of points.

**Lemma 2.4.** For any  $n \ge 1$  and  $(x, y), (x', y') \in X \times Y$ , there exists a bijection between the sets of preimages  $\{(\bar{x}, \bar{y}) \in X \times Y : F^n(\bar{x}, \bar{y}) = (x, y)\}$  and  $\{(\bar{x}', \bar{y}') \in X \times Y : F^n(\bar{x}, \bar{y}) = (x', y')\}$ . Moreover, for every  $n \in \mathbb{N}$ , there exists  $\delta(n) > 0$  such that for every  $0 < \delta \le \delta(n)$  the distance between paired n-preimages is such that if  $d((x, y), (x', y')) < \delta$ , then

$$d(F^{-n}(u_x, u_y), F^{-n}(v_x, v_y)) \le L^n d((x, y), (x', y'))$$

for every  $i = 1, \ldots, deg(F)^n$ .

Proof. See Lemma 3.8 in Castro Varandas.

#### 2.3 Existence and uniqueness of equilibrium states

We say  $\varphi \colon X \times Y \to \mathbb{R}$  is  $\alpha$ -Hölder continuous if

$$|\varphi|_{\alpha} := \sup_{(x,y), (x',y') \in X \times Y} \frac{\left|\varphi(x,y) - \varphi(x',y')\right|}{d((x,y), (x',y'))^{\alpha}} < \infty.$$

We denote by  $C^{\alpha} = C^{\alpha}(X \times Y)$  the space of  $\alpha$ -Hölder continuous functions on  $X \times Y$ . We say that  $\varphi$  has the *Bowen property* if  $\varphi$  is continuous and there is a constant K such that  $\sup_{n\geq 1} |S_n\varphi(x,y) - S_n\varphi(x',y')| \leq K$ .

We denote by  $\mathcal{M}(X \times Y)$  the space of Borel probability measures on  $X \times Y$  and  $\mathcal{M}(X \times Y, F)$ those that are *F*-invariant. Given a continuous map  $F: X \times Y \to X \times Y$  and a pitetnital  $\varphi: X \times Y \to \mathbb{R}$ , the variational principle asserts that

$$P_{top}(F,\varphi) = \sup\left\{h_{\nu}(F) + \int \varphi \, d\nu \colon \nu \in \mathcal{M}(X \times Y,F)\right\}$$
(2)

where  $P_{top}(F, \varphi)$  denotes the topological pressure of F with respect to  $\varphi$  and  $h_{\mu}(F)$  denotes the metric entropy of F. An equilibrium state for F with respect to  $\varphi$  is an invariant measure that achieves the supremum in the right-hand side of equation (2). Equivalently, an equilibrium state  $\mu$ is an invariant probability measure that satisfies the Gibbs property: for any  $\varepsilon > 0$ , there exists a C > 0 such that

$$C^{-1} \le \frac{\mu \left( B_n((x,y),\varepsilon) \right)}{e^{-nP(\varphi) + S_n \varphi(x,y)}} \le C$$

for any  $(x, y) \in X \times Y$  and  $n \in \mathbb{N}$ .

For our purposes in this paper, we fix a Hölder potential  $\varphi \in C^{\alpha}$ . We assume that  $\varphi$  satisfies the following

$$\sup \varphi - \inf \varphi < \varepsilon_{\varphi} \text{ and } |e^{\varphi}|_{\alpha} < \varepsilon_{\varphi} e^{\inf \varphi}$$

for some  $\varepsilon_{\varphi} > 0$  depending only on the constants  $L, \gamma, q$ , and deg(F).

**Theorem 2.5.** Let  $F: M \to M$  be a local homeomorphism with Lipschitz continuous inverse and  $\varphi: M \to \mathbb{R}$  be a Hölder continuous potential satisfying (H1), (H2), and (P). Then the Ruelle-Perron-Frobenius has a spectrial gap property in the space of Hölder continuous observables, there exists a unique equilbrium state  $\mu$  for F with respect to  $\varphi$  and the density  $d\mu/d\nu$  is Hölder continuous.

Theorem gives us a unique equilibrium state  $\mu$ . Denote by  $\hat{\mu} = \mu \circ \pi_X^{-1}$  the pushforward of the

equilibrium state  $\mu$  onto the base X. Throughout this paper, we shall refer to this measure as the transverse measure for our skew product.

### 2.4 Fiberwise Transfer Operators for Skew Products

As common in the literature, we will utilize Ruelle operators to study the equilibrium state on  $(X \times Y, F)$ . Define the transfer operator  $\mathcal{L}_{\varphi}$  acting on  $C(X \times Y)$  by sending  $\psi \in C(X \times Y)$  to

$$\mathcal{L}_{\varphi}\psi(x,y) = \sum_{(\bar{x},\bar{y})\in F^{-1}(x,y)} e^{\varphi(\bar{x},\bar{y})} \psi(\bar{x},\bar{y}).$$

Note that under the skew product representation of F, we may write

$$\sum_{(\bar{x},\bar{y})\in F^{-1}(x,y)} e^{\varphi(\bar{x},\bar{y})}\psi(\bar{x},\bar{y}) = \sum_{\bar{x}\in f^{-1}x} \sum_{\bar{y}\in g_{\bar{x}}^{-1}y} e^{\varphi(\bar{x},\bar{y})}\psi(\bar{x},\bar{y}).$$

This gives rise to a fiberwise transfer operator on the fibers of  $X \times Y$ . For every  $x \in X$ , let  $\mathcal{L}_x : C(Y_x) \to C(Y_{fx})$  be defined by

$$\mathcal{L}_x \psi_x(y) = \sum_{\bar{y} \in g_x^{-1} y} e^{\varphi(\bar{x}, \bar{y})} \psi(\bar{x}, \bar{y})$$

for any  $\psi \in C(X \times Y)$ . We shall iterate the transfer operator by letting

$$\mathcal{L}_x^n = \mathcal{L}_{f^{n-1}x} \circ \cdots \circ \mathcal{L}_x \colon C(Y_x) \to C(Y_{f^nx}).$$

Along with each of these fiberwise operators, we define its dual  $\mathcal{L}_x^*$  by sending a probability measure  $\eta \in \mathcal{M}(Y_{fx})$  to the measure  $\mathcal{L}_x^*\eta \in \mathcal{M}(Y_x)$  such that for any  $\psi \in C(X \times Y)$ ,

$$\int \psi \, d(\mathcal{L}_x^* \eta) = \int \mathcal{L}_x \psi \, d\eta.$$

# 3 Proof of Theorem A.1

Piraino [4] shows that for subshifts of finite type,  $\hat{\mu}$  is an equilibrium state for a potential analogous to

$$\Phi(x) = \lim_{n \to \infty} \log \frac{\langle \mathcal{L}_x^{n+1} \mathbb{1}, \sigma \rangle}{\langle \mathcal{L}_{fx}^n \mathbb{1}, \sigma \rangle}$$

where  $\sigma$  is any probability measure supported on Y. We will show that this potential exists in our setting and is independent of the choice  $\sigma$ . Furthermore, it is Hölder continuous. We will then show that  $\hat{\mu}$  is Gibbs for  $\Phi$ . Thus, the push-forward  $\hat{\mu}$  is the unique equilibrium state for  $\Phi$  on the factor.

#### 3.1 Birkhoff Contraction Theorem

Consider  $C^{\alpha} = C^{\alpha}(X \times Y)$  as vector space over  $\mathbb{R}$ . A subset  $\Lambda \subset C^{\alpha}$  is called a *cone* if  $a\Lambda = \Lambda$  for all a > 0. A cone  $\Lambda$  is *convex* if  $\psi + \zeta \in \Lambda$  for all  $\psi$ ,  $\zeta \in \Lambda$ . We say that  $\Lambda$  is a closed cone if  $\Lambda \cup \{0\}$  is *closed*. We assume our cones are closed, convex, and  $\Lambda \cap (-\Lambda) = \emptyset$ . Define a partial ordering  $\preceq$  on  $C^{\alpha}$  by saying  $\phi \preceq \psi$  if and only if  $\psi - \phi \in \Lambda \cup \{0\}$  for any  $\phi, \psi \in C^{\alpha}$ . Let

$$A = A(\phi, \psi) = \sup\{t > 0 : t\phi \leq \psi\}$$
 and  $B = B(\phi, \psi) = \inf\{t > 0 : \psi \leq t\phi\}$ 

The Hilbert projective metric with respect to a closed cone  $\Lambda$  is defined as

$$\Theta(\phi,\psi) = \log \frac{B}{A}$$

This definition isn't very useful for calculating distances. For that, we have the following lemma. For a proof, see Section 4 in [3].

**Lemma 3.1.** Let  $\Lambda$  be a closed cone and  $\Lambda^*$  its dual. For any  $\phi, \psi \in \Lambda$ ,

$$\Theta(\phi,\psi) = \log\left(\sup\left\{\frac{\langle\phi,\sigma\rangle\langle\psi,\eta\rangle}{\langle\psi,\sigma\rangle\langle\phi,\eta\rangle}: \sigma,\eta\in\Lambda^* \text{ and } \langle\psi,\sigma\rangle\langle\phi,\eta\rangle\neq 0\right\}\right).$$

The main idea of the proof of Theorem A is to find a cone on which long occurrences of the fiberwise transfer operator is a contraction. To accomplish this, we will need the Birkhoff Contraction theorem:

**Theorem 3.2.** Let  $\Lambda_1, \Lambda_2$  be closed cones and  $\mathcal{L} \colon \Lambda_1 \to \Lambda_2$  a linear map such that  $\mathcal{L}\Lambda_1 \subset \Lambda_2$ . Then for all  $\phi, \psi \in \Lambda_1$ 

$$\Theta_{\Lambda_2}(\mathcal{L}\phi, \mathcal{L}\psi) \le \tanh\left(\frac{diam_{\Lambda_2}(\mathcal{L}\Lambda_1)}{4}\right)\Theta_{\Lambda_1}(\phi, \psi)$$

where  $diam_{\Lambda_2}(\mathcal{L}\Lambda_1) = \sup\{\Theta_{\Lambda_1}(\phi,\psi) : \phi, \psi \in \mathcal{L}\Lambda_1\}$  and  $\tanh \infty = 1$ .

### **3.2** Existence and Regularity of $\Phi$

We will use cones of the form

$$\Lambda_K = \Lambda_K^{\alpha} = \{ \in C^{\alpha}(X \times Y) \colon \psi > 0 \text{ and } |\psi|_{\alpha} \le K \inf \psi \} \cup \{0\}.$$

It can be shown that  $\Lambda_K$  is a closed cone in  $C^{\alpha}$ .

**Lemma 3.3.** For any  $x \in X$ ,  $\mathcal{L}_x(\Lambda_K^x) \subset \Lambda_K^{fx}$  and there exists a constant M > 0 such that  $diam(\mathcal{L}_x\Lambda_K) \leq M < \infty$  with respect to the Hilbert projective metric.

Proof. Fix  $x \in X$ . Recall the definition of s in (). Let  $\delta > 0$  and K > 0 be so that  $\beta := (1 + (1 + K)\delta)s < 1$  and  $\sup_x |\phi|_{\alpha} < K\delta$ . Denote by  $\{y_k\}$  and  $\{y'_k\}$  be the inverse images of two points y and  $\bar{y}$  in  $Y_x$ , respectively. We have

$$\begin{aligned} \frac{|\mathcal{L}_x \psi(fx,y) - \mathcal{L}_x \psi(fx,y')|}{\inf \mathcal{L}_x^{\psi}} &\leq \frac{|\mathcal{L}_x \psi(fx,y) - \mathcal{L}_x \psi(fx,y')|}{de^{\inf \psi} \inf \psi} \\ &\leq d^{-1} \sum_{k=1}^d e^{\varphi(x,y_k) - \inf \varphi} |\psi(x,y_k) - \psi(x,y'_k)| (\inf \psi)^{-1} \\ &\quad + d^{-1} \sum_{k=1}^d (\psi(y_k)/\inf \psi) e^{-\inf \varphi} |e^{\varphi(x,y_k)} - e^{\varphi(x,y'_k)}| := I_1 + I_2 \end{aligned}$$

Since  $d(y_k, y'_k) \leq L_x d(y, y')$  for any  $1 \leq k \leq q_x$  and  $d(y_k, y'_k) \leq \gamma_x^{-1} d(y, y')$  for all other preimages,

$$I_1 \le d(y, y')^{\alpha} e^{\varepsilon_x} d^{-1} (L_x^{\alpha} q + (d-q)\gamma_x^{-1}) = sKd(y, y')^{\alpha}$$

where s is defined in ().

Next, note that  $\sup \psi \leq \inf \psi + |\psi|_{\alpha} \leq (1+K) \inf \psi$  and

$$\left|e^{\varphi(x,y_k)} - e^{\varphi(x,y'_k)}\right| \le e^{\sup_x \varphi} |\varphi(x,y_k) - \varphi(x,y'_k)| \le e^{\inf_x \varphi + \varepsilon_x} |\varphi_x|_\alpha d(x,y_k), (x,y'_k))^\alpha.$$

Then  $I_2 \leq s(1+K) \cdot \sup_x |\varphi|_{\alpha}$ .

Thus, we have that  $|\mathcal{L}_x|_{\alpha} \leq s(K + (1+K) \sup_x |\varphi|_{\alpha}) \inf \mathcal{L}_x \psi \leq sK(1 + (1+K)\delta) = \beta K \inf \mathcal{L}_x \psi$ . The existence of M is given by Proposition 4.3 in Castro Varandas.

**Theorem 3.4.** Let  $\Phi_n(x) = \log \frac{\langle \mathcal{L}_x^{n+1} \mathbb{1}, \sigma \rangle}{\langle \mathcal{L}_{fx}^n \mathbb{1}, \sigma \rangle}$ . There exists  $0 < \tau < 1$  and  $C_1 > 0$  such that for all  $x \in X, n \ge 0$ ,

$$\left|\Phi(x) - \Phi_n(x)\right| \le C_1 \ \tau^n.$$

*Proof.* Let  $\varepsilon > 0$  and fix  $x \in X$ . Let N be as in Definition 2.3. Suppose  $n, m \ge k \ge N$ . Then

$$\begin{aligned} \left| \Phi_n(x) - \Phi_m(x) \right| &= \left| \log \frac{\langle \mathcal{L}_x^{n+1} \mathbb{1}, \sigma \rangle}{\langle \mathcal{L}_{fx}^n \mathbb{1}, \sigma \rangle} - \log \frac{\langle \mathcal{L}_x^{m+1} \mathbb{1}, \sigma \rangle}{\langle \mathcal{L}_{fx}^m \mathbb{1}, \sigma \rangle} \right| \\ &= \left| \log \frac{\langle \mathcal{L}_{fx}^{k-1}(\mathcal{L}_x \mathbb{1}), \sigma_{fx,n} \rangle \langle \mathcal{L}_{fx}^{k-1} \mathbb{1}, \sigma_{fx,m} \rangle}{\langle \mathcal{L}_{fx}^{k-1} \mathbb{1}, \sigma_{fx,n} \rangle \langle \mathcal{L}_{fx}^{k-1}(\mathcal{L}_x \mathbb{1}), \sigma_{fx,m} \rangle} \right| \end{aligned}$$

where  $\sigma_{fx,n} = (\mathcal{L}_{f^{k+1}x})^* \cdots (\mathcal{L}_{f^nx})^* \sigma$ . By Lemma 3.1, we see that

$$\left|\Phi_n(x) - \Phi_m(x)\right| \le \Theta(\mathcal{L}_{fx}^{k-1}(\mathcal{L}_x \mathbb{1}), \mathcal{L}_{fx}^{k-1} \mathbb{1}).$$

Clearly,  $\mathbb{1} \in \Lambda_K$  for any K > 0. Then  $\mathcal{L}_x \mathbb{1} \in \Lambda_{\beta K}$  by Lemma 3.3. Write k - 1 = qN + r where

 $0 \leq r < N$  and let M be as in Lemma 3.3. Set  $\eta = \tanh{(M/4)}.$  By Lemma 3.2, we have

$$\begin{split} \Theta(\mathcal{L}_{fx}^{k-1}(\mathcal{L}_x\mathbbm{1}),\mathcal{L}_{fx}^{k-1}\mathbbm{1}) &\leq \eta^{q-1}\Theta(\mathcal{L}_{fx}^N(\mathcal{L}_x\mathbbm{1}),\mathcal{L}_{fx}^N\mathbbm{1}) \\ &\leq \eta^{q-1}M = (\eta^{1/N})^k M\eta^{-1-(r+1)/N} \\ &\leq (\eta^{1/N})^k M\eta^{-2}. \end{split}$$

Hence, the sequence  $\{\Phi_n\}_{n\geq 0}$  is Cauchy and exists at every  $x\in X$ .

This proves the existence of  $\Phi$ . Now we will show that  $\Phi$  is Hölder continuous. Fix  $\varepsilon > 0$  and  $\gamma < 1$ . Let  $n \in \mathbb{N}$ ,  $d_n(x, x')$  be small, and  $y \in Y$ . An orbit segment of length n starting from  $(x, \bar{y})$  is okay if for all  $m \in \mathbb{N}$ ,  $F^k(x, \bar{y}) \in \mathcal{A}$  at most  $\gamma m$  iterates. Such an orbit segment is called good if it is okay in hyperbolic time.

**Lemma 3.5.** There is a Q > 0 such that for all  $m \in \mathbb{N}$ , if  $(x, \bar{y})$  and  $(x', \bar{y}')$  are in a good preimage branch, then

$$d(F^k(x,\bar{y}),F^k(x,\bar{y})) \le Q^m e^{-2c(n-k)} d(f^n x, f^n x')$$

for all  $0 \leq k < n$ .

*Proof.* Write k = jm + i for  $0 \le i < m$ . Since our preimage branches are assumed to be good, we know

$$\begin{split} d(F^{k}(x,\bar{y}),F^{k}(x',\bar{y}')) &\leq L^{jm}d(F^{i}(f^{jm}x,g_{x}^{jm}\bar{y}),F^{i}(f^{jm}x,g_{x'}^{jm}\bar{y}')) \\ &\leq (L^{\gamma}\sigma^{-(1-\gamma)})^{jm}d(F^{i}(f^{jm}x,g_{x}\bar{y}),F^{i}(f^{jm}x,g_{x'}\bar{y}')) \\ &\leq L^{i}e^{-2cjm}d((f^{k}x,g_{x}^{k}\bar{y}),(f^{k}x',g_{x'}^{k}\bar{y}')) \\ &\leq (Le^{2c})^{m}e^{-2ck}d((f^{k}x,g_{x}^{k}\bar{y}),(f^{k}x',g_{x'}^{k}\bar{y}')) \end{split}$$

**Lemma 3.6.** There are C > 0 and  $\theta \in (0,1)$  such that  $\Sigma_b e^{S_n \varphi(x,\bar{y})} \leq C \theta^m \Sigma_g e^{S_n \varphi(x,\bar{y})}$ .

Proof. If  $(x, \bar{y})$  and  $(x, \bar{y})$  start bad orbits of length n, then for some  $jm \in \mathbb{N}$ , at least  $\gamma jm$  of the interates will be in  $\mathcal{A}$ . By Lemma 3.1 in Varandas Viana 2010, there are at most  $Cq^{jm}e^{\varepsilon jm}d^{n-jm}$  such trajectories. Thus, in  $g_x^{-n}y$ , there are at most  $\Sigma_j Cq^{jm}e^{\varepsilon jm}d^{n-jm}$  bad trajectories. So

$$\frac{\sum_{b} e^{S_{n}\varphi(x,\bar{y})}}{\sum_{g_{x}^{-n}y} e^{S_{n}\varphi(x,\bar{y})}} \leq e^{n(\sup\varphi-\inf\varphi)} \sum_{j} C\left(\frac{qe^{\varepsilon}}{d}\right)^{jm}$$

### **3.3** $\hat{\mu}$ is an Equilibrium State for $\Phi$

It remains to show that the equilibrium state for  $\Phi$ ,  $\mu_{\Phi}$ , is equal to the transverse measure  $\hat{\mu}$ . The following lemma will show that the two measures are mutually absolutely continuous. Our theorem then follows since both measures are ergodic.

Fix  $x \in X$  and  $n \in \mathbb{N}$ . Note that

$$S_n \Phi(x) = \sum_{k=0}^{n-1} \lim_{m \to \infty} \log \frac{\langle \mathcal{L}_{x_k}^{m+1} \mathbb{1}, \sigma \rangle}{\langle \mathcal{L}_{x_{k+1}}^m \mathbb{1}, \sigma \rangle}$$
$$= \lim_{m \to \infty} \log \frac{\langle \mathcal{L}_{x_{n-1}}^{m+1} \mathbb{1}, \sigma \rangle \cdots \langle \mathcal{L}_{x}^{m+1} \mathbb{1}, \sigma \rangle}{\langle \mathcal{L}_{x_n}^m \mathbb{1}, \sigma \rangle \cdots \langle \mathcal{L}_{x_1}^m \mathbb{1}, \sigma \rangle} = \lim_{m \to \infty} \log \frac{\langle \mathcal{L}_{x}^{m+1} \mathbb{1}, \sigma \rangle}{\langle \mathcal{L}_{x_n}^{m-n} \mathbb{1}, \sigma \rangle}$$

Thus,

$$e^{S_n\Phi(x)} = \lim_{m \to \infty} \frac{\langle \mathcal{L}_x^{m+1}\mathbb{1}, \sigma \rangle}{\langle \mathcal{L}_{x_n}^m \mathbb{1}, \sigma \rangle}$$
$$= \lim_{m \to \infty} \left\langle \mathcal{L}_x^n \mathbb{1}, \frac{\sigma_{x,m}}{\langle \mathbb{1}, \sigma_{x,m} \rangle} \right\rangle$$
$$= \lim_{m \to \infty} \int \sum_{\overline{y} \in g_x^{-n} y} e^{S_n \varphi(x, \overline{y})} \ d\left(\frac{\sigma_{x,m}}{\langle \mathbb{1}, \sigma_{x,m} \rangle}\right)$$

Choose  $\varepsilon > 0$  such that  $2\varepsilon < \delta_0$ . Let  $Q \subset Y$  be a maximal  $(n, \varepsilon)$ -separated set. Note that

 $card(Q) = l < \infty$  and write  $Q = \{a_1, \ldots, a_l\}$ . Also, note that  $Y = \bigcup_{a \in Q} B_n^Y(a, \varepsilon)$ . Indeed, if  $y \in Y$  but  $y \notin \bigcup_{a \in Q} B_n^Y(a, \varepsilon)$ , then  $d_n(y, a) > \varepsilon$  for all  $a \in Q$ . Thus,  $Q \cup \{y\}$  is  $(n, \varepsilon)$ -separated, a contradiction. To estimate the integral above, we construct an adapted partition of Y as follows. Let  $Z_0 = \bigcup_{a \in Q} B_n^Y(a, \varepsilon/2)$ . Define iteratively for  $0 \le k < l$  sets

$$W_{k+1} = B_n^Y(a_{k+1}, \varepsilon/2) \cup \left(B_n^Y(a_{k+1}, \varepsilon) \setminus Z_k\right)$$

and  $Z_{k+1} = W_{k+1} \cup Z_k$ . Note that  $\{W_j\}_{j=1}^l$  is a collection of disjoint sets such that  $B_n^Y(a_j, \varepsilon/2) \subset W_j \subset B_n^Y(a_j, \varepsilon)$  and  $Y = \bigcup_{j=1}^l B_n^Y(a_j, \varepsilon) = \bigsqcup_{j=1}^l W_j$ . Therefore,

$$e^{S_n\Phi(x)} = \lim_{m \to \infty} \sum_{j=1}^l \int_{W_j} \sum_{\bar{y} \in g_x^{-n}y} e^{S_n\varphi(x,\bar{y})} d\left(\frac{\sigma_{x,m}}{\langle \mathbb{1}, \sigma_{x,m} \rangle}\right).$$

**Lemma 3.7.** Let x, n, and  $\varepsilon$  be as above. Define

$$\Omega_n(\varphi,\varepsilon) := \sup \Big\{ \sum_{a \in S} e^{S_n \varphi(x,a)} \colon S \subset Y \text{ is } (n,\varepsilon) \text{-separated} \Big\}$$

Then  $e^{S_n\Phi(x)} \ge C \ \Omega_n(\varphi,\varepsilon).$ 

Proof. Fix  $y \in Y$ . Let N be given by fiberwise topological exactness for  $\varepsilon/2$ . Let  $R \subset Y$  be a maximal  $(n - N, \varepsilon)$ -separated set. We define a map  $\theta \colon R \to g_x^{-n} y$  in the following way. Let  $r \in R$ . By exactness, we can find a point  $z' \in g_x^{-n} y$  such that  $d((x_{n-N}, z'), F^{n-N}(x, r)) \leq \varepsilon/2$ . Then by Lemma 2.4, there exists  $z \in g_x^{-n} y$  such that  $d_{n-N}((x, z), (x, r)) \leq \varepsilon/2$ . The map  $\theta$  is one-to-one since if  $\theta(g) = \theta(g')$ , then

$$d_{n-N}(g,g') \le d_{n-N}(g,\theta(g)) + d_{n-N}(g',\theta(g')) \le \varepsilon,$$

a contradiction since R is  $(n-N,\varepsilon)\text{-separated}.$ 

Since  $\varphi$  is Hölder, it has the Bowen property: i.e. for any  $\varepsilon > 0$ , there exists a L if  $d_n(y, y') \leq \varepsilon$ ,

then  $|S_n\varphi(x,y) - S_n\varphi(x,y')| \le L$ . Thus, for each  $g \in Q$ ,  $e^{S_n\varphi(x,g)} \le e^L e^{S_n\varphi(x,\theta(g))}$ . Hence,

$$\sum_{g \in Q} e^{S_{n-N}\varphi(x,g)} \leq \sum_{g \in Q} e^L e^{S_{n-N}\varphi(x,\theta(g))}$$
$$\leq \sum_{z \in g_x^n y} e^L e^{S_{n-N}\varphi(x,z)} \leq e^{L+N\|\varphi\|} \sum_{z \in g_x^n y} e^{S_n\varphi(x,z)}$$

where the first inequality holds by the Bowen property, the second by injectivity of  $\theta$ , and the third because  $S_n\varphi(x,y) \ge S_{n-N}\varphi(x,y) - N\|\varphi\|$  for all  $(x,y) \in X \times Y$ . If we let  $C_1 = e^{-(L+N\|\varphi\|)}$ , then

$$\sum_{z \in g_x^n y} e^{S_n \varphi(x,z)} \ge C_1 \sum_{g \in Q} e^{S_{n-N} \varphi(x,g)}.$$
(3)

Let  $S \subset Y$  be  $(n, 2\varepsilon)$ -separated. For each  $s \in S$ , there is  $r(s) \in R$  such that  $d_{n-N}(s, r(s)) \leq \varepsilon$ . Fix  $r \in R$  and let  $S_r = \{s \in S | r = r(s)\}$ . If  $s \neq s' \in S_r$ , then

$$d_{n-N}(s,s') \le d_{n-N}(s,r) + d_{n-N}(r,s') \le 2\varepsilon.$$

Thus,  $d_N(g_x^{n-N}s, g_x^{n-N}s') > 2\varepsilon$  so  $g_x^{n-N}S_r$  is  $(N, 2\varepsilon)$ -separated. Note that  $d_n(s, s') > 2\varepsilon$  implies that  $card(S_r) \leq card(g_x^{n-N}S_r) < \infty$ . Let M be the maximum cardinality of a  $(N, 2\varepsilon)$ -separated set. Hence,

$$\sum_{s \in S} e^{S_n \varphi(x,s)} \leq \sum_{s \in S} e^L e^{S_n \varphi(x,r(s))}$$
$$\leq \sum_{r \in R} card(S_r) e^{L+N \|\varphi\|} e^{S_{n-N} \varphi(x,r)}$$
$$\leq M e^{L+N \|\varphi\|} \sum_{r \in R} e^{S_{n-N} \varphi(x,r)}$$

where the first inequality holds by the Bowen property and the second holds since  $S_n \varphi(x,y) \leq c_n \varphi(x,y)$ 

 $S_{n-N}\varphi(x,y) + N \|\varphi\|$ . Let  $C_2 = M^{-1}C_1$ . Then

$$\sum_{r \in R} e^{S_{n-N}\varphi(x,r)} \ge C_2 \sum_{s \in S} e^{S_n\varphi(x,s)}$$
(4)

Combining equations (3) and (4), we get

$$\mathcal{L}_x^n \mathbb{1} \ge C_1 \sum_{r \in R} e^{S_{n-N}\varphi(x,r)} \ge C_1 C_2 \sum_{s \in S} e^{S_n \varphi(x,s)}.$$

By Lemma 1 of [1], we know that  $\Omega_n(\varphi, \varepsilon) \leq C_{\varepsilon, 2\varepsilon} \Omega(\varphi, 2\varepsilon)$ . Let  $C = C_{\varepsilon, 2\varepsilon}^{-1} C_1 C_2$ . Then

$$e^{S_n\Phi(x)} = \lim_{m \to \infty} \sum_{j=1}^l \int_{W_j} \sum_{\overline{y} \in g_x^{-n} y} e^{S_n\varphi(x,\overline{y})} d\left(\frac{\sigma_{x,m}}{\langle \mathbb{1}, \sigma_{x,m} \rangle}\right)$$
$$\geq \lim_{m \to \infty} \sum_{j=1}^l \int_{W_j} C \ \Omega_n(\varphi,\varepsilon) \ d\left(\frac{\sigma_{x,m}}{\langle \mathbb{1}, \sigma_{x,m} \rangle}\right) = C \ \Omega_n(\varphi,\varepsilon)$$

since  $\frac{\sigma_{x,m}}{\langle \mathbb{1}, \sigma_{x,m} \rangle}$  is a probability measure and  $\{W_j\}_{j=1}^l$  is a collection of disjoint sets such that  $Y = \bigsqcup_{j=1}^l W_j$ .

**Lemma 3.8.** For any  $0 < \varepsilon < \delta_0$ , there exists D > 0 such that

$$D^{-1} \le \frac{\hat{\mu} \left( B_n^X(x,\varepsilon) \right)}{e^{S_n \Phi(x)}} \le D$$

for any  $x \in X$ .

*Proof.* First, note that

$$\hat{\mu}(B_n^X(x,\varepsilon)) = \mu \circ \pi^{-1}(B_n^X(x,\varepsilon)) = \mu(B_n^X(x,\varepsilon) \times Y).$$

Let  $S \subset Y$  be a maximal  $(n, \varepsilon)$ -separated set. Then S is  $(n, \varepsilon)$ -spanning in  $Y_x$ . Let  $(x', y') \in \overline{B_n^X(x, \varepsilon) \times Y}$ . Choose  $s \in S$  such that  $d_n^Y(s, y') < \varepsilon$ . The triangle inequality shows that  $d_n((x, s), (x', y')) \leq \varepsilon$ .

 $2\varepsilon$ . Thus, the set  $\{(x,s): s \in S\}$  is  $(n, 2\varepsilon)$ -spanning in  $\overline{B_n^X(x, \varepsilon) \times Y}$ . So

$$\hat{\mu}(B_n^X(x,\varepsilon)) \le \sum_{s \in S} \mu(B_n((x,y), 2\varepsilon)) \le C_{2\varepsilon} \sum_{s \in S} e^{S_n \varphi(x,s)} \le D e^{S_n \Phi(x)}$$
(5)

where the second inequality is holds by the Gibbs property of  $\mu$  at scale  $2\varepsilon$  and the third holds by lemma 3.7.

Choose a  $(n, \varepsilon)$ -separated set  $R \subset Y$  and consider the collection  $\{B_n((x, r), \varepsilon/2) : r \in R\}$ . Then

$$\hat{\mu}\Big(B_n^X(x,\varepsilon)\Big) \ge \mu\Big(B_n\big((x,s),\varepsilon/2\big)\Big) \ge C_{\varepsilon/2}^{-1}\sum_{s\in S} e^{S_n\varphi(x,s)}.$$

Since this holds for an arbitrary  $(n, \varepsilon)$ -separated set,  $\hat{\mu}(B_n^X(x, \varepsilon)) \ge C_{\varepsilon/2}^{-1}\Omega_n(\varphi, \varepsilon)$ . Note that  $g_x^{-n}y$  is  $(n, \varepsilon)$ -separated for all  $y \in Y$ . Then

$$e^{S_n\Phi(x)} = \lim_{m \to \infty} \sum_{j=1}^l \int_{W_j} \sum_{\bar{y} \in g_x^{-n}y} e^{S_n\varphi(x,\bar{y})} d\left(\frac{\sigma_{x,m}}{\langle \mathbb{1}, \sigma_{x,m} \rangle}\right)$$

$$\leq \Omega_n(x,\varepsilon) \leq C_{\varepsilon/2}^{-1} \hat{\mu} \Big( B_n^X(x,\varepsilon) \Big).$$
(6)

Combining equations (5) and (6) shows that  $\hat{\mu}$  is a Gibbs measure for  $\Phi$ .

Lemma 3.8 shows that  $\hat{\mu}$  is a Gibbs measure for  $\Phi$ . Note that this implies that  $P(\Phi) = 0.1$ 

## 4 Fiber Measures are Conditionals

Theorem A allows us to use properties of  $\hat{\mu}$  as an equilibrium state via a transfer operator on X. To this end, let  $\mathcal{L}_{\Phi} \colon C(X) \to C(X)$  be defined by

$$\mathcal{L}_{\Phi}\xi(x) = \sum_{\bar{x}\in f^{-1}x} \lambda_{\bar{x}} \,\,\xi(\bar{x})$$

for any  $\xi \in C(X)$ . Lemma ?? allows use to use the following theorem. See [7] for details.

<sup>&</sup>lt;sup>1</sup>Should this be  $P(\Phi) = P(\varphi)$ ?

**Theorem 4.1.** For any Hölder  $\Phi: X \to \mathbb{R}$ , the following hold:

- 1. There exists a real number  $\hat{\lambda} > 0$  and  $\hat{\nu} \in \mathcal{M}(X)$  such that  $\mathcal{L}_{\Phi}^* \hat{\nu} = \hat{\lambda} \hat{\nu}$ .
- 2. There exists a unique  $\hat{h} \in C(X)$  such that  $\mathcal{L}_{\Phi}\hat{h} = \hat{\lambda}\hat{h}$ .

To completely understand the equilibrium state  $\mu$  on  $(X \times Y, F)$ , we need to understand how it gives weight to the fibers  $\{Y_x\}_{x \in X}$ . Mayer [2] uses the fiberwise transfer operators to construct families of measures that satisfy the following theorem for almost everywhere  $x \in X$ . However, we will need it to hold along every fiber.

**Theorem 4.2.** For any Hölder  $\varphi \colon X \times Y \to \mathbb{R}$  and its associated family of random transfer operators  $\{\mathcal{L}_x\}_{x \in X}$ , the following hold:

- 1. There exists a unique family of probability measures  $\nu_x \in \mathcal{M}(Y_x)$  such that for all  $x \in X$ ,  $\mathcal{L}_x^* \nu_{fx} = \lambda_x \nu_x$  where  $\lambda^x = \nu_{fx}(\mathcal{L}_x \mathbb{1})$ .
- 2. There exists a unique  $\alpha$ -Hölder continuous function  $h: X \times Y \to X \times Y$  such that for all  $x \in X$ ,

$$\mathcal{L}_x h_x = \lambda_x h_{fx}$$
 and  $\nu_x(h_x) = 1.$ 

- 3. Let  $\bar{\varphi}_x = \varphi_x + \log h_x \log h_{fx} \circ g_x \log \lambda_x$  and denote by  $\bar{\mathcal{L}}_x$  the normalized transfer operator on  $Y_x$ . Let  $\mu_x = h_x \nu_x$ . For every  $x \in X$ ,
  - (a)  $\bar{\mathcal{L}}_x^* \mu_{fx} = \mu_x$ , and (b) for all  $\psi \in C(X \times Y)$ ,  $\bar{\mathcal{L}}_x^n \psi \to \int \psi \ d\mu_x$  exponentially as  $n \to \infty$ .

In light of Lemma [H2' implies Hafouta], the proof of this follows from [Hafouta].

We wish to use  $\hat{\mu}$  and its corresponding family of measures  $\{\mu_x\}_{x \in X}$  to build a measure on  $X \times Y$ . To do this, we first prove the following lemmas.

**Lemma 4.3.** For any  $\psi \in C(X \times Y)$ , the map  $x \mapsto \mathcal{L}^x_{\varphi} \psi_x$  is continuous with respect to the Usual topology.

*Proof.* Suppose  $\psi \in C(X \times Y)$ . Let  $0 < d_X(x, x') < \delta_0$  and  $y \in Y$  be fixed. Then

$$\begin{aligned} \left| \bar{\mathcal{L}}_{x} \psi_{x}(y) - \bar{\mathcal{L}}_{x'} \psi_{x'}(y) \right| &\leq \sum_{z \in g_{x}^{-1} y} \left( e^{\overline{\varphi}(x,z)} \left| \psi(x,z) - \psi(x',z') \right| + \|\psi\|_{\infty} \left| e^{\overline{\varphi}(x,z)} - e^{\overline{\varphi}(x',z')} \right| \right) \\ &\leq M_{1} \sum_{z \in g_{x}^{-1} y} e^{\overline{\varphi}(x,z)} + \|\psi\|_{\infty} \sum_{z \in g_{x}^{-1} y} \left| e^{\overline{\varphi}(x,z)} - e^{\overline{\varphi}(x',z')} \right| \end{aligned}$$

where  $M_1 = \sup\{|\psi(x,z) - \psi(x',z')|: d((x,z),(x',z')) < \delta_0\}$ . Part (2) of Theorem 4.2 implies that  $\overline{\mathcal{L}}_x \mathbb{1} = \mathbb{1}$ . This along with the argument in the paragraph above shows that

$$\left|\bar{\mathcal{L}}_{x}\psi_{x}(y) - \bar{\mathcal{L}}_{x'}\psi_{x'}(y)\right| \le M_{1} + \|\psi\|_{\infty} \left(e^{C_{\overline{\varphi}}d_{X}(x,x')^{\alpha}} - 1\right) \to 0 \quad \text{as } d_{X}(x,x') \to 0.$$

This finishes the proof.

**Lemma 4.4.** For every continuous  $\psi \colon X \times Y \to \mathbb{R}$ , the map  $x \mapsto \nu_x(\psi_x)$  is measurable.

*Proof.* Fix  $x \in X$  and let  $y \in Y$ . Define

$$\nu_{x,n} = \frac{(\mathcal{L}_x^n)^* \delta_{(f^n x, y)}}{\mathcal{L}_x^n \mathbb{1}(f^n x, y)}$$

where  $\delta$  is the Dirac measure at a point in the product. Then by item 3(b) of Theorem 4.2, for any  $\psi \in C(X \times Y)$ , we have

$$\lim_{n \to \infty} \nu_{x,n}(\psi_x) = \lim_{n \to \infty} \frac{\mathcal{L}_x^n \psi(f^n x, y)}{\mathcal{L}_x^n \mathbb{1}(f^n x, y)} = \lim_{n \to \infty} \frac{\mathcal{L}_x^n (\psi_x/h_x)(f^n x, y)}{\mathcal{L}_x^n (\mathbb{1}/h_x)(f^n x, y)} = \frac{\nu_x(\psi_x)}{\nu_x(\mathbb{1})} = \nu_x(\psi_x)$$

Thus,  $\nu_{x,n} \xrightarrow{n \to \infty} \nu_x$  in the weak<sup>\*</sup> topology. The measurability of  $x \mapsto \nu_x(\psi_x)$  then follows from Lemma 4.3.

Thus, we can define a measure on  $X \times Y$  by  $d\nu(x, y) = d\nu_x(y)d\hat{\nu}(x)$ . Theorems 4.1 and 4.2 allow us the following results about this measure  $\nu$ .

**Lemma 4.5.** Let  $\hat{\eta} \in \mathcal{M}(X, f)$  and  $\{\eta_x\}_{x \in X}$  be given by Theorem 4.2. If  $\mathcal{L}_{\Phi}^* \hat{\eta} = \lambda \hat{\eta}$  for some  $\lambda > 0$ , then  $d\eta = d\eta_x d\hat{\eta}$  satisfies  $\mathcal{L}_{\varphi}^* \eta = \lambda \eta$ .

Proof.

$$\begin{split} \int_{X \times Y} \mathcal{L}_{\varphi} \psi(x, y) \ d\eta(x, y) &= \int_{X} \int_{Y_{x}} \sum_{\bar{x} \in f^{-1}x} \sum_{\bar{y} \in g_{\bar{x}}^{-1}y} e^{\varphi(\bar{x}, \bar{y})} \psi(\bar{x}, \bar{y}) \ d\eta_{x}(y) d\hat{\eta}(x) \\ &= \int_{X} \left( \sum_{\bar{x} \in f^{-1}x} \int_{Y_{\bar{x}}} \mathcal{L}^{\bar{x}} \psi(\bar{x}, y) \ d\eta_{x}(y) \right) d\hat{\eta}(x) \\ &= \int_{X} \left( \sum_{\bar{x} \in f^{-1}x} \lambda_{\bar{x}} \int_{Y_{\bar{x}}} \psi(\bar{x}, y) \ d\eta_{\bar{x}}(y) \right) d\hat{\eta}(x) \\ &= \int_{X} \mathcal{L}_{\Phi} \left( \int_{Y_{x}} \psi(x, y) \ d\eta_{x}(y) \right) d\hat{\eta}(x) \\ &= \lambda \int_{X} \int_{Y_{x}} \psi(x, y) \ d\eta_{x}(y) d\hat{\eta}(x) \end{split}$$

	-		

Note that this implies that  $P(\varphi) = P(\Phi)$ .

**Lemma 4.6.** Let  $\hat{h}$  and  $\hat{\nu}$  be as in 4.1 and consider the measure  $d\hat{\mu} = \hat{h}d\hat{\nu}$  on X. Let  $\{h_x\}$  be given by item 2 of Theorem 4.2. Then the function  $h(x,y) = \hat{h}(x)\bar{h}_X(y)$  satisfies  $\mathcal{L}_{\varphi}h = \lambda h$ . Proof.

$$\sum_{(\bar{x},\bar{y})\in F^{-1}(x,y)} e^{\varphi(\bar{x},\bar{y})} h(x,y) = \sum_{\bar{x}\in f^{-1}(x)} \hat{h}(\bar{x}) \mathcal{L}_{\bar{x}}\bar{h}_{\bar{x}}(y)$$
$$= \sum_{\bar{x}\in f^{-1}(x)} \lambda_{\bar{x}}\hat{h}(\bar{x}) \bar{h}_{x}(y) = \bar{h}_{x}(y) \mathcal{L}_{\Phi}\hat{h}(x) = \lambda h(x,y)$$

Lemmas 4.5 and 4.6 give eigendata for  $\mathcal{L}_{\varphi}$ . It is well known that this data is uniquely determined by the RPF Theorem and that the unique equilibrium state is the measure

$$d\mu(x,y) = \hat{h}(x)\bar{h}_x(y) \ d\nu_x(y)d\hat{\nu}(x) = d\mu_x(y)d\hat{\mu}(x).$$

This finishes the proof of Theorem B.

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